

		Other types of securities (e.g. equity swaps)	Closing / flattening / unwinding of proprietary positions arising from unsolicited client-driven dealings. I confirm this was done no later than the close of the morning trading session on the trading day following the originating client-driven dealing	Sale	149,000	\$339,350.0330	\$2.2775	\$2.2775
--	--	---	--	------	---------	----------------	----------	----------

End

Note: