



Invitation to tender for the renewal of SAS software license

4 February 2025

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Purpose

1. 7KH SXUSRVH RI WKLV ,QYLWDWLRQ WR 7HQGHU ³,77' LV W prospective vendors on software license renewal for the SAS products deployed in the 6HFXULWLHV DQG)XWSXHV &RPPLVVLRQ ³

Requirement Specification

2. We require renewal of existing SAS software license for the list of items listed below:-

Note: In order to make easier comparison among different proposal among vendors, tenderer must submit the Fees quotation in the following format. The SFC reserves the right to accept or reject all or any part of a proposal.

,WH	'HVFULSWLRQ	3ULFLQ 0DWUL	4W\	7RWD 3ULF
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10. For the avoidance of doubt, it should be understood that the SFC will not be bound to accept any particular tender or proposal, and will be at liberty to reject all tenders or proposals.

11. The SFC shall have full discretion to decline any late submissions.

Queries Regarding This ITT

12. Any queries regarding this Invitation to Tender should be made to:

Mr. Robin POON
Manager
Information Technology, Corporate Affairs
Telephone : (852) 2231 2285
Email : rlppoon1@sfc.hk

OR

Mr. Gary KWOK
Senior Manager
Information Technology, Corporate Affairs
Telephone : (852) 2231 1456
Email : gkykwok@sfc.hk



Appendix A Confidentiality Acknowledgement

Acknowledgement and Undertaking

Acknowledgment in relation to the requirements for preservation of secrecy under section 2 UGLQDQFH & KDSWHU and sections 76A to 76G of the Anti-Money Laundering and Counter-Terrorist Financing (AMLC) Act of 2010. 3



structured product, futures contract, leveraged foreign exchange contract or collective investment scheme ²

- (a) which transaction he knows is or is connected with a transaction or a person that is the subject of any investigation or proceedings by the Commission under any of the relevant provisions or the subject of other proceedings under any provision of the SFO; or
 - (b) which transaction he knows is otherwise being considered by the Commission.
- (2) Subsection (1) does not apply to any transaction which a holder of securities or a structured product effects or causes to be effected by reference to any of his rights as such holder ²
 - (a) to exchange the securities or structured product or to convert the securities or structured product to another form of securities or structured product;
 - (b) to participate in a scheme of arrangement sanctioned by the Court of First Instance under the OFC rules, the Companies Ordinance (Cap. 622) or the relevant Ordinance;
 - (c) to subscribe for other securities or another structured product or dispose of a right to subscribe for other securities or another structured product;
 - (d) to charge or pledge the securities or structured product to secure the repayment of money;
 - (e) to realize the securities or structured product for the purpose of repaying money secured under paragraph (d); or
 - (f) to realize the securities or structured product in the course of performing a duty imposed by law.
- (3) Any member of the Commission or any person performing any function under any of the relevant provisions shall forthwith inform the Commission if, in the course of performing any function under any such provisions, he is required to consider any matter relating to ²
 - (a) any securities, futures contract, leveraged foreign exchange contract, structured product, or an interest in any securities, futures contract, leveraged foreign exchange contract, collective investment scheme or structured product
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 - (i) in which he has an interest;
 - (ii) in which a corporation, in the shares of which he has an interest, has an interest; or
 - (iii) which ²
 - (A) in the case of securities, is of or issued by the same issuer, and of the same class, as those in which he has an interest;
 - (B) in the case of a futures contract, is interests, rights or property based upon securities of or issued by the same issuer, and of the same class, as those in which he has an interest; or
 - (C) in the case of a structured product, is interests, rights or property based on a structured product of or issued by



,Q WKH 6SHFLILHG 3URYLVLRQV WKH WHUP 3SHUVRQ' KDV WK
RI WKH ,QWHUSUHWDLRQ DQG *HQHUDO &ODXVHV 2UGLQDQFI
includes any public body and any body of persons, corporate or unincorporate, and this
GHILQLWLRQ VKDOO DSSO\ QRWZLWKVWDQGLQJ WKDW WKH ZR
or relating to an offence or for the recovery of any fine or compensation.

I/We acknowledge that I/we have received and read carefully a copy of the Specified
Provisions, and understand that these sections (in particular, sections 378(1) and 379(1),
(2) and (3) of the SFO and sections 53ZTW(1), (2) and (3) and 76B(1) and (2) of the
AMLO) impose statutory obligations on me/us. I/We further confirm that I/we understand
and agree to be bound by the Specified Provisions. N14.004 (semo)13.007 (t)-3.995 (he)13.99570 (t)-4.00
