

Meaning of "you", "shares", "listed corporation" and "associated corporation" in Form 3B and these Notes

3.

"Long positions" and "short positions"

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Example of how to complete Box 30

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*Due to limited space in these Notes, the description of relevant event, capacity in which shares were/are held and nature of consideration are not shown but will be displayed in the Form.

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Please note that :

- (1) It may be necessary to go through two to three levels of questions before reaching the relevant event and an event code is only assigned to the last level of question. Code numbers in brackets are interim level(s) of questions and they are not event codes. Only the ultimate event codes will be available for selection.
- (2) The same set of event codes apply to all Forms so some code numbers are "skipped" because certain events are not relevant to this Form.
- (3) The term "your interest/short position" in these event codes refers to your own interest and also includes an interest of your spouse, your minor child or a corporation that is controlled by you, that is taken to be your interest by attribution.
- (4) The "shares" are the shares of the associated corporation of the listed corporation.



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Please note the same set of capacity code numbers apply to all Forms so some code numbers are "skipped" because certain capacities are not relevant to this Form.

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