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|--|--|-------------|-------------------------|----------------------------------------|-----------|-----------------|----------|------------------|---|
|  |  | Derivatives | Other types of products | Unsolicited client facilitation - Sale | 281,000   | 15 May 2023     | \$7.3617 | \$2,068,650.1202 | 0 |
|  |  | Derivatives | Other types of products | Unsolicited client facilitation - Sale | 427,000   | 15 May 2023     | \$7.3755 | \$3,149,355.2726 | 0 |
|  |  | Derivatives | Other types of products | Unsolicited client facilitation - Sale | 123,000   | 16 January 2023 | \$7.3349 | \$902,186.5500   | 0 |
|  |  | Derivatives | Other types of products | Unsolicited client facilitation - Sale | 1,051,500 | 8 November 2021 | \$7.3664 | \$7,745,736.5198 |   |

