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|--|--|-------------|-------------------------|----------------------------------------|---------|-----------------|----------|------------------|---|
|  |  | Derivatives | Other types of products | Unsolicited client facilitation - Sale | 91,767  | 3 July 2023     | \$5.6669 | \$520,035.4217   | 0 |
|  |  | Derivatives | Other types of products | Unsolicited client facilitation - Sale | 23,656  | 3 July 2023     | \$5.6462 | \$133,566.1311   | 0 |
|  |  | Derivatives | Other types of products | Unsolicited client facilitation - Sale | 8,577   | 3 July 2023     | \$5.6620 | \$48,562.8599    | 0 |
|  |  | Derivatives | Other types of products | Unsolicited client facilitation - Sale | 20,955  | 5 July 2023     | \$5.6693 | \$118,800.6425   | 0 |
|  |  | Derivatives | Other types of products | Unsolicited client facilitation - Sale | 5,536   | 5 July 2023     | \$5.6462 | \$31,257.2802    | 0 |
|  |  | Derivatives | Other types of products | Unsolicited client facilitation - Sale | 2,009   | 5 July 2023     | \$5.6620 | \$11,374.9301    | 0 |
|  |  | Derivatives | Other types of products | Unsolicited client facilitation - Sale | 21,455  | 3 July 2023     | \$5.6670 | \$121,585.6373   | 0 |
|  |  | Derivatives | Other types of products | Unsolicited client facilitation - Sale | 5,537   | 3 July 2023     | \$5.6462 | \$31,262.9203    | 0 |
|  |  | Derivatives | Other types of products | Unsolicited client facilitation - Sale | 2,008   | 3 July 2023     | \$5.6620 | \$11,369.2701    | 0 |
|  |  | Derivatives | Other types of products | Unsolicited client facilitation - Sale | 360,500 | 29 October 2021 | \$5.6706 | \$2,044,249.8580 | 0 |
|  |  | Derivatives | Other types of products | Unsolicited client facilitation - Sale | 5,805   | 3 July 2023     | \$5.6743 | \$32,939.3115    | 0 |

|  |  |             |                         |                                            |         |                  |          |                  |   |
|--|--|-------------|-------------------------|--------------------------------------------|---------|------------------|----------|------------------|---|
|  |  | Derivatives | Other types of products | Unsolicited client facilitation - Sale     | 1,610   | 3 July 2023      | \$5.6462 | \$9,090.3599     | 0 |
|  |  | Derivatives | Other types of products | Unsolicited client facilitation - Sale     | 585     | 3 July 2023      | \$5.6620 | \$3,312.2600     | 0 |
|  |  | Derivatives | Other types of products | Unsolicited client facilitation - Purchase | 5,000   | 27 February 2023 | \$5.8750 | \$29,375.0000    | 0 |
|  |  | Derivatives | Other types of products | Unsolicited client facilitation - Purchase | 786,000 | 31 July 2023     | \$5.7390 | \$4,510,890.1560 | 0 |
|  |  | Derivatives | Other types of products | Unsolicited client facilitation - Purchase | 500     | 5 May 2023       | \$5.8400 | \$2,920.0000     | 0 |