

| | | Director, Business Management, Equities, Deutsche Securities Asia Limited Head of Asia |
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| | | Pacific Equities Compliance, Merrill Lynch Far East Limited |
| | Breakout session 2: | Moderator: Senior |
| | Sponsor Work | Director, Intermediaries Supervision Department, Intermediaries Division, |
| | Following the introduction of the new sponsor regulatory regime, the SFC | Securities and Futures Commission |
| | conducted a thematic inspection to review | Speakers: |
| | sponsor work. Panelists will discuss their | Head of Investment |
| | experiences and the challenges facing sponsors. | Banking, Hong Kong, China International Capital Corporation Hong Kong Securities Limited |
| | | Partner, Davis Polk & Wardwell LLP |
| | | Director, Intermediaries Supervision Department, Securities and Futures Commission |
| | | Member of the Listing Committee; Managing Director, Investment Banking Division, Goldman Sachs (Asia) LLC |
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