

	1.1	1.1	1.1	1.1
General and partial offence Code on Takeover and Mergers	1.1	20	13	53.8
Partial application	1.1	12	10	20.0
Whole or partial application	1.1	6	10	-40.0
Offence application Code on Takeover and Mergers ¹	1.1	127	141	-9.9
Off-market and general offence back	1.1	3	4	-25.0
Offence application Code on Share Buy-back ¹	1.1	0	0	0.0
	1.1	168	178	-5.6
Sanction imposed with penalty agreement ²	1.1	0	0	0.0
Meeting for the purpose of Code on Takeover and Mergers and Share Buy-back	1.1	0	0	0.0
Hearing before the Panel (disciplinary and non-disciplinary)	1.1	0	0	0.0
Sanction imposed by the Panel ³	1.1	0	0	0.0

¹ Including stand-alone application and those made during the course of a code-related transaction.

² Pursuant to section 12.3 of the Introduction to the Code on Takeover and Mergers and Share Buy-back.

³ Pursuant to section 16.1 of the Introduction to the Code on Takeover and Mergers and Share Buy-back.

	11/1	11/1	11/1	11/1	11/1
Bond		165	0.0	172	-4.1
Eq i		203	-0.5	205	-1.5
Mi ed		111	0.9	112	0.0
Mone ma ke		67	9.0	59	23.7
Feede f nd			1		

Region	Q1 2023	Q2 2023	Q3 2023	Q4 2023	Q1 2024
London	1,066	1,066	1,066	1,064	2.1
Ireland	258	258	258	251	0.8
United Kingdom	26	26	26	29	-13.8
Mainland China	46	46	46	46	-2.2
Bermuda	1	1	1	1	0.0
Cayman Islands	23	23	23	20	15.0
Other	5	5	5	5	0.0
Total	1,425	1,425	1,425	1,416	1.6

Region	Q1 2023	Q2 2023	Q3 2023	Q4 2023	Q1 2024
London	1,172,193	1,172,193	1,172,193	1,070,678	16.8
Ireland	248,008	248,008	248,008	217,213 ²	28.5
United Kingdom	75,359	75,359	75,359	65,422	14.1
Mainland China	17,112	17,112	17,112	19,273	-5.2
Bermuda	116	116	116	117	-15.4
Cayman Islands	1,171	1,171	1,171	1,204	0.2
Other	63,680	63,680	63,680	56,995	38.0
Total	1,577,641	1,577,641	1,577,641	1,430,900 ²	19.0

¹ Figure may not add precisely due to rounding.

² The difference from the disclosed in the Q1 2023 Report July - September 2023 and the disclosed figure reported after the reporting period.



	2023 Q3	2023 Q2	2023 Q1	2022 Q3	2022 Q3
Bond	358	357	1.7	357	2.0
Eq i	775	774	0.8	774	0.9
Mi ed	163	156	0.6	156	5.1
Mone ma ke	12	12	0.0	12	0.0
Feede f nd	3	3	0.0	3	0.0
Inde ¹	25	25	0.0	25	0.0
Hedge	1	1	0.0	1	0.0
Commodi ²	1	1	0.0	1	0.0
S b- al	1,338	1,329	1.0	1,329	1.7
Umb ella c e	87	87	0.0	87	0.0
-	1,425	1,416	0.9	1,416	1.6

	2023 Q3	2023 Q2	2023 Q1	2022 Q3	2022 Q3
Bond	453,231	410,151 ⁵	11.7	410,151 ⁵	23.5
Eq i	823,726	758,898	4.5	758,898	13.5
Mi ed	166,913	150,314	6.2	150,314	17.9
Mone ma ke	11,777	10,497	18.1	10,497	32.5
Feede f nd ³	0	0	0.0	0	0.0
Inde					

	2024 July-September	2023 July-September	2022 July-September	Change YoY
Conditions of licensee	1.1	1.1	1.1	172.9
Conditions of registered in jurisdiction	1.1	8	4	100.0
Licensed companies and disclosure of income	1.1	753	520	44.8
Make money conditions ¹	1	158	189	-16.4
Product disclosure	1.1	10	0	N/A
Unlicensed activities	1.1	97	193	-49.7
Branches of offices of income	1.1	5	40	-87.5
Boiler room and pipeline revenue	1.1	82	99	-17.2
Scams and fraud ²	1.1	185	246	-24.8
Other financial activities not regulated by the SFC ³	1.1	128	138	-7.2
-	1.1	2,190	1,709	28.1

¹ Pima il, alleged make money manipulation and insider dealing.

² Such as identity fraud and impersonation.

³ For example, billion trading and banking services.