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## Keynote address

**Mr Paul Chan, GBM, GBS, MH, JP**







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**Panel 1: Global and regional developments challenges and opportunities for**

**Mr Ugo Bassi**

*Director, Financial Markets, Directorate-General for Financial Stability, Financial Services and Capital Markets Union, European Commission*

Mr Ugo Bassi is Director of Financial Markets at the European Commission's Directorate-General for Financial Stability, Financial Services and Capital Markets Union



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**Panel 1: Global and regional developments   challenges and opportunities for  
capital markets**

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## Panel 1: Global and regional developments challenges and opportunities for

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### **Mr Kevin Sneader**

*President, Asia Pacific Ex-Japan, Goldman Sachs*

Mr Kevin Sneader is President of Asia Pacific Ex-Japan (APEJ) of Goldman Sachs. He is a member of the Management Committee, Asia Pacific Management Committee, Firmwide Conduct Committee, Asia Pacific Conduct Committee, Firmwide Reputational Risk Committee and Firmwide Client Franchise Committee. He is also co-chair of the Asia Pacific Inclusion and Diversity Committee. He joined Goldman Sachs in 2021 as a partner.

Prior to joining Goldman Sachs, Mr Sneader served as the 12th global managing partner of McKinsey & Company, based in Hong Kong. He started his career as a business analyst at McKinsey in 1989 in London. He later worked in the Hong Kong office before moving to the United States in 1999 where he became managing partner of the New Jersey, Philadelphia and Washington, DC locations. He went on to become managing partner of the United Kingdom and Ireland practice from 2010 to 2014 and then, the chairman of McKinsey's Asia offices from 2014 to 2018.

Mr Sneader is a trustee of the Brookings Institution, a former member of the International Advisory Council of the Economic Development Board of Singapore, a global strategic advisor to FCLTGlobal and a former president of BritishAmerican Business.

Mr Sneader earned an LLB with first class honors from Glasgow University and an MBA from Harvard Business School.

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## Panel 2: Landscape for investment funds in Asia

### Moderator

**Ms Ding Chen, MH**

*Chief Executive Officer, CSOP Asset Management Limited,  
and Vice Chairman, Financial Services Development*





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## Panel 2: Landscape for investment funds in Asia

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### **Ms Verena Ross**

*Chair, European Securities and Markets Authority*

Ms Verena Ross is Chair of the European Securities and Markets Authority (ESMA). Taking up the role on 1 November 2021, Ms Ross is responsible for representing ESMA as well as preparing the work of and chairing its Board of Supervisors and Management Board.

Between 2011 and 2021, Ms Ross served as ESMA's first Executive Director, when she was responsible for building up the organisation and overseeing its day-to-day running.

Prior to this, Ms Ross held a number of senior posts in the UK's Financial Services Authority (FSA) and was a member of its Executive Committee. She joined the FSA in 1998 to run the Executive Chairman's office during the regulator's start-up phase and was a seconded advisor to the SFC in 2000. She held various positions in the FSA's Markets Division and was Director of Strategy & Risk Division before becoming Director of the International Division.

Ms Ross is a German national. Following studies in Sinology and Economics in Hamburg, Taipei and London (SOAS), she began her career at the Bank of England in 1994, where she worked as an economist and banking supervisor.

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global financial crisis. He also represented the FSA at the Basel Committee on Banking Supervision from 2006 to 2007.

From 2002 to 2006, Mr Miyoshi was senior advisor to the Executive Director for Japan at the IMF.

A Japanese national, Mr Miyoshi got Bachelor's degree in public law at the University of Tokyo and Master of Philosophy in Politics at the University of Oxford.

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## Panel 2: Landscape for investment funds in Asia

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### **Mr Brian Dillard**

*Partner, Head of Asia Credit, KKR*

Mr Brian Dillard joined KKR in 2006 and is a Partner and Head of Asia Credit. Prior to moving to Asia in 2018, Mr Dillard was a portfolio manager for KKR's Special Situations funds and portfolios in New York. Before joining the Special Situations team, Mr Dillard was a member of KKR's Private Equity Team and has been involved with several investments, including KKR's investment in Oriental Brewery. In 2008 and 2009, he worked in Hong Kong assisting in the development of KKR's Asian operations.

Prior to joining KKR, Mr Dillard was at Morgan Stanley & Co. in its mergers and acquisitions investment banking group, where he was involved in a number of mergers, acquisitions and financing transactions.

Mr Dillard graduated from Harvard College and received an M.B.A. from Harvard Business School.

### **Ms Elisa Ng**

*Chairman, Hong Kong Investment Funds Association*

Ms Elisa Ng is Managing Director, CEO of AM Hong Kong, as well as Head of Hong Kong Funds and Institutional Business for J.P. Morgan Asset Management. In her role, Ms







requested and obtained from the Belgian legislator the power for the FSMA to contribute to the financial education of the population. Under his impetus, the FSMA launched in 2013 the now very successful Wikifin financial education programme. And in 2020, the Wikifin Lab opened in Brussels. This unique centre for financial education has since welcomed thousands of Belgian students and 45.7 Tm0





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### Panel 3: Role of regulation in advancing sustainable finance

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#### **Dr Awang Adek Hussin**

*Chairman, Securities Commission, Malaysia*

Dr Awang Adek Hussin was appointed Executive Chairman of the Securities Commission Malaysia (SC) on 1 June 2022.

Dr Awang Adek currently chairs the Capital Market Development Fund and is also the Chairman of the Board of Governors of Universiti Sains Malaysia. He is also a member of the Financial Stability Executive Committee of the Central Bank Malaysia and Chairman of the Securities Industry Development Corporation.

Prior to his appointment as Chairman of the SC, Dr Awang Adek served as Malaysia's Ambassador to the United States of America from 2014 to 2016.

Dr Awang Adek has wide experience in economics and finance, having spent over 30 years in government and public service. He served as Deputy Minister of Finance from 2006 to 2013.

Prior to that he served in the Central Bank Malaysia for 17 years from 1985 until 2001, holding several positions including the role of Assistant Governor. He had also served on the boards of directors of several financial institutions and organisations including the SC, the first Director General of the Labuan Financial Services Authority, Permodalan Nasional Berhad, Chairman of Tenaga Nasional Berhad, and Chairman of Perbadanan Nasional Berhad.

Dr Awang Adek received his Bachelor's degree in Mathematics and Economics from Drew University, and his Master's and Doctor of Philosophy (PhD) in Economics from the University of Pennsylvania.

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### Panel 3: Role of regulation in advancing sustainable finance

**Mr Joseph Longo**

*Chair, Australian Securities and Investments Commission,  
Australia*

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#### **Panel 4: Intersection of Fintech and AI in wealth management**

##### **Moderator**

**Ms Bénédicte Nolens**

*Head of the BIS Innovation Hub Hong Kong Centre, Bank for International Settlements*

**Ms**

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#### Panel 4: Intersection of Fintech and AI in wealth management

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**Dr Pornanong Budsaratragoon**

*Secretary-General, Securities and Exchange Commission, Thailand*

Dr Pornanong Budsaratragoon is a distinguished professional with a robust academic background and extensive academic and regulatory experience. She holds a BBA in quantitative analysis from Chulalongkorn University, Thailand, an MBA in management of information systems from the University of Dallas, the United States, and a DBA in finance from Chulalongkorn University, Thailand.

Dr Pornanong's tenure at the Securities and Exchange Commission, Thailand showcases her leadership, serving as the board member of the capital market supervisory board, chair of the sub-committee on consideration of regulations on issuance and offering of debt securities, derivatives, and structured products, chair of the sub-committee on consideration of regulations on supervision of business and market intermediaries, and member of the sub-committee on consideration of regulations on asset management.

Prior to her current role, Dr Pornanong held the position of a full-time professor at the Chulalongkorn University. In addition to heading the banking and finance department, she chaired the committee of the Master of Science program in corporate governance, faculty of commerce and accountancy. Her academic prowess is evident through the publication of numerous textbooks and research studies.

Dr Pornanong's influence also extends to key institutions such as the Agricultural Futures Exchange of Thailand, the Stock Exchange of Thailand, the Institute Council Thai-Nichi Institute of Technology, the Office of the Higher Education Commission, and the National Savings Fund. Her multifaceted contributions underscore her integral role in shaping Thailand's academic and economic landscapes.

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#### **Panel 4: Intersection of Fintech and AI in wealth management**

**Mr Lim Tuang Lee**

*Assistant Managing Director, Head (Capital Markets Group)  
Monetary Authority of Singapore*

Mr Lim Tuang Lee is Assistant Managing Director of the Capital Markets Group of the Monetary Authority of Singapore (MAS), overseeing the regulation and supervision of capital markets. The group is responsible for the licensing and supervision of exchanges, clearing houses and capital markets intermediaries including financial advisers.

Prior to this appointment, Mr Lim was the Assistant Managing Director for the Organisation and People Development Group. Mr Lim has also served in various functions, including banking supervision, prudential policy



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#### **Panel 4: Intersection of Fintech and AI in wealth management**

**Mrs Amy Lo**

*Chairman, Executive Committee, Private Wealth Management Association*

Mrs Amy Lo is Chairman, Global Wealth Management Asia, Co-Head of Wealth Management Asia Pacific, Head and Chief Executive of UBS Hong Kong, and also Managing Director at UBS.

Actively involved in the development of the private banking industry in Hong Kong, Mrs Lo chairs the Executive Committee of the Hong Kong Private Wealth Management Association which has a



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#### **Panel 4: Intersection of Fintech and AI in wealth management**

**Ms Catherine Zhou**

*Global Chief Information Officer, Wealth and Personal  
Banking, HSBC*

Ms Catherine Zhou is the Global Chief Information Officer





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## Closing remarks

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**Ms Julia Leung, SBS, JP**

*Chief Executive Officer, Securities and Futures Commission,  
Hong Kong*

See Page 3 for Ms Leung's biography.

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