

Disclosure of interests notification under Part XV of the SFO
List of new codes (effective from 3 July 2017)

Codes of Relevant Events
(See

Code No. (Level 1)	Code No. (Level 2)	Code No. (Level 3)	Description of event
		10031	you have a right to take the underlying shares
		10032	you are under an obligation to take the underlying shares
		10033	you have a right to receive from another person an amount if the price of the underlying shares is above a certain level
		10034	you are under an obligation to pay another person an amount if the price of the underlying shares is below a certain level
		10035	you have any of the rights or obligations referred to in 10031 to 10034 above embedded in a contract or instrument
	1004		you acquired a security interest in the shares
	1005		you inherited the shares

Code No. (Level 1)	Code No. (Level 2)	Code No. (Level 3)	Description of event
	1109		you entered into an agreement for the exchange of an instrument for another instrument in respect of the same underlying shares
	1110		you were placed the shares as a placee under a top-up placing

Code No. (Level 1)	Code No. (Level 2)	Code No. (Level 3)	Description of event
		14014	you are under an obligation to pay another person an amount if the price of the underlying shares is above a certain level
		14015	you have any of the rights or obligations referred to in 14011 to 14014 above embedded in a contract or instrument
	1402		you borrowed the shares under a securities borrowing and lending agreement
	1403		any other event (you must briefly describe the relevant event in the Supplementary Information box)
(150)			You ceased to have [a short position of 1% or more in the shares, or the percentage level of your short position in such shares / a short in the shares or the number of shares in which you have a short position] decreased because:
	(1501)		expiry or cancellation without exercise of equity derivatives under which (choose one):

15011 you have a right to require another person to take delivery of

Code No. (Level 1)	Code No. (Level 2)	Code No. (Level 3)	Description of event
			Supplementary Information box and identify the box which contains the updated information)
	1703		Notice filed because of a change in the threshold for disclosure
	1704		Notice filed because you ceased to have a notifiable interest in the shares of the listed corporation (you must briefly describe the relevant event in the Supplementary Information box)
	1705		Notice filed because you became a director or chief executive of the listed corporation
	1706		Notice filed because you ceased to be a director or chief executive of the listed corporation
	1707		Notice filed because the corporation became an associated corporation of the listed corporation
	1708		Notice filed because the corporation ceased to be an associated corporation of the listed corporation

1708ETCM

Codes of Capacity

(See Boxes 14 and 17 of Form 1, Boxes 17 and 20 of Form 2, Boxes 24 and 27 of Form 3A, Boxes 30 and 33 of Form 3B, Boxes 24 and 26 of Form 3C and Boxes 30 and 32 of Form 3D)

Code No.	Description of the capacity in which you held the interest or short position in shares that is acquired, disposed of or changed
	Common capacities
2101	Beneficial owner
2102	Investment manager
2103	Interests held jointly with another person
2104	Agent
2105	Underwriter
2106	Person having a security interest in shares
	Interests by attribution
2201	Interest of corporation controlled by you
2202	Interest of your spouse
2203	Interest of your child under 18 years of age
	Trusts and similar interests
2301	Trustee
2302	Custodian (other than an exempt custodian interest)
2303	Depository
2304	Executor or administrator
2305	Beneficiary of a trust (other than a discretionary interest)
2306	Nominee for another person (other than a bare trustee)
2307	Founder of a discretionary trust who can influence how the trustee exercises his discretion
	Persons acting in concert

2401

Codes of Nature of Consideration

(See Box 14 of Form 1, Box 17 of Form 2, Box 24 of Form 3A, Box 30 of Form 3B, Box 24 of Form 3C and Box 30 of Form 3D)

Code No.	Description of consideration for off-exchange transactions
3101	Cash
3102	Assets other than cash
3103	Surrender of rights to shares/debentures
3104	Services

Codes of Category of Derivatives

(See Box 18 of Form 1, Box 21 of Form 2, Box 28 of Form 3A and Box 34 of Form 3B)

Code No.	Common categories of derivatives
	Listed derivatives

Codes of Status in relation to a Trust

(See Box 22 of Form 1, Box 24 of Form 2, Box 32 of Form 3A, Box 38 of Form 3B, Box 31 of Form 3C and Box 37 of Form 3D)

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Code No.	Status in relation to a trust
5101	Trust5101

Codes of Relationship with Controller

(See Box 26 of Form 2)

Code No.	Status in relation to a trust
6101	Controller is your immediate holding company
6102	Controller is your intermediate holding company
6103	Controller is your ultimate holding company
6104	Controller is a director
6105	Controller is a shadow director
6106	Other

Codes of Class of Debentures

(See Box 5 of Form 3C and Form 8 of Form 3D)

Code No.	Class of debentures (Box 5)
7101	Freely transferable and convertible into shares of [the listed corporation / the association corporation of the listed corporation]
7102	Freely transferable and convertible into shares of a corporation other than [the listed corporation / the associated corporation of the listed corporation]
7103	Not freely transferable but convertible into shares of [the listed corporation / the associated corporation of the listed corporation]