

				Transfer Tocome and
CHENFan("the Applicant")	6January 2021	Validurtil amendment crievocation	Waived the following conditions which were imposed on the licence granted to the Applicant:	NI.
(BNCSZ)			"For Type 4 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee innespect of the activity, and (b) not subject to this condition." "For Type 9 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee invespect of the activity, and (b) not subject to this condition."	



MORIMsashi ("the Applicant")	6January 2021	Validuntil amendment	
(11	3	orievocation	
OTT A LOCA			
(BMN428)			



TSANG ManShun ("the Applicant")	7January)	}	

(AFS253)



iFAST Platform Services (HK) Limited ("the	8January 2021	Validunil amendment	Waived the following condition which was imposed	Ni .
Applicant')		crievocation	on the licence granted to the Applicant:	
(ANHEE)			"The licensee shall not engage in stock brokening activities."	
Tang Wealth International Limited ("the Applicant")	8Janay2021	Validutilanenhert onevoalion	Waived the following condition which was imposed on the licence granted to the Applicant:	
(EV2033)			"The licensee shall not hold client assets. The terms	
			"hold" and "dient assets" are as defined under the	





				Last Update: 18 October 2024
BOND Jordian Simon ("the Applicant") (BIF053)	14.Januay 2021	Validurtil anerthert onevocation	Waived the following comition which was imposed on the licence granted to the Applicant: "For Type 1 regulated activity, the licensee shall not engage in dealing activities other than those relating to corporate finance."	Nª.
TEAGUE Teresa Arme ("the Applicant") (ARH-162)	14.January 2021	Validuril amendment ornevocation	Waived the following condition which was imposed on the licence granted to the Applicant: "For Type 1 regulated activity, the licensee shall not engage in dealing activities other than those relating to corporate finance."	NII.
SCOUIAR Christopher ("the Applicant") (BJH133)	14.Januay 2021	Validurtil anerdnert ornevocation	Waived the following condition which was imposed on the licence granted to the Applicant: 'For Type 1 regulated activity, the licensee shall not engage in dealing activities other than those relating to corporate finance."	N2
IIHmleug ('the Applicant') (AJC118)	18Janary 2021	Validurtil amendment crievocation	Waived the following condition which was imposed on the licence granted to the Applicant: 'For Type 9 regulated activity ('the activity'), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity; and (b) not subject to this condition!"	N





WONG Yung Steven ("the Applicant")	20.km my 2021	Valduril anenhert	Waived the following condition which was imposed	N
(Fill 1982)		orievocation	on the licence granted to the Applicant: "For Type 9 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activite of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition!"	
Worderland International Asset Management Limited ('the Applicant') (BGP105)	20.km nay 2021	Validurtil amendment ornevocation	Waived the following comition which was imposed on the licence granted to the Applicant: "The licensee shall not hold client assets. The terms "hold and "Client assets" are as defined under the Securities and Futures Ordinance."	N





WONG Kin Fung ("the Applicant") (BIN 571)	22.Januay 2021	Validurtil amendment ornevocation	Waived the following condition which was imposed on the licence granted to the Applicant: 'For Type 1 regulated activity ('the activity'), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition!"	
MUKuo ('the Applicant') (BNM-92)	25 Januay 2021	Validurtil amendment ornevocation	Waived the following condition which was imposed on the licence granted to the Applicant: "The licensee shall not carry out the regulated activity (ies) in Hong Kong formore than 30 days in each calendary ear and shall at all times be accompanied by a licensed person in performing the regulated activity (ies) in Hong Kong "	N ª







JIANG Zhivei ("the Applicant") (BBN084)	25 January 2021	Validurtil anendnent crievocation	Waived the following condition which was imposed on the licence granted to the Applicant:		N	
			"The licensee shall not canyout the regulated activity(ies) in Hong Kong formure than 600 lighting each calendar year and shall at all times be accompanied by a licensed person in performing the regulated activity(ies) in Hong Kong" (3	N a	

100 djangah (Applignet) 25 January 2021 20 Stalidur Balidur Ba

(EN669)



				insteprine: isocara assi
Excelerate Fund Management Limited ("the Applicant")	27 January 2021	Validuntil amendment ornevocation	Waived the following condition which was imposed on the licence granted to the Applicant:	NI.
(BJP857)			"For Type 9 regulated activity, the licensee shall not conduct business involving the discretionary management of any collective investment scheme. The term "collective investment scheme" is as defined under the Securities and Futures Ordinance."	
IEUNG Chi Wai ("the Applicant") (ACBOI9)	28.lanuary 2021	Validuril anenhert orievocation	Waived the following condition which was imposed on the licence granted to the Applicant: "For Type 5 regulated activity ("the activity"), the licensee must inhis capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activice of another responsible officer who is: (a) accredited to the principal concerned of the licensee invespect of the activity, and (b) not subject to this condition."	



				rascolinais: recornisans:
Al Yurgum ("the Applicant") (APK575)	28.km any 2021	Validuril anemhent crievocation	Waived the following condition which was imposed on the licence granted to the Applicant: "For Type 1 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activity of another responsible officer who is: (a) accredited to the principal concerned of the licensee invespect of the activity, and (b) not subject to this condition."	
YE Xin ('the Applicant') (BEP116)	29.January 2021	Validurtil amendment ornevocation	Waived the following condition which was imposed on the licence garded to the Applicant: "The licensee shall not carry out the regulated activity (ies) in Hong Kong for more than 30 days in each calendar year and shall at all times be accompanied by a licensed person imperforming the regulated activity (ies) in Hong Kong"	Nª









III inguan ("the Applicant") (BKV919)	1 February 2021	Validuntil amendment crievocation	Waived the following condition which was imposed on the licence granted to the Applicant	NI NI
			"The licensee shall not carry out the regulated activity(ies) in Hong Kong for more than 30 days in each calendar year and shall at all times be accompanied by a licensed person in performing the regulated activity(ies) in Hong Kong"	
MA Sichorg ("the Applicant") (BNB375)	1 February 2021	Validuntil amendment crievocation	Waived the following condition which was imposed on the licence granted to the Applicant: "The licensee shall not carry out the regulated activity(ies) in Hong Kong for more than 30 days in each calendar year and shall at all times be accompanied by a licensed person in performing the regulated activity(ies) in Hong Kong"	





				Discoprie: 18 Countrie 2007
XUZlichao ('the Applicant') (ENJ183)	3February 2021	Validurtil amendment orrevocation	Waived the following condition which was imposed on the licence granted to the Applicant: "The licensee shall not carry out the regulated activity(ies) in Hong Kong for none than 30 days in each calendar year and shall at all times be accompanied by a licensed person in performing the regulated activity(ies) in Hong Kong!"	Nª.
SHI Lingyi ("the Applicant") (AZR299)	3February 2021	Validurtil amendment orievocation	Waived the following condition which was imposed on the licence granted to the Applicant: "The licensee shall not carry out the regulated activity(ies) in Hong Kong for none than 30 days in each calendar year and shall at all times be accompanied by a licensed person in performing the regulated activity(ies) in Hong Kong!"	Ng.
HUANG Yujian ("the Applicant") (BOCS69)	4Felmay2021	Validuntil anendment crievocation	Waived the following condition which was imposed on the licence granted to the Applicant: "The licensee shall not carry out the regulated activity(ies) in Hong Kong for more than 30 days in each calendar year and shall at all times be accompanied by a licensed person in performing the regulated activity(ies) in Hong Kong!"	N ■











YNG Chi Kwan ("the Applicant")	10February 2021	Validurtil amendment crievocation	Modified the following condition which was imposed on the licence granted to the Applicant:
(AIZ863)			'For Type 6 regulated activity, the licensee shall not
			advise on naties/transactions falling within the archit
			of the Codes on Takeovers and Mergers and Share
			Buy backs issued by the Commission"
			to-
			'For Type 6 regulated activity, the licensee must, in
			the capacity as an adviser to a client on
			matters/transactions falling within the arrhit of the



(BPR486)

	SUXaobo ("the Applicant") 16 (ENV856)	10February 2021 Val	Validurti anerdnert crievocation	Waived the following condition which was imposed on the licence granted to the Applicant: "The licensee shall not carry out the regulated	NSI		
				activity(ies) in Hong Kong for more than 30 days in each calendar year and shall at all times be			
				accomparied by a licensed person in performing the registral activity(ies) in Hodg KAppt'th " n			
	HANDS Ducan Alexander ("the Applicant") niene	11 February 2021	Validuntil amendment cruevocation	Modified the following conditions which were imposed on the license treated to the Amblicanants that evila	eukatedni nte unsti	eiei	eei





"This licence, in relation to Type 9 regulated activity, shall lapse and cease to have effect (i) upon the licensee ceasing to act for or on behalf of his/her principal(s) in respect of that regulated activity, or (ii) 6 months after the grant of the licence for carrying on that regulated activity (or any later date as the Commission may agree in writing), which ever occurs earlier, unless the licensee



		_		
MACFARIANE Gavin James ("the Applicant") (BPQ194)	11 February 2021	Validurtil amendment ornevocation	on the licence granted to the Applicant: "This licence, in relation to Type 1 regulated activity, shall lapse and cease to have effect: (i) upon the licensee ceasing to act for or on behalf of his/her principal(s) in respect of that regulated activity, or (ii) 6 months after the grant of the licence for carrying on that regulated activity (or any later date as the Commission may agree in writing), which ever occurs earlier, unless the licensee has by that time passed the relevant recognised local regulatory framework paper(s) pursuant to the Guidelines on Competence issued by the Commission and informed the	N
			"This licence, in relation to Type 4 regulated activity, shall lapse and cease to have effect (i) upon the licensee ceasing to act for or on behalf of his/her principal(s) in respect of that regulated activity, or (ii) 6 months after the grant of the licence for carrying on that regulated activity (or any later date as the Commission may agree in writing), which ever occurs earlier, unless the licensee has by that time passed the relevant recognised local regulatory framework paper(s) pursuant to the Guidelines on Competence issued by the Commission and informed the Commission of the examination result."	



"This licence, in relation to Type 9 regulated activity, shall lapse and cease to have effect: (i) upon the licensee ceasing to act for or on behalf of his/her principal(s) in respect of that regulated activity, or (ii) 6 months after the grant of the licence for carrying on that regulated activity (or any later date as the Commission may agree in writing), whichever occus earlier; unless the licensee has by that time passed the relevant recognised local regulatory framework paper(s) pursuant to the Guidelines on Competence issued by the Commission and informed the Commission of the examination result:"

to -





				Herephile: location acer
Centaline Wealth Management Limited ("the Applicant")	5February 2021	Validuntil amendment crievocation	Modified the following condition which was imposed on the licence granted to the Applicant:	Nii
(AJF358)			"For Type 9 negulated activity, the licensee shall not provide a service of managing a portfolio of futures contracts for another person"	
			ta -	
			"For Type 9 regulated activity, the licensee shall not provide a service of managing a portfolio of futures contracts for another person unless it is for hedging purpose only."	
TAN Eugerio T. ("the Applicant")	19Februry 2021	Validurii amendment orievocation	Waived the following condition which was imposed on the licence granted to the Applicant:	. N
(ACMBO4)		CTEXIZALII	"For Type 1 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the lusiness of the activity, do so under the activice of another responsible officer who is: (a) accredited to the principal concerned of the licensee invespect of the activity, and (b) not subject to this condition."	
		1		







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	CON 1			
XIAO Yuan ("the Applicant") (AZE291)	22 February 2021	Validuntil amendment omevocation	Waived the following conditions which were imposed on the licence granted to the Applicant:	NI.
			"For Type 1 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the husiness of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition."	
			"For Type 2 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition."	
			"For Type 4 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activite of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition"	





CHAN Yee Mee, Emily ("the Applicant")	5Mach2021	Validurtil anerdnert crevocation	Waived the following condition which was imposed on the licence granted to the Applicant:	N
(AAE427)			'For Type 6 regulated activity, the licensee must, in the capacity as an adviser to a client on matters/transactions falling within the archit of the Codes on Takeovers and Mergers and Share Buy- backs issued by the Commission, act together with	
			another adviser (to the client) not subject to this condition"	

MAKKitPing("the Applicant")

(AIR520



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ILIKinWai ('the Applicant')	10Mach2021	Validuril anenthert crievozation	Waived the following conditions which were imposed on the licence granted to the Applicant:	NI.
(ANMD45)			did Rate garante April	
(-1.2.3)			'For Type 1 regulated activity ('the activity'), the	
			licensee must in his capacity as a responsible officer;	
			when actively participating in or when directly	
			supervising the business of the activity, do so under	
			the advice of another responsible officer who is:	
			(a) accredited to the principal concerned of the	
			licensee invespect of the activity, and (b) not subject to this condition"	
			(b) hasagea to this cartain."	
			'For Type 2 regulated activity ('the activity'), the	
			licensee must in his capacity as a responsible officer;	
			when actively participating in or when directly	
			supervising the business of the activity, do so under	
			the advice of another responsible officer who is:	
			(a) accredited to the principal concerned of the	
			licersee in respect of the activity, and	
			(b) not subject to this condition"	
1				









YU Xiaoxiao ("the Applicant")	12March 2021	Validuril anenthert orrevocation	Waived the following condition which was imposed	

(BN4992)



KOKSenKan ("the Applicant")	15March 2021	Validurtil amendment	Waived the following condition which was imposed				
		crievocation	on the licence granted to the Applicant				
(AUC483)							
			'For Type 2 regulated activity ('the activity'), the				
			licensee must in his capacity as a responsible officer;	;			
			when actively participating in crwhen directly				
			supervisingheted in his iden iden	n	8eh	dit 20	









WU Jianjug ('the Applicant')	18March 2021	Validuntil amendment	Waived the following condition which was imposed	Ni
(BFU119)		crievocation	on the licence granted to the Applicant-	
(Hruis)			"The licensee shall not carry out the regulated	
			activity(ies) in Hong Kong for more than 30 days in	
			each calendar year and shall at all times be	
			accompanied by a licensed person in performing the regulated activity(ies) in Horg Korg"	
			Regarditus/(LS) and giving	





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Eurizon Capital Asia Limited ("the Applicant") (BHO750)	30March 2021	Validurtil amendment ornevocation	Waived the following condition which was imposed on the licence granted to the Applicant: 'For Type 9 regulated activity, the licensee shall not provide a service of managing a portfolio of futures contracts for another person"	NI
AccFinCorporate Finance Limited ("the Applicant") (EIV442)	31 March 2021	Validuril anenthert crievocation	Modified the following condition which was imposed on the licence granted to the Applicant: 'For Type 6 regulated activity, the licensee shall not advise on matters/transactions falling within the ambit.	
			of the Codes on Takeovers and Mergers and Share Buy backs issued by the Commission!" to - "For Type 6 regulated activity, the ulated ac_yp	ted ac you topey Ty



				Lascopiale: locciderales
CHUNG Chi Kin, Kerneth ("the Applicant")	31 March 2021	Validuntil amendment ornevocation	Modified the following condition which was imposed	Ni Ni
(ARB661)		Crievocatori	on the licence granted to the Applicant:	
			'For Type 6 regulated activity, the licensee shall not	
			advise on matters/transactions falling within the ambit	
			of the Codes on Takeovers and Mergers and Share	
			Buy backs issued by the Conmission"	
			to -	
			'For Type 6 regulated activity, the licensee must, in	
			the capacity as an adviser to a dient on	
			matters/transactions falling within the ambit of the	
			Codes on Taleovers and Mergers and Share Buy	
			backs issued by the Commission, act together with	
			another adviser (to the dient) not subject to this	
			condition"	
	ĺ			



SUNG Ting Yee ("the Applicant")	31 March 2021	Validunil anenthent ornevocation	Modified the following condition which was imposed on the licence granted to the Applicant:	

(AUW114)





KWANSinHarg('the Applicant') (AVF586)	1 April 2021	Validuril anendment or revocation	Waived the following condition which was imposed on the licence granted to the Applicant: 'For Type 1 regulated activity ('the activity'), the licensee must inhis capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the active of another responsible officer who is: (a) accredited to the principal concerned of the licensee innespect of the activity; and (b) not subject to this condition!"	





COFCO Asset Management (International) Company Limited ("the Applicant")	1 April 2021	Validuntil amendment omevocation	Waived the following conditions which were imposed on the licence granted to the Applicant:	NI .
(AZY974)			"The licensee shall not hold client assets. The terms 'hold' and ''client assets' are as defined under the Securities and Futures Ordinance''	
			"The licensee shall only provide services to professional investors. The term "professional investor" is as defined in the Securities and Futures Ordinance and its subsidiary legislation"	
CHANSukChi ("the Applicant")	7April 2021	Validuntil amendment or revocation	Waived the following condition which was imposed on the licence granted to the Applicant:	
(AEB44)			'For Type 1 regulated activity ('the activity'), the licensue must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the active of another responsi s	



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YEUNG Chun Yin ("the Applicant") (BB7245)	7April 2021	Validuril anendment or revocation	Waived the following condition which was imposed on the licence granted to the Applicant: "For Type 1 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activic of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition!"	
ZHANG Ziiyu ("the Applicant") (AYQSO)	8April 2021	Validuntil amendment or revocation	Waived the following condition which was imposed on the licence granted to the Applicant: "For Type 9 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the achice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition!"	







				Lascuptaie: Lascucherausa
CHEUNG KamPing ("the Applicant")	20 April 2021	Validurilanenhent	Waived the following conditions which were imposed	N
(AFRICA)		orrevocation	on the licence granted to the Applicant	
(AEXSG)			"For Type 1 regulated activity ("the activity"), the licensee must in his capacity as a responsible office; when actively participating in or when directly supervising the husiness of the activity, do so under the activity of another responsible officer who is: (a) accredited to the principal concerned of the licensee invespect of the activity, and (b) not subject to this condition."	
			"For Type 4 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activity of according to the principal concerned of the licensee invespect of the activity, and (b) not subject to this condition."	



				Last Update: 18 October 20
Somerley Capital Limited ("the Applicant") (BAL754)	20 April 2021	Validurii amendment ornevocation	Modified the following condition which was imposed on the licence granted to the Applicant: "For Type 1 regulated activity, the licensee shall not engage in dealing activities other than those relating to corporate finance." to- "The licensee shall not hold client assets. The terms "hold and "client assets" are as defined under the Securities and Futures Ordinance." "The licensee shall only provide services to professional investors. The term "professional investors ard Futures Ordinance and Futures Ordinance and Futures Ordinance and its subsidiary legislation."	NI NI
OceanNast Capital Limited ("the Applicant") (BP1399)	21 April 2021	Validurtil amendment orrevocation	Waived the following condition which was imposed on the licence granted to the Applicant: "For Type 9 regulated activity, the licensee shall not conduct business involving the discretionary management of any collective investment scheme." The term "collective investment scheme" is as defined under the Securities and Futures Ordinance."	N.



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IEE HinPong ("the Applicant") (BBQ304)	21 April 2021	Valid until amendment ornevocation	Modified the following condition which was imposed on the licence granted to the Applicant: 'For Type 6 regulated activity, the licensee shall not arhise on matters/transactions falling within the archit of the Codes on Takeovers and Mergers and Share Buy backs issued by the Commission!' to - 'For Type 6 regulated activity, the licensee must, in the capacity as an adviser to a client on matters/transactions falling within the archit of the Codes on Takeovers and Mergers and Share Buy backs issued by the Commission, act together with another adviser (to the client) not subject to this condition!'	



IIU Yicheng ("the Applicant") (BN544)	23 April 2021	Validuril amendment crievocation	Waived the following condition which was imposed on the licence granted to the Applicant: "The licensee shall not carry out the regulated activity(ies) in Hong Kong for more than 30 days in each calendar year and shall at all times be accompanied by a licensed person in performing the regulated activity(ies) in Hong Kong"	
LIANG Yue ("the Applicant") (BIL 738)	23 April 2021	Validurii anenhert orievozation	Waived the following condition which was imposed on the licence granted to the Applicant: "The licensee shall not canyout the regulated activity (ies) in Hong Kong for more than 30 days in each calendary carrand shall at all times be accompanied by a licensed person imperforming the regulated activity (ies) in Hong Kong "	N





CHU Simon Siu Hung ('the Applicant')	23 April 2021	Validuril amendment	Waived the following condition which was imposed	
(ATTERNA)		<u>arrevocation</u>	on the licence granted to the Applicant	
(ALW291)				
			'For Type 9 regulated activity ('the activity'), the	
pion which h° tile t° m;pplty')e hegt	nth1	æ	e licentaire noist in h ill estal city as a responsible officer;	
ŀ	1 P.		when actively participation we i	







		<u> </u>		Lascopiale: locoliterates
YU Ziyi ("the Applicant") (BFX990)	3May 2021	Validuntil amendment orrevocation	Waived the following condition which was imposed on the licence granted to the Applicant: "The licensee shall not canyout the regulated activity (ies) in Hong Kong formure than 30 days in each calendary ear and shall at all times be accompanied by a licensed person in performing the regulated activity (ies) in Hong Kong!"	N
YAN Jie ("the Applicant") (BJC920)	3May 2021	Validuntil amendment crievocation	Waived the following condition which was imposed on the licence granted to the Applicant: "The licensee shall not carry out the regulated activity(ies) in Hong Kong for more than 30 days in each calendar year and shall at all times be accompanied by a licensed person in performing the regulated activity(ies) in Hong Kong!"	



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YINHang ('the Applicant') (BKW200)	3May 2021	Validuntil amendment crievocation	Waived the following condition which was imposed on the licence granted to the Applicant: "The licensee shall not canyout the regulated activity (ies) in Hong Kong formure than 30 days in each calendary carandshall at all times be accompanied by a licensed person in performing the regulated activity (ies) in Hong Kong!"	Nª
YANG Rui ("the Applicant") (BIS 167)	5 May 2021	Validuntil amendment orrevocation	Waived the following condition which was imposed on the licence granted to the Applicant: "For Type 1 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee invespect of the activity, and (b) not subject to this condition."	

(AYU593)



XU Feifei ("the Applicant")	6May 2021	Validuntil Overchent cresognization	Waived the following unualition will inflow a propertied on the licence granted to title Applicant:					
(AYU593)		G ICWESTICATION	untercate garacteme Appear.					
			"The licensee shall not carry out the augulated by lated					
			activity(ies) in Horg Korg formure than 180 days in					
			eachcalendaryear and shall at all limes the					
			accompanied by a licensed person in performing through the person in pers	t mr	yeeee	Œ	1 Ja	. 0









		ornevocation		
YEUNG Sau Hing ("the Applicant")	10May 2021	Validuntil amendment	Waived the following condition which was imposed	

(ALV262)





WANG Zhayan ("the Applicant") (BND729)	20May 2021	Validurtil amendment ornevocation	Waived the following condition which was imposed on the disasseguing that the Applicant N "The licensee shall not carry out the regulated activity (ies) in Hung Kong for more than 30 days in each calendary ear and shall at all times be accompanied by a licensed person in performing the regulated activity (ies) in Hung Kong!"	NII.
WANG Jirg ('tle Applicant')				
(BEZZ s	nD7n ogP°		, , , , , , and	



IEE TakLong Felix ("the E	۰	h	E	R	€ ~	4	





				Lastopiale: lacoideraca
			"For Type 4 regulated activity ("the activity"), the licensee must inhis capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the achice of another responsible officer who is: (a) accredited to the principal concerned of the licensee innespect of the activity, and (b) not subject to this condition!"	
			"For Type 9 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition."	
QJAO Xiaowei ("the Applicant") (BGQ503)	21 May 2021	Validuntil amendment orrevocation	Waived the following condition which was imposed on the licence granted to the Applicant: "The licensee shall not carry out the regulated activity(ies) in Hong Kong for more than 30 days in each calendar year and shall at all times be accompanied by a licensed person in performing the regulated activity(ies) in Hong Kong!"	Ni



UNG Qian ("the Applicant") (BNQ548)	21 May 2021	Validuntil amendment orievocation	Waived the following condition which was imposed on the licence granted to the Applicant: "The licensee shall not carry out the regulated activity (ies) in Hong Kong for more than 30 days in each calendary year and shall at all times be accompanied by a licensed person in performing the regulated activity (ies) in Hong Kong!"	Nª
WANG J.e ('the Applicant') (BIS 260)	21 May 2021	Validurtil anenthert crievocation	Waived the following comition which was imposed on the licence granted to the Applicant: "The licensee shall not carry out the regulated activity (ies) in Hong Kong for more than 30 days in each calendary year and shall at all times be accompanied by a licensed person in performing the regulated activity (ies) in Hong Kong!"	Nª



Wingste Asset Management Limited ('the Applicant')	24May 2021	Validuntil anendnent orievocation	Modified the following condition which was imposed on the licence granted to the Applicant:
(EI-D398)			'For Type 1 regulated activity, the licensee shall not conduct business other than (1) communicating offers to effect dealing in securities in the names of the persons from whom those offers are received and (2) introducing persons to effect dealing in securities or make offers to deal in securities, through another intermediary or financial institution that is licensed and/or registered with the Securities and Futures Cod idw (Mwwitwo it with



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	context busine (1) dealing inco (2) communicates into those offers are (3) introducing cornelae offers intermediary the 1 regulated action and from securities by an outside Hung Intermediary the Commission The terms "colling".	diective investment schemes; ting offers to effect dealing in e names of the persons from whom e received; and persons to effect dealing in securities to deal in securities, through another ratis (a) licensed or registered for Type ivity with the Commission, or (b) under in (however described) to deal in mauthority or regulatory organisation for g that performs similar functions of	





















'For Type 4 regulated activity ('the activity'), the licensee must in his capacity as a responsible office; when actively participating in or when directly supervising the business of the activity, do so under the activity of another responsible officer who is:

- (a) accredited to the principal concerned of the licensee invespect of the activity, and
- (b) not subject to this condition"

'For Type 5 regulated activity ('the activity'), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activite of another responsible officer who is:

- (a) accredited to the principal concerned of the licensee invespect of the activity, and
- (b) not subject to this condition"

'For Type 9 regulated activity ('the activity'), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activity of another responsible officer who is:

(a) according to the priviled accounted of the

(a) accredited to the principal concerned of the licensee invespect of the activity, and









WONG ManMing, Melinda ("the Applicant")	18June 2021	Va i du ti lanenhet	Waived the following conditions which were imposed	
wasvanvig veita (ue Apiaat)	louieari	orievocation	on the licence granted to the Applicant	
(A I/28 73)			3	
			'For Type 6 regulated activity ('the activity'), the	
			licensee must in his capacity as a responsible officer;	
			when actively participating in or when directly	
			supervising the business of the activity, do so under	
			the advice of another responsible officer who is:	
			(a) accredited to the principal concerned of the licensee invespect of the activity, and	
			(b) notsubject to this condition"	
			"For Type 6 regulated activity, the licensee must, in	
			the capacity as an adviser to a dient on	
			matters/transactions falling within the archit of the	
			Codes on Taleovers and Mergers and Share Buy	
			backs issued by the Commission, act together with	
			another adviser (to the client) not subject to this	





RostrumGrand Limited ("the Applicant")	24June 2021	Validuril anendrent	•	N
(BIH635)		arrevocation	on the licence granted to the Applicant	
			"The licensee shall not hold dient assets. The terms	
			'hold' and 'dient assets' are as defined under the	
			Securities and Futures Ordinance."	

AHYA Chetan Kishme ("the Applicant")



KAMYorg Karg Denick ("the Applicant") (AXI-544)	25 June 2021	Validuril amendment crievocation	Waived the following condition which was imposed on the licence granted to the Applicant: "The licensee: (1) shall not canyout the regulated activity(ies) in Hung Kong formure than 30 days in each calendar year; and (2) shall not provide related services to any person other than a professional investor unless the licensee is accompanied at all times by a licensed person in performing the regulated activity(ies) in Hung Kong. The term 'professional investor' is as defined in Part 1 of Schedule 1 to the Securities and Futures. Ordinance but does not include any person of a class which is prescribed by the Securities and Futures. (Professional Investor) Rules."	



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LYUSu("the Applicant")



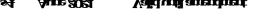


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FUEn('the Applicant') (BPD575)	28June 2021	Validurtil amendment ornevocation	Waived the following condition which was imposed on the licence garded to the Applicant: "The licensee shall not carry out the regulated activity (ies) in Hung Kong for more than 30 days in each calendary ear and shall at all times be accompanied by a licensed person in performing the regulated activity (ies) in Hung Kong!"	Nº
CHENXie ("the Applicant") (BCU613)	28June 2021	Validuril ameniment ornevocation	Waived the following condition which was imposed on the licence granted to the Applicant: "The licensee shall not carry out the regulated activity (ies) in Hong Kong for more than 30 days in each calendary earnd shall at all times be accompanied by a licensed person in performing the regulated activity (ies) in Hong Kong!"	NII.







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<i>2</i> 8June <i>2</i> 021	Validuntil amendment	
	arievocation	
	dh	
	28June 2021	grievocation











Alpha WealthMaragementLimited ("the Applicant")	14July 2021	Validuntil amendment ornevocation	Waived the following condition which was imposed on the licence granted to the Applicant:	Ni.
(ANRSEE)			"For Type 9 regulated activity, the licensee shall not conduct business involving the discretionary management of any collective investment scheme The term "collective investment scheme" is as defined under the Securities and Futures Ordinance."	
WONG KwokKing ("the Applicant") (AFC358)	14 J.i ly2021	Validutil anendnert orrevocation	Waived the following condition which was imposed on the licence granted to the Applicant: 'For Type 9 regulated activity, the licensee shall not conduct business involving the discretionary management of any collective investment scheme. The term 'collective investment scheme' is as defined under the Securities and Futures Ordinance."	Nº.
LV. Continum&CoLimited ("the Applicant")	15Jdy 2021	Validurtil amendment orrevocation	Modified the following condition which was imposed on the licence granted to the Applicant:	
(BPF355)			'M M FM M n	lÐ aði nafh





, (,,		arrevocation		
IAU Ka Yip Eddy ("the Applicant")	19July 2021	Validuntil amendnent	Waived the following condition which was imposed	

(ACD286)





Susqueharra Hong Kong Limited ("the





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MAKChakHurg("the Applicant") (AAC295)	2August 2021	Validuntil amendment crievocation	Waived the following condition which was imposed on the licence granted to the Applicant: 'For Type 1 regulated activity ('the activity'), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition!'	
IIPakWang Daniel ("the Applicant") (APW942)	2 August 2021	Validuril anentherit orievocation	Waived the following condition which was imposed on the licence granted to the Applicant: "For Type 1 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition."	





CHEN Jiaqi ("the Applicant")	3August 2021	Validuril anenthert onevocation	War t	i uq	sa°aij')o')	

(BQV632)





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CHEUNG Chi Lok ("the Applicant") (AWC705)	5August 2021	Validuntil amendment orrevocation	Waived the following condition which was imposed on the licence granted to the Applicant:	NI .
			'For Type 1 regulated activity ('the activity'), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this obtantificant relition.	
YANG Mei Ni ("the Applicant") (AGG980)	5August 2021	Validuril anenthert orrevocation	Waived the following cordition which was imposed on the licence granted to the Applicant: 'For Type 9 regulated activity ('the activity'), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respective.	pee" a



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LYNCHFeigus Michael ("the Applicant") (AZI329)	3August 2021	Validurtil amendment orrevocation	Waived the following condition which was imposed on the licence granted to the Applicant: 'For Type 6 regulated activity ('the activity'), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activity of another responsible officer who is: (a) accredited to the principal concerned of the licensee imespect of the activity, and (b) not subject to this condition!'	
HANG Jun ("the Applicant") (PIN429)	10 August 2021	Validurfil anentherit crievocation	Waived the following condition which was imposed on the licence granted to the Applicant: "For Type 1 regulated activity, the licensee shall not engage in dealing activities other than those relating to corporate finance."	





Chief Management Limited ("the Applicant")	19August 2021	Validuntil amendnent	Waived the following condition which was imposed	N
		crrevocation	on the licence granted to the Applicant	
(BOP062)				
			'For Type 9 regulated activity, the licensee shall not	
			conduct business involving the discretionary	
			maragement of any collective investment scheme.	
			The term' collective investment scheme' is as	
			defined under the Securities and Futures Ordinance."	



				Last Update: 18 October 202
LAWPui Cheurg ("the Applicant")	19August 2021	Validuril anerthert		N
(BEA039)		crievocation	on the licence granted to the Applicant:	
			'For Type 4 regulated activity ('the activity'), the	
			licensee must in his capacity as a responsible officer;	
			when actively participating in or when directly	
			supervising the business of the activity, do so under	
			the advice of another responsible officer who is:	
			(a) accredited to the principal concerned of the licensee invespect of the activity, and	
			(b) notsubject to this condition"	
			'For Type 9 regulated activity ('the activity'), the	
			licensee must in his capacity as a responsible officer;	
			when actively participating in or when directly	
			supervising the business of the activity, do so under	
			the advice of another responsible officer who is: (a) accredited to the principal concerned of the	
			licensee in respect of the activity, and	
			(b) not subject to this condition"	



CHANWing Yau, George ("the Applicant") (AACO44)	19August 2021	Validurtil amendment crievocation	Waived the following condition which was imposed on the licence granted to the Applicant: 'For Type 1 regulated activity ('the activity'), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition!'	





u8mantSecurities Limited ("the Applicant") 20 August 2021 Valid until amendment Modified the following condition which was imposed Nil	
(BIAGO) Ter Type 9 regulated activity, the licensee shall only provide services to professional investors. The term "professional investor is as defined in the Securities and Futures Ordinance and its subsidiary legislation" to "For Type 9 regulated activity, the licensee shall only carry on a business in the management of (a) discretional y accounts; and (b) collective investment schemes that are offered to professional investors only. The terms "to flective investment scheme" and "professional investor" are defined under the Securities and Futures Ordinance and its subsidiary legislation (where applicable)."	



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SHEN Yining ("the Applicant")	20August 2021	Validuril anembert	Waived the following conditions which were imposed	N
(BIB918)		crievocation	on the licence granted to the Applicant	
			'For Type 4 regulated activity ('the activity'), the licensee must in his capacity as a responsible officer; when actively participating in or when directly	
			supervising the business of the activity, do so under the advice of another responsible officer who is:	
			(a) accredited to the principal concerned of the licensee invespect of the activity, and	
			(b) not subject to this condition"	
			'For Type 9 regulated activity ('the activity'), the licensee must in his capacity as a responsible officer;	
			when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is:	
			(a) accredited to the principal concerned of the licensee invespect of the activity, and	
			(b) notsubject to this condition"	



IEE Pui Shan ("the Applicant")	24 August 2021	Validurtil ardı	namied	

(AFU414)



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WU KinSan, Alfred ("the Applicant") (APR819)	27 August 2021	Validuril anemment orievocation	Modified the following condition which was imposed on the licence granted to the Applicant: 'For Type 6 regulated activity, the licensee shall not advise on matters/transactions falling within the arrhit of the Codes on Taleovers and Mergers and Share Buy backs issued by the Commission'' to- 'For Type 6 regulated activity, the licensee must, in the capacity as an adviser to a client on matters/transactions falling within the arrhit of the Codes on Taleovers and Mergers and Share Buy-backs issued by the Commission, act together with another adviser (to the client) not subject to this condition''	











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WEN Zimin ("the Applicant") (AIDO45)	8September 2021	Validuntil amendment crievocation	Waived the following corrition which was imposed on the licence garted to the Applicant: "For Type 9 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activity of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition."	Nii.
TANHii Yerg ("the Applicant") (BCP982)	10September 2021	Validuntil amendment crievocation	Waived the following comition which was imposed on the licence garted to the Applicant: "For Type 9 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee invespect of the activity, and (b) not subject to this condition!"	Nii



				-
Li Tze Yan, Janet ("the Applicant")	10September 2021	Validuntil amendment	Waived the following conditions which were imposed	N
mize in other (despectation)	losquina aar		•	••
		crievocation	on the licence granted to the Applicant	
(AIN77I)				
			'For Type 1 regulated activity ('the activity'), the	
			licensee must in his capacity as a responsible officer;	
			when actively participating in or when directly	
			supervising the business of the activity, do so under	
			the advice of another responsible officer who is:	
			(a) accredited to the principal concerned of the	
			licensee invespect of the activity, and	
			(b) not subject to this condition"	
			(b) insugacious cultura	
			'For Type 9 regulated activity ('the activity'), the	
			licensee must in his capacity as a responsible officer;	
			when actively participating in or when directly	
			supervising the business of the activity, do so under	
			the advice of another responsible officer who is:	
			(a) accredited to the principal concerned of the	
			licensee in respect of the activity, and	
			(b) not subject to this condition"	





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Last Update: 18 October 2024

IAU ChinChing ('the Applicant')	14September 2021	Validurtil amendment orrevocation	Modified the following condition which was imposed on the licence granted to the Applicant:	
(ATTENDACE)			2	

(AWS 123)





Administry Harbour Capital Limited ("the Applicant") (BH134)	28September 2021	Validuntil amendment orrevocation	Waived the following condition which was imposed on the licence granted to the Applicant: "For Type 6 regulated activity, the licensee shall not advise on matters/transactions falling within the ambit of the Codes on Takeovers and Margers and Share Buy backs issued by the Commission."	
LIU Bei ("the Applicant") (ANNEZE)	28September 2021	Validuntil amendment or revocation	Modified the following condition which was imposed on the licence granted to the Applicant: "For Type 6 regulated activity, the licensee shall not achise on matters/transactions falling within the archite of the Codes on Takeovers and Mergers and Share Buy-backs issued by the Commission." to "For Type 6 regulated activity, the licensee must, in the capacity as an achiser to a client on matters/transactions falling within the archit of the Codes on Takeovers and Mergers and Share Buy-backs issued by the Commission, act together with another achiser (to the client) not subject to this condition."	













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SONG Xirii ("the Applicant")	60ctober 2021	Validuntilanenthent		Ni
l		arrevocation	on the licence granted to the Applicant:	
(EFV858)				
			'For Type 1 regulated activity ('the activity'), the	
			licensee must in his capacity as a responsible officer;	
			when actively participating in or when directly	
			supervising the business of the activity, do so under	
			the advice of another responsible officer who is: (a)	
			accredited to the principal concerned of the licensee	
			in respect of the activity, and (b) not subject to this condition"	
			'For Type 2 regulated activity ('the activity'), the	
			licensee must in his capacity as a responsible officer;	
			when actively participating in or when directly	
			supervising the business of the activity, do so under	
			the advice of another responsible officer who is: (a)	
			accredited to the principal concerned of the licensee	
			in respect of the activity, and (b) not subject to this	
			caritian"	









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FANG Pei Wei ("the Applicant") (AGBS84)	70ctober 2021	Validuntil amendment crievocation	Waived the following condition which was imposed on the licence granted to the Applicant: "For Type 2 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activite of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity; and (b) not subject to this condition."	NII.
FEI Xiang ('the Applicant') (AOV610)	80dder2021	Validuntil amendment or revocation	Waived the following condition which was imposed on the licence granted to the Applicant: "For Type 1 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activity of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition."	NI.







				Transformer Tocome and
CHANWai Sze Stephanie ("the Applicant") (BDU331)	25 October 2021	Validurii anenhert crievocation	Waived the following conditions which were imposed on the licence granted to the Applicant: "For Type 1 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee invespect of the activity, and	Nº
			(b) not subject to this condition" "For Type 4 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activite of another responsible officer who is: (a) accredited to the principal concerned of the licensee invespect of the activity, and (b) not subject to this condition"	





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CHEUNG Wing Hong Sharmon ("the Applicant") (AEL680)	28October 2021	Validurii anenment orievocation	Waived the following condition which was imposed on the licence granted to the Applicant: 'For Type 1 regulated activity ('the activity'), the licensee must inhis capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the achice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity; and (b) not subject to this condition!'	
IEUNG Wang Kit, James ("the Applicant") (ACCOIS)	28October 2021	Validuril anenthent crievocation	Waived the following condition which was imposed on the licence granted to the Applicant: 'For Type 5 regulated activity ('the activity'), the licensee must inhis capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition."	



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YU Qiyuan Chloe ("the Applicant") (BMR082)	28October 2021	Validuril anemhert crievocation	Waived the following comitions which were imposed on the licence granted to the Applicant: "For Type 4 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the husiness of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity; and (b) not subject to this comition." "For Type 9 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the husiness of the activity, do so under the activice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity; and (b) not subject to this condition."	N.
IAITai Yan ("the Applicant") (BF1987)	2November 2021	Validuntil anemhent orievocation	Waived the following condition which was imposed on the licence granted to the Applicant: "For Type 2 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activice of another responsible officer who is: (a) accredited to the principal concerned of the licensee invespect of the activity, and (b) not subject to this condition"	Nº.



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ED&FManCapital Markets Hong Kong Limited ("the Applicant")	2November 2021	Validurtil anenthert crevocation	Modified the following condition which was imposed on the licence granted to the Applicant:	Ni
(BBD066)			"For Type 2 regulated activity, the licensee shall not conduct business other than- (a) communicating offers to effect dealings infutures contracts or options contracts to ED &F Man Capital Markets Limited and ED &F Capital Markets Inc., in the names of the persons from whom those offers are received, and (b) introducing persons to ED &F Man Capital Markets Limited and ED &F Capital Markets Inc., in order that they may- (i) effect dealings infutures contracts or options contracts; or (ii) make offers to deal infutures contracts or options contracts." to - "For Type 2 regulated activity, the licensee shall not conduct business other than- (a) communicating offers to effect dealings infutures contracts or options contracts to ED &F Man Capital Markets Limited and ED &F Capital Markets Inc., in the names of the persons from whom those offers are received, (b) introducing persons to ED &F Man Capital Markets Limited and ED &F Capital Markets Inc., in order that they may-	





,	,			Last Update: 180ctober 2024
IIU Yurperg ("the Applicant") (BRC478)	29October 2021	Validuril anendment crievocation	Waived the following comition which was imposed on the licence granted to the Applicant: "The licensee shall not carry out the regulated activity (ies) in Hong Kong for more than 30 days in each calendar year and shall at all times be accompanied by a licensed person in performing the regulated activity (ies) in Hong Kong!"	N
IIMengahu("the Applicant") (BNM999)	29October 2021	Validuntil amendment orrevocation	Waived the following condition which was imposed on the licence granted to the Applicant: "The licensee shall not carry out the regulated activity (ies) in Hong Kong for more than 30 days in each calendary ear and shall at all times be accompanied by a licensed person in performing the regulated activity (ies) in Hong Kong!"	NI
WANG Hai ("the Applicant") (BRC6337)	2900trber 2021	Validuril anenthert orievocation	Waived the following cordition which was imposed on the licence granted to the Applicant: "The licensee shall not carry out the regulated activity (ies) in Hong Kong for more than 30 days in each calendary year and shall at all times be accompanied by a licensed person in performing the regulated activity (ies) in Hong Kong!"	N







				Last Update: 18 October 2024
CAORui ("the Applicant") (BQQ999)	290ctcher 2021	Validuntil anendnent ornevocation	Waived the following condition which was imposed on the licence granted to the Applicant: "The licensee shall not carry out the regulated activity (ies) in Hong Kong for more than 30 days in each calendary ear and shall at all times be accompanied by a licensed person in performing the regulated activity (ies) in Hong Kong!"	Nª
ZHAOHean("the Applicant") (BRA093)	29October 2021	Validurtil amendment ornevocation	Waived the following condition which was imposed on the licence granted to the Applicant: "The licensee shall not carry out the regulated activity(ies) in Hong Kong for more than 30 days in each calendary ear and shall at all times be accompanied by a licensed person in performing the regulated activity(ies) in Hong Kong!"	N
II Gerg ("the Applicant") (BI-D851)	290ctcher 2021	Validurtil ameniment ornevocation	Waived the following cordition which was imposed on the license granted to the Applicant: "The licensee shall not carry out the regulated activity (ies) in Hong Kong for more than 30 days in each calendary year and shall at all times be accompanied by a licensed person in performing the regulated activity (ies) in Hong Kong!"	N



Sensible Asset Management Limited ("the Applicant") (ALO057)	2November 2021	Validurtil amerdment crievocation	Waived the following combine which was imposed on the licence granted to the Applicant "The licensee shall not hold client assets. The terms "hold" and "client assets" are as defined under the Securities and Futures Ordinance."	Ni
YAN Guicherg ("the Applicant") (BNS315)	11 November 2021	Validuntil amendment crievocation	Waived the following condition which was imposed on the licence granted to the Applicant	









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Last Update: 18 October 2024

VBG Capital Limited ("the Applicant") 19 November 2021 Valid until amendment Waived the following condition which was imposed consecution				
	VBG Capital Limited ("the Applicant")	19November 2021	Waived the following condition which was imposed	

(AME341)





	'For Type 5 negulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in crowhen directly supervising the business of the activity, do so under the activitie of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition!"	
	"For Type 6 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating increwhen directly supervising the business of the activity, do so under the activity of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition!"	
	"For Type 9 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activity of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition!"	



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YUEN Danen Lok San ("the Applicant")	23 November 2021	Validuntil amendment ornevocation	Waived the following condition which was imposed on the licence granted to the Applicant:	N
(AFQ635)			"The licensee shall not provide discretionary account services to clients."	
LIU Yi ("the Applicant")	24 November 2021	Validurtil amendment crievocation	Waived the following conditions which were imposed on the licence granted to the Applicant:	N
(AQO339)			THE STEEL AND STREET AND STREET AND STREET	
			'For Type 4 regulated activity ('the activity'), the licensee must in his capacity as a responsible officer;	
			when actively participating in or when directly	
			supervising the business of the activity, do so under the advice of another responsible officer who is:	
			(a) accredited to the principal concerned of the	
			licensee invespect of the activity, and	
			(b) notsubject to this condition"	
			'For Type 9 regulated activity ('the activity'), the	
			licensee must in his capacity as a responsible officer; when actively participating in or when directly	
			supervising the business of the activity, do so under	
			the advice of another responsible officer who is:	
			(a) accredited to the principal concerned of the licensee innespect of the activity, and	
			(b) not subject to this condition"	





DROPKIN Martin Baron ("the Applicant")	30 November 2021	Validuntil amendnent	Waived the following conditions which were impose	1
		crievocation	onthe licence granted t cenfy pplicant	
(BQH284)				

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STARVI P	OD	1/-P.1	M. PC . I de C.		
YANG Jizanin ("the Applicant")	3December 2021	validum arrenment orrevocation	Modified the following condition which was imposed on the licence granted to the Applicant:		
(ASC(182)					
			'For Type 1	Validuno	1tdb







				Last Update: 18 October 2024
HOKing Fai ('the Applicant') (ANV293)	13December 2021	Validuntil amendment ornevocation	Waived the following condition which was imposed on the licence granted to the Applicant: "For Type 1 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activity of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition."	Nii
HULiang ('the Applicant') (BBS 593)	13December 2021	Validuril anentheri crievocation	Waived the following conditions which were imposed on the licence granted to the Applicant: "For Type 1 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition." "For Type 4 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is:	N∎
			(a) accredited to the principal concerned of the licensee invespect of the activity; and (b) not subject to this condition"	





				Lastophae: lacotheraust
CHENFergijao ("the Applicant") (BRE331)	13December 2021	Validuntil amendment crievocation	Waived the following condition which was imposed on the licence granted to the Applicant: "The licensee shall not carry out the regulated activity (ies) in Hung Kong for more than 30 days in each calendary ear and shall at all times be accompanied by a licensed person imperforming the regulated activity (ies) in Hung Kong!"	Nii
WENG Songlan ("the Applicant") (BMA356)	13December 2021	Validuntil amendment crievocation	Waived the following condition which was imposed on the licence granted to the Applicant: "The licensee shall not carry out the regulated activity(ies) in Hong Kong for more than 30 days in each calendar year and shall at all times be accompanied by a licensed person in performing the regulated activity(ies) in Hong Kong!"	Nº



LINTong ("the Applicant")	16December 2021	Validunil amendment	Waived the following conditions which were imposed	
		crievocation	on the licences granted to the Applicant:	
(BHJ408)				
			'For Type 4 regulated activity ('the activity'), the	
			licensee must in his capacity as a responsible officer;	
			when actively participating in or when directly	



LINYue ("the Applicant")	21 December 2021	Validuntil amendment	Waived the following condition which was imposed	
		crievocation	on the licence granted to the Applicant	
(BRI-1486)				
			"The licensee shall not carry out the regulated	
			activity(ies) in Hong Kong for more than 30 days in	
			each calendar year and shall at all times be	



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WANG Yang ("the Applicant")

(BPM/53)



SHANG Quani ('the Applicant')	24December 2021	Validunil anendnent crievocation	Waived the following conditions which were imposed on the licences granted to the Applicant: -
(ESQ814)			'For Type 4 regulated activity ('the activity'), the licensee must imbis influcitys as quantify as all legifically entities; when actively planticipating [inipating velocities or reliative recimposed have a imposed







		<u>,</u>		Lastuprate: 180000eraua
Hectoron Global Capital Management ("the Applicant") (ENK363)	4Janay 2022	Validuntil amendment crievocation	Waived the following condition which was imposed on the licence granted to the Applicant: "For Type 9 regulated activity, the licensee shall not conduct business involving the discretionary management of any collective investment scheme." In team "collective investment scheme" is as defined under the Securities and Futures Ordinance."	Nº
TANG Deven ("the Applicant") (BNC653)	5January 2022	Validuntil amendment or revocation	Waived the following condition which was imposed on the licence granted to the Applicant: "For Type 2 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activice of another responsible officer who is: (a) accredited to the principal concerned of the licensee invespect of the activity; and (b) not subject to this condition."	Nª
Future Growth Asset Management Limited ("the Applicant") (ALS318)	10.January 2022	Validuntil amendment orrevocation	Waived the following condition which was imposed on the licence granted to the Applicant: 'For Type 9 regulated activity, the licensee shall not provide a service of managing a portfolio of futures contracts for another person unless it is for hedging purpose only."	N









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SUNKit Cheung ("the Applicant") 21 January 2022 Validurtil amendment Modified the following condition which was imposed	
· · · · · · · · · · · · · · · · · ·	
crievocation on the licence granted to the Applicant:	
(AXA194)	
'For Type 6 negulated activity, the 1 e 1 e 1 0 t	



FUJileng ('the Applicant')	25 January 2022	Validurtil anenthert crievocation	Waived the following conditions which were imposed on the licence granted to the Applicant	
(ASLOSI)			"For Type 2 regulated activity ("the activity"), the	
			licensee must in his capacity as a responsible officer; when actively participating in or when directly	









				Lastopiale: lacoura aust
Moelis &Company Asia Limited ("the Applicant")	4February 2022	Validurtil anenthert orrevocation	Waived the following condition which was imposed on the licence garned to the Applicant:	Nii
(ATE285)			"For Type 1 regulated activity, the licensee shall not engage in dealing activities other than those relating to corporate finance."	
ORDERS Richard Wallace d'Arcy ("the Applicant")	4Felmay2022	Validurtil anenhert orievozation	Waised the following condition which was imposed on the licence granted to the Applicant:	N
(AAI719)		Greataili	"For Type 1 regulated activity, the licensee shall not engage in dealing activities other than those relating to corporate finance."	





				Lastopiale: lactional aux
ZFANG Qi ("the Applicant") (BRPCOB)	17Felmay 2022	Validurtil anerdnent ornevocation	Waived the following condition which was imposed on the licence granted to the Applicant: "The licensee shall not carry out the regulated activity (ies) in Hong Kong for more than 30 days in each calendary earnshall at all times be accompanied by a licensed person in performing the regulated activity (ies) in Hong Kong!"	Nª
WONG Kar Fung Kenneth ("the Applicant") (API 374)	9February 2022	Validuril ameninent ornevocation	Modified the following condition which was imposed on the licence granted to the Applicant: "For Type 6 regulated activity, the licensee shall not advise on matters/transactions falling within the arrhit of the Codes on Taleovers and Margers and Share Buy backs issued by the Commission" to- "For Type 6 regulated activity, the licensee must, in the capacity as an adviser to a client on matters/transactions falling within the arrhit of the Codes on Taleovers and Margers and Share Buy backs issued by the Commission, act together with another adviser (to the client) not subject to this condition"	



				Lascupiale: locucieraua
IEUNG Wai Kei ("the Applicant") (AKR467)	11 February 2022	Validurtil amendment orrevocation	Waived the following conditions which were imposed on the licence granted to the Applicant: "For Type 1 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in crewhen directly supervising the business of the activity, do so under the activity of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition"; and "For Type 4 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in crewhen directly supervising the business of the activity, do so under the activity of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity; and (b) not subject to this condition"	





				Lastupuale: 18 Colderaua
IOMacoManHo("the Applicant")	21 February 2022	Validuntil amendment	Waived the following conditions which were imposed on the licence granted to the Applicant:	NI.
(BHMIO3)			did a did garante a di	
			'For Type 4 regulated activity ('the activity'), the	
			licensee must in his capacity as a responsible officer;	
			when actively participating in or when directly	
			supervising the business of the activity, do so under	
			the advice of another responsible officer who is:	
			(a) accredited to the principal concerned of the	
			licensee invespect of the activity, and (b) not subject to this condition "; and	
			(b) Insugerbus curiidi; an	
			"For Type 5 regulated activity ("the activity"), the	
			licensee must in his capacity as a responsible officer;	
			when actively participating in or when directly	
			supervising the business of the activity, do so under	
			the advice of another responsible officer who is:	
			(a) accredited to the principal concerned of the	
			licensee invespect of the activity, and (b) not subject to this condition!"	
			(b) reisugectous cortaion	



Last Update: 18 October 2024 Validurtil amendment Modified the following condition which was imposed on the licence granted to the Applicant: Constance Capital Limited ("the Applicant") **21 February 2022** (BIH 639) 'For Type 9 regulated activity, the licensee shall not Ê conduct business involving the I (1

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PEDRONO Benoît Vincent Gerard ("the Applicant")	22 February 2022	Validuril anerdnent orrevocation	Waived the following condition which was imposed on the licence granted to the Applicant:	
(APY405)			'For Type 9 regulated activity ('the activity'), the licenship or e	







FELIX CASARES Ricardo Javier ("the	1 March 2022	Validuntil amendment	
Applicant')		arrevocation	

(BPU098)





HSIEHFuChi (the "Applicant") (BKB201)	28February 2022	Validuntil amendment o revocation	r Waived the following conditions which were imposed on the licence granted to the Applicant:	
<u></u>	licensee in resist	othe Mac° "it	'For Type 2 regulated activity ('the activity'), the teliconsee must in his causaity as a responsible officer:	





				Lastupiaie: la Cooderaca
SHPig('tle Appicat') (RAL749)	9Mach 2022	Validuril anerdnent crievocalion	Waived the following condition which was imposed on the licence granted to the Applicant: "For Type 1 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activity of another responsible officer who is: (a) accredited to the principal concerned of the licensee invespect of the activity, and (b) not subject to this condition!"	
IAISin Wan, Jerny ("the Applicant") (ACS308)	9March 2022	Validuril anendrent orrevocation	Waived the following condition which was imposed on the licence granted to the Applicant: "For Type 9 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition."	Ng.



ZFANG Ruiyang ("the Applicant") 9 March 2022 Valid until ameriment Waived the following condition which was imposed			Gievaziai		
	ZHANG Kuyang (Tire Applicant)	9Machauz	validum amenment orrevocation	waved the ichowing common which was imposed	
	THAN D (61 - A - F 4)	01/41-0000	17-Pd49	11/- 14- Cl	

(BRD876)



				Tastohnae: 1900metana
Mont Averir Capital Limited ("The Applicant") (BOC:353)	11 March 2022	Validuntil amendment crievocation	Waived the following condition which was imposed on the licence granted to the Applicant: "For Type 6 regulated activity, the licensee shall not act as sponsor in respect of an application for the listing on a recognized stock market of any securities apart from completing sponsor or compliance adviser work which it became contractually bound to complete prior to 26 October 2021"	
ZHOU Çiwei ('the Applicant') (BPN627)	11 March 2022	Validuntil amendment crievocation	Waived the following condition which was imposed on the licence granted to the Applicant: "For Type 9 regulated activity ("the activity"), the licensee must inhis capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee innespect of the activity, and (b) not subject to this condition!"	



IAMWarg Tat ("the Applicant")

16 March 2022

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(ACEO15)







				Lastupraie: lactooberausi
EG Maragement Limited ("the Applicant") (BOL 775)	22.Minch 2022	Validuntil amendment orrevocation	Modified the following condition which was imposed on the licence granted to the Applicant: "For Type 1 regulated activity, the licensee shall not conduct business other than introducing persons to other intermediaties, in order that they may: (i) effect dealings in securities; or (ii) make offers to deal in securities." to- "For Type 1 regulated activity, the licensee shall not conduct business other than (a) marketing and distributing of securities; and (b) introducing persons to other intermediaties, in order that they may: (i) effect dealings in securities; or (ii) make offers to deal in securities. The term "securities" is as defined under the Securities and Futures Ordinance."	
Zhung Yang Capital Limited ("the Applicant") (BIG 119)	22March 2022	Validuril anendment crievocation	Waived the following condition which were imposed on the licence granted to the Applicant: "For Type 9 regulated activity, the licensee shall not conduct business involving the discretionary management of any collective investment scheme. The term 'collective investment scheme' is as defined under the Securities and Futures Ordinance."	N







				Last Optiale: 18 Course at
YANG Peng ("the Applicant")	28March 2022	Validurilanenhert	Modified the following condition which was imposed	N
(AUG861)		orrevocation	on the licence granted to the Applicant	
(ALCGEOL)			'For Type Gregulated activity, the licensee shall not	
			ackise on matters/transactions falling within the archit	
			of the Codes on Takeovers and Mergers and Share	
			Buy backs issued by the Commission"	
			to -	
			'For Type 6 regulated activity, the licensee must, in	
			the capacity as an adviser to a client on	
			nates/transactions falling within the archit of the	
			Codes on Taleovers and Mergers and Share Buy	
			backs issued by the Commission, act together with	
			another adviser (to the dient) not subject to this	
			condition"	





Guard Capital Securities Limited ("the Applicant")	31 March 2022	Validuntil amendment orievocation	Modified the following condition which was imposed on the licence granted to the Applicant:					
(BCMI70)			"For Type 9 regulated activity, the licensee shall only provide services to professional investors. The term "professional investor" is as defined in the i efinor" is pr	ssiorîn	a°	v	iedein	3



				rascohnie: roccine ara
II Kwok Tai, James ("the Applicant")	31 March 2022	Validuntil amendment or revocation	Modified the following condition which was imposed on the licence granted to the Applicant:	NII.
(AFS:293)			"For Type 1 regulated activity, the licensee shall not engage in dealing activities other than those relating to corporate finance." to- "For Type 1 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer, when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition."	
	1	1		











	'For Type 9 regulated activity, the licensee shall only carry on a business in the management of: (a) discretionary accounts; and (b) collective investment schemes that are offered to professional investors only. The terms "collective investment scheme" and "professional investor" are defined under the Securities and Futures Ordinance and its subsidiary legislation (where applicable)."	





crievocation on the licence granted to the Applicant				Lastophae: lacture aus
crievocation on the licence granted to the Applicant. (AYC692) "For Type 1 regulated activity, the licensee shall not ergage in dealing activities other than those relating to corporate finance." To- "For Type 1 regulated activity ("the activity"), the licensee must in his capacity as a responsible office; when activity participating in or when directly supervising the business of the activity, do so under the activity entire office relativity according to the principal concerned of the licensee in respect of the activity; and (t) not subject to this				
	HESSE Jakob Fabian ("the Applicant") (AYC692)	8April 2022	on the licence granted to the Applicant: "For Type 1 regulated activity, the licensee shall not engage in dealing activities other than those relating to corporate finance." To- "For Type 1 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in crystem directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this	Na .



CHANMicky Conita ("the Amriicant")	11 Amil 2022	Validuntil anendnent	

(BJH452)



Wingste Asset Management Limited ("the Applicant")	11 A pril 2 022	Validuntil amendment crievocation	Waived the following condition which was imposed on the licence granted to the Applicant:
(B#1D398)			"For Type 1 regulated activity, the licensee shall not conduct business other than (1) dealing incollective investment schemes; (2) communicating offers to effect dealing insecurities in the names of the persons from whom those offers are received; and (3) introducing persons to effect dealing insecurities or make offers to deal insecurities, through another intermediary that is (a) licensed or registered for Type 1 regulated activity with the Commission, or (b) under an authorisation (however describ).





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SAMCHANG Segurib Willy ("the Applicant")	12 April 2022		Modified the following condition which was imposed	Ni.
		crievocation	on the licence granted to the Applicant	
(AHE451)				
('For Type 6 regulated activity, the licensee shall not	
			advise on matters/transactions falling within the archit	
			of the Codes on Taleovers and Mergers and Share	
			Buy backs issued by the Commission"	
			to-	
			'For Type 6 regulated activity, the licensee must, in	
			the capacity as an adviser to a dient on	
			matters/transactions falling within the ambit of the	
			Codes on Taleovers and Mergers and Share Buy	
			hads issued by the Commission, act together with	
			anotheradviser (to the dient) not subject to this	
			conflian"	



				Last Update: 18 October 2024
XIA Lisi ("the Applicant") (BRE261)	12 April 2022	Validuril anentheri crievocation	Modified the following condition which was imposed on the licence granted to the Applicant: "For Type Gregolated activity, the licensee shall not achieve on matters/transactions falling within the architecture Codes on Taleovers and Mergers and Share Buy backs issued by the Commission" to- "For Type Gregolated activity, the licensee must, in the capacity as an adviser to a client on matters/transactions falling within the architecture Codes on Taleovers and Mergers and Share Buy backs issued by the Commission, act together with another adviser (to the client) not subject to this condition"	N
MAKSiuMan, Rebecca ("the Applicant") (AFASO2)	13April 2022	Validurtil amendhert orievocation	Waived the following condition which was imposed on the licence granted to the Applicant "For Type 6 regulated activity, the licensee must, in the capacity as an adviser to a client on matters/transactions falling within the archite of the Codes on Taleovers and Mergers and Share Buybacks issued by the Commission, act together with another adviser (to the client) not subject to this condition."	Nº



WONG NamFu Andy ("the Applicant")	19April 2022		Waived the following condition which was imposed	N
		<u>orrevocation</u>	on the licence granted to the Applicant	
(ADB026)				
			'For Type 9 regulated activity ('the activity'), the	
			licensee must in his capacity as a responsible officer;	
			when actively participating in an when directly	
			supervising the business of the activity, do so under	
			the advice of another responsible officer who is:	
			(a) accredited to the principal concerned of the	
			licensee in respect of the activity, and	
			(b) notsubject to this condition"	





				Lascopiale: 18 countral at
IAU Chi Ho (the "Applicant") (AJD618)	21 April 2022	Validurtil amendment ornevocation	Waived the following conition which was imposed on the licence granted to the Applicant: 'For Type 1 regulated activity ('the activity'), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition!"	N ∎
TOLEDANORoy ("the Applicant") (AXY961)	22.April 2022	Validurii anerdnent crievocalion	Modified the following condition which was imposed on the licence granted to the Applicant: 'For Type 6 regulated activity, the licensee shall not advise on matters/transactions falling within the archit of the Codes on Taleovers and Mergers and Share Buy backs issued by the Commission'' to 'For Type 6 regulated activity, the licensee must, in the capacity as an adviser to a client on matters/transactions falling within the archit of the Codes on Taleovers and Mergers and Share Buy backs issued by the Commission, act together with another adviser (to the client) not subject to this condition''	





WG Asset Management Limited ("the Applicant")	4May 2022	Validurtil anerdnert crievocation	Waived the following condition which was imposed on the licence granted to the Applicant:	

(BNM911)















				Lascupiale: laccideraca
LIU Jiangfan ("the Applicant")	6.June 2022	Validuril anerdnert	Waived the following condition which was imposed	N
12.021g11(12.141112)	COLLEAGA	orievocation	onthe licence granted to the Applicant	
(EKW230)				
			'For Type 4 regulated activity ('the activity'), the	
			licensee must in his capacity as a responsible officer; when actively participating in or when directly	
			supervising the business of the activity, do so under	
			the advice of another responsible officer who is:	
			(a) accredited to the principal concerned of the	
			licensee invespect of the activity, and	
			(b) notsubject to this condition"	
			'For Type 9 regulated activity ('the activity'), the	
			licensee must in his capacity as a responsible officer;	
			when actively participating in or when directly	
			supervising the business of the activity, do so under	
			the advice of another responsible officer who is:	
			(a) accredited to the principal concerned of the licensee innespect of the activity, and	
			(b) notsubject to this condition"	
			(c) Tabligate and the fact of	
WU Chaoze ("the Applicant")	6June 2022	Validurtil anendnert	Waived the following condition which was imposed	Ni .
		crievocation	on the licence granted to the Applicant:	
(BEM208)				
			"The licensee shall not carry out the regulated	
			activity(ies) in Hong Kong for more than 30 days in each calendar year and shall at all times be	
			accompanied by a licensed person in performing the	
			regulated activity (ies) in Hung Kong"	





Humong International Capital Limited ("The Applicant")



MANGARAJ Sambit ('the Applicant')	9June 2022	Validuntil amendment ornevocation	Waived the following condition which was imposed on the licence grantpulsted a Applignit:					
(ANR871)			'For Type 9 regulated activity ('the activity'),	201 he	m	z tz tü	sottoartOl'tett	: M









	WILLS Berjamin Adam ("the Applicant")	17June 2022	Validuntil amendment orrevocation	Waived the following conditions which were imposed on the licence granted to the Applicant:	
ij	(BEV)Grahert (17	diewdaidi	unterenegariote/quar	
			cat ii	'tt Òdhat ga	s t Δ 1



				Last Updale: 18 Udiober 2024
YANClarie Corg ("the Applicant")	17June 2022	Validurtil amendment orrevocation	Waived the following condition which was imposed on the licence granted to the Applicant:	NI.
(BOG407)				
			'For Type 1 regulated activity ('the activity'), the	
			licensee must in his capacity as a responsible officer; when actively participating in or when directly	
			supervising the business of the activity, do so under	
			the advice of another responsible officer who is:	
			(a) accredited to the principal concerned of the	
			licensee invespect of the activity, and (b) not subject to this condition"	
			(3) 122523 222 2222	
SH Charg ("the Applicant")	23.June 2022	Validurtil anerdnert	Waived the following condition which was imposed	Ng .
Sidag(teaplat)	asue aca	crevocation	on the licence granted to the Applicant	.~
(BRQ66)				
			"The licensee shall not canyout the regulated	
			activity(ies) in Hung Kong formore than 30 days in each calendary ear and shall at all times be	
			accompanied by a licensed person in performing the	
			regulated activity(ies) in Hong Kong"	
JIAOShan("the Applicant")	23.June 2022	Validurtil amendnert	Waived the following condition which was imposed	N
	25562274554	arrevocation	on the licence granted to the Applicant:	
(BRQ187)				
			"The licensee shall not canyout the regulated	
			activity(ies) in Hung Kong formore than 30 days in each calendary ear and shall at all times be	
			accompanied by a licensed person in performing the	
			regulated activity(ies) in Hong Kong"	







YEING Shing Lone Getald ("the Applicant") (BBF103)	27 June 2022	Validuril ameniment crievocation	Waived the following conditions which were imposed on the licence granted to the Applicant: 'For Type 1 regulated activity ('the activity'), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activity of accredited to the principal concerned of the licensee in respect of the activity, and (h) not subject to this condition.' 'For Type 4 regulated activity ('the activity'), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activity of accredited to the principal concerned of the licensee in respect of the activity, and (h) not subject to this condition.' 'For Type 9 regulated activity ('the activity'), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activity of accredited to the principal concerned of the licensee in respect of the activity; and (h) not subject to this condition.''	





				Lastupiaie: lactorierausa
YAN Wenven ("the Applicant") (BRR542)	29June 2022	Validutil anenthert crievocation	Waived the following condition which was imposed on the licence granted to the Applicant: "The licensee shall not carry out the regulated activity (ies) in Hung Kong for more than 30 days in each calendary ear and shall at all times be accompanied by a licensed person in performing the regulated activity (ies) in Hung Kong"	N
YANG Chao ("the Applicant") (BDESS)	30June 2022	Validurtil amendment crievocation	Waived the following condition which was imposed on the licence granted to the Applicant: "For Type 1 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer, when actively participating in or when directly supervising the hustiness of the activity, do so under the activity of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition."	
CHANKit Tak ("the Applicant") (AOY488)	30June 2022	Validurfil amendment ornevocation	Waived the following conditions which was imposed on the licence granted to the Applicant: "For Type 1 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the husiness of the activity, do so under the activity of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity; and (b) not subject to this condition."	



				Last Update: 18 October 202
IAMWaiHo ("the Applicant")	27June 2022	Validuntil anenthent orrevocation	Waived the following condition which was imposed on the licence granted to the Applicant:	NI .
(AVS330)			'For Type 3 regulated activity ('the activity'), the	
			licensee must in his capacity as a responsible officer; when actively participating in or when directly	
			supervising the business of the activity, do so under	
			the advice of another responsible officer who is: (a) accredited to the principal concerned of the	
			licensee innespect of the activity; and (b) not subject to this condition!"	
			(i) Insigerous crimin	
IACOURIE Ameury, Advanase, Dominique Marie (the "Applicant")	6.July 2022	Validuril amendment or revocation	Waived the following conditions which were imposed on the licence granted to the Applicant:	Nii.
(AIG721)			'For Type 1 regulated activity ('the activity'), the	
			licensee must in his capacity as a responsible officer; when actively participating in or when directly	
			supervising the business of the activity, do so under the active of another responsible officer who is:	
			(a) accredited to the principal concerned of the	
			licensee in respect of the activity, and (b) not subject to this condition	
			For Type 2 regulated activity ("the activity"), the	
			licensee must in his capacity as a responsible officer; when actively participating in or when directly	
			supervising the business of the activity, do so under	
			the advice of another responsible officer who is: (a) accredited to the principal concerned of the	
			licensee innespect of the activity; and (b) not subject to this condition!"	



FTAG Financial Limited ("The Applicant")	6July 2022	Validuntil amendment ornevocation	Waived the following conditions which was imposed on the licence granted to the Applicant:	Ni.
(EN0308)				
			'For Type 9 regulated activity, the licensee shall not	
			conduct business involving the discretionary	
			management of any collective investment scheme	
			The term 'collective investment scheme' is as	
			defined under the Securities and Futures Ordinance."	
Principal Asset Management Company (Asia)	11 July 2022	Validurtil amendnert	Modified the following condition which was imposed	N
Limited ('the Applicant')	II July Aux	orievocation	onthe licence granted to the Applicant	1-
Intel(despitat)		G lewzakii	dite katega katote Apkat	
(AFA235)			'For Type 9 regulated activity, the licensee shall not	
			provide a service of managing a portfolio of futures	
			contracts for another person"	
			•	
			to	
			"For Type 9 regulated activity, the licensee shall not	
			provide a service of managing a portfolio of futures	
			contracts for another person unless it is for hedging	
			purpose only."	
		l		









QUAHIHockTeorg Derris ("the Applicant")	21 July 2022	Validurtil anendnert crievocation	Modified the following condition which was imposed on the licence granted to the Applicant:	N ₁
(ED354)			ane rate Samuelinas	
-			The licensee shall not carry out the regulated	
			activity(ies) in Hong Kong for more than 30 days in each calendar year and shall at all times be	
			accompanied by a licensed person in performing the	
			regulated activity(ies) in Hong Kong	
			to	
			"The licensee:	
			(1) shall not carry out the regulated activity(ies) in	
			Hing Kong for more than 30 days in each calendar year; and	
			(2) shall not provide related services to any person	
			other than a professional investor unless the licensee	
			is accompanied at all times by a licensed person in	
			performing the regulated activity(ies) in Hong Kong The term "professional investor" is as defined in Part	
			1 of Schedule 1 to the Securities and Futures	
			Ordinance but does not include any person of a class	
			which is prescribed by the Securities and Futures	
			(Professional Investor) Rules."	





Pysis Wealth Advisors Limited ("the Applicant")	26 July 2022	Validurtil amendment or revocation	Modified the following condition which was imposed on the licence granted to the Applicant:	
(BOG966)			"The licensee shall only provide services to professional investors. The term "professional investor" is as defined in the Securities and Futures Ordinance and its subsidiary legislation"	
			ta -	







crievocation Onthe licence garled to the Applicant For Type 2 regulated activity ("the activity"), the licensee must in his capacity as a responsible office; when actively participating increvien directly supervising the business of the activity, do so under the active of another responsible officer who is: (a) a conclide to the principal commend of the licensee innespect of the activity and (t) not subject to this condition." "For Type 6 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer, when actively participating in convenidently supervising the business of the activity, do so under the activity of another responsible officer who is: (a) accredited to the principal commend of the licensee innespect of the activity, and (b) not subject to this condition." For Type 9 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer, when actively participating in convenience the subject of the activity of the				Tastopuale: 10 Course aug.
crievocation TerType 2-registed activity ("the activity"), the licensee must in his capacity as a responsible office; when actively participating in crowlenducedly supervising the business of the activity, dosounder the activity of the principal commend of the licensee innespect of the activity, and (t) not subject to this condition." "ForType 6-registed activity ("the activity"), the licensee innespect of the activity ("the activity"), the licensee must in his capacity as a responsible office; when activity participating in crowlenducedly supervising the business of the activity, dosounder the activity of activity of the activity and (i) not subject to this condition." "ForType 9-registed activity ("the activity"), the licensee innespect of the activity and (i) not subject to this condition." "ForType 9-registed activity ("the activity"), the licensee must in his capacity as a responsible office; when activity participating in crowlenducedly supervising the business of the activity, dosounder the activity participating in crowlenducedly supervising the business of the activity, chosounder the activity of the act				
(a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition"	CHENG Karg ("the Applicant") (BCH183)	26.h.ly 2022	 'For Type 2 regulated activity ('the activity'), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition!' 'For Type 6 regulated activity ('the activity'), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition!' 'For Type 9 regulated activity ('the activity'), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and	



27 July 2022			
9711.9099			
9711.9799			
97 LL.9099			
ar July alka	Validurtil anenthert orresocation	Waived the following condition which was imposed on the licence granted to the Applicant:	N
	Gievaandi	dire refregared oue Aprica :	
		'For Type 9 regulated activity ('the activity'), the	
		licensee must in his capacity as a responsible officer;	
		(b) not subject to this condition"	
28 July 2022	Validuntil amendment		N
	orievocation	on the licence granted to the Applicant	
		'For Type 6 regulated activity, the licensee must, in	
		the capacity as an adviser to a client on	
		matters/transactions falling within the ambit of the	
		condition"	
28July 2022	Validuntil amendment	Waived the following condition which was imposed	N
· ·	arrevocation	on the licence granted to the Applicant:	
		the advice of another responsible officer who is: (a)	
		accredited to the principal concerned of the licensee	
		in respect of the activity, and (b) not subject to this condition"	
	28July 2022 28July 2022	28 July 2022 Validuril arrentment	"For Type 9 negulated activity ('the activity'), the licersee must inhis capacity as a responsible office; when actively participating increvben diecety supervising the business of the activity, do so under the activities of an activity descended the licersee invespect of the activity, and (b) not subject to this condition." 28 July 2022 Validural amendment crievocation Waived the following condition which was imposed on the licersee granted to the Applicant. "For Type 6 regulated activity, the licensee must, in the capacity as an achiser to a client or matters/transactions falling within the arbit of the Corbs on Taleovers and Margas and Share Bay backs issued by the Commission act together with another achiser (to the client) not subject to this condition." 28 July 2022 Validural amendment crievocation Waived the following condition which was imposed on the licensee granted to the Applicant. "For Type 9 regulated activity ('the activity'), the licensee must inhis capacity as a responsible office; when actively participating in or when directly supervising the business of the activity, do so under the activity of an activity and (b) not subject to this in sepect of the activity, and (b) not subject to this



JE Sin Mrg ("the Applicant") (BGX731)	29 July 2022	Validurtil amendment crievocation	Waived the following conditions which were imposed on the licence granted to the Applicant: "For Type 1 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition!" "For Type 4 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity; and (b) not subject to this condition!"	





		<u> </u>		
FUShiwei ('the Applicant')	1 August 2022	Validuntil amendment orrevocation	Waived the following comitions which were imposed on the licence granted to the Applicant:	N
(BFS397)			"For Type 4 regulated activity ("the activity"), the licensee must inhis capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activity of accredited to the principal concerned of the licensee in respect of the activity; and (b) not subject to this condition." "For Type 9 regulated activity ("the activity"), the licensee must inhis capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activity of accredited to the principal concerned of the licensee in respect of the activity; and (b) not subject to this condition."	
MANG Xi ("the Applicant") (BAP836)	1 August 2022	Validurtil ameniment ornevocation	Waived the following condition which was imposed on the licence granted to the Applicant: "For Type 1 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in crowhen directly supervising the business of the activity, do so under the activity of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition."	NI.



				Detchine. Iocum and
MOKKonLim(*the Applicant*) (ABS233)	2 August 2022	Validutil anenthert orrevocation	Waived the following conditions which were imposed on the licence granted to the Applicant: 'For Type 2 regulated activity ('the activity'), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition!' 'For Type 5 regulated activity ('the activity'), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition!'	



				Lastophaie: locomeraua
IAI Wai Nam ("the Applicant") (BNY633)	8August 2022	Validurii anerdnert crievocalion	Waived the following conditions which were imposed on the licence granted to the Applicant: "For Type 1 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activity of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition." "For Type 4 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activity of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity; and (b) not subject to this condition."	





				Last Update: 18 October 2024
Oak Hill Advisors (Hong Kong) Limited ("the Applicant")	12August 2022	Validurfil amendment ornevocation	Waived the following condition which was imposed on the licence granted to the Applicant:	N
(REO444)			"For Type 1 regulated activity, the licensee shall only carry on the business of dealing in collective investment schemes managed by its group of companies. The terms "collective investment scheme", "dealing" and "group of companies" are as defined under the Securities and Futures Ordinance."	
Sun Hung Kai Capital Partners Limited ("the Applicant")	12August 2022	Validurtilamentment crievocation	Waived the following condition which was imposed on the licence granted to the Applicant:	NI
(BQL519)			"The licensee shall not hold client assets. The terms 'hold' and 'dient assets" are as defined under the Securities and Futures Ordinance."	
MAKHoLeng ('the Applicant') (BNQ526)	15 August 2022	Validurtil amendment crievocation	Modified the following combine which was imposed on the licence granted to the Applicant: 'For Type 6 regulated activity, the licensee shall not advise on matters/transactions falling within the archit of the Codes on Takeovers and Mergers and Share Buy backs issued by the Commission'' to 'For Type 6 regulated activity, the licensee must, in the capacity as an adviser to a client on matters/transactions falling within the archit of the Codes on Takeovers and Mergers and Share Buy backs issued by the Commission, act together with another adviser (to the client) not subject to this combine.'	N■



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				rastohrans: 1900ma.an
WANG Wei ("the Applicant") (BCK772)	23August 2022	Validuril amendment crievocation	Waived the following conditions which were imposed on the licence granted to the Applicant: "For Type 1 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the achice of another responsible officerwho is: (a) accredited to the principal concerned of the licensee innespect of the activity, and (b) not subject to this condition." "For Type 4 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activity of accredited to the principal concerned of the licensee innespect of the activity, and (b) not subject to this condition." "For Type 6 regulated activity ("the activity"), the licensee innespect of the activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activity grant the universe of another responsible officer who is: (a) accredited to the principal concerned of the licensee innespect of the activity, and (b) not subject to this condition."	





				Lastopiale: loculierausi
Silverbricks Securities Company Limited	30August 2022	Validuntil amendment	Modified the following condition which was imposed	N
('the Applicant')		crievocation	on the licence granted to the Applicant:	
(HLN458)			'For Type 6 regulated activity, the licensee shall not	
			advise on matters/transactions falling within the ambit	
			of the Codes on Takeovers and Mergers and Share	
			Buy backs issued by the Commission"	
			to-	
			'For Type 6 regulated activity, the licensee must, in	
			the capacity as an adviser to a dient on	
			matters/transactions falling within the ambit of the	
			Codes on Takeovers and Mergers and Share Buy	
			backs issued by the Commission, act together with	
			anotheradviser (to the dient) not subject to this	
			condition"	
CHAN Wai Fung ("the Applicant")	30August 2022	Validuntil amendnent	Modified the following condition which was imposed	Ni .
		crievocation	on the licence granted to the Applicant:	
(ARB\$52)				
			'For Type 6 regulated activity, the licensee shall not	
			advise on matters/transactions falling within the ambit	
			of the Codes on Takeovers and Mergers and Share	
			Buy backs issued by the Commission"	
			to-	
			'For Type 6 regulated activity, the licensee must, in	
			the capacity as an adviser to a client on	
			matters/transactions falling within the ambit of the	
			Codes on Taleovers and Mergers and Share Buy	
			backs issued by the Commission, act together with	
			anotheradviser (to the client) not subject to this	
			candian"	





WAIToChung Arrly ('the Applicant')	31 August 2022	Validurtil amendment	Waived the following conditions which were imposed	
		crevocation	on the licence granted to the Applicant	
(AKP396)				
			'For Type 1 regulated activity ('the activity'), the	
			licensee must in his capacity as a responsible officer;	





			Last Update: 180ctober 2024
BUXION Charles Robert James ("the	2 September 2022 r 2022 lidu Villinhum	ilment o Waixed the following to califician skillichase in puscul	
Applicant)	crievocatio	n onti clinajdýgta nted to the Applicant	
(ENV161)		"For Type 1 regulated activity ("the activity"), the	
, ,		licensee must in his capacity as a responsible officer;	
		when actively participating in or when directly	

supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee invespect of the activity, and (b) not subject to this condition"

'For Type 4 regulated activity ('the activity'), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the



FDB Financial Group Limited ("The Applicant")	7September 2022	Validuntil anenthent onevocation	Waived the following condition which was imposed on the licence granted to the Applicant:
(AH1876)			"For Type 6 regulated activity, with effect from 1 January 2007, the licensee shall not act as sponsor in respect of an application for the listing on a recognized stock market of any securities."
			Modified the following condition which was imposed on the licence granted to the Applicant:
			"For Type Gregulated activity, the licensee shall not advise on matters/transactions falling within the ambit of the Codes on Takeovers and Mergers and Share Buy backs issued by the Commission"
			to-
			"For Type Gregulated activity, the licensee must, in the capacity as an adviser to a client on matters/transactions falling within the archit of the Codes on Taleovers and Mergers and Share Buy- backa ka ka ka ka k tie Commission"



(AVTOSI)

CHEUNG KamHong ("the Applicant")

7 September 2022

Valid until amendment
Ornevocation

Wodified the following condition which was imposed
On the licence granted to the Applicant:

"For Type 6 regulated activity, the licensee shall not advise on matters/transactions falling within the arrhit of the Codes on Taleovers and Mergers and Share Buy backs issued by the Commission" Last Update: 18 October 2024

to-

"For Type 6 regulated activity, the licensee must, in the capacity as an adviser to a client on matters/transactions falling within the ambit of the Codes on Taleovers and Mergers and Share Buybacks issued by the Commission, act together with another adviser (to the client) not subject to this condition."





				Tastupiaie: 18 couter aust
HLI YikBun ("the Applicant") (AEF109)	14September 2022	Validurtil amendment crievocation	Waived the following condition which was imposed on the licence granted to the Applicant: "For Type 9 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in crewhen directly supervising the business of the activity, do so under the active of another responsible officer who is: (a) accredited to the principal concerned of the licensee invespect of the activity, and (b) not subject to this condition."	N
Arii AssetMangement Limited ("the Applicant")	14September 2022	Validuntil anendnent orrevocation	Modified the following condition which was imposed on the licence granted to the Applicant	
(AFI.743)			"The licensee shall only provide services to professional investors. The term "professional investor" is as defined in the Securities and Futures Ordinance and its subsidiary legislation" to	
			"For Type 9 regulated activity, the licensee shall only carry on a business in the management of: (a) discretionary accounts; and (b) collective investment schemes that are offered to professional investors only. The terms "collective investment scheme" and "professional investor" are defined under the Securities and Futures Ordinance and its subsidiary legislation (where applicable)."	



				Last Update: 18 October 2024
YAU Chi Man, Benjamin ("the Applicant") (ATI 435)	14September 2022	Validuril anenhent orievocation	Waived the following condition which was imposed on the licence granted to the Applicant: "For Type 10 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee invespect of the activity, and (b) not subject to this condition."	N
II Jianyang ('the Applicant') (AWS028)	15September 2022	Validurtil ameniment ornevocation	Waived the following conditions which were imposed on the licence granted to the Applicant: "For Type 1 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in conveniently supervising the business of the activity, do so under the activity of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity; and (h) not subject to this condition." "For Type 4 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in conveniencity supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition."	Na .



			<u></u>	Lastupaale: 18 Uctober 2024
IAWhere ("the Applicant") (BDO174)	15September 2022	Valid until amendment or revocation	Waived the following condition which was imposed on the licence granted to the Applicant: 'For Type 9 regulated activity ('the activity'), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the	№
Silverstone Investments Limited ("the Applicant") (AFU657)	20September 2022	Validuril anenheri orievocation	licensee innespect of the activity, and (b) not subject to this condition!" Waived the following condition which was imposed on the licence granted to the Applicant: "For Type 9 regulated activity, the licensee shall not provide a service of managing a portfolio of futures contracts for another person."	NI.
Messis Capital Limited ("the Applicant") (AH 1835)	21 September 2022	Validuntil amendment ornevocation	Waived the following condition which was imposed on the licence granted to the Applicant: "For Type 6 regulated activity, the licensee shall not act as sponsor in respect of an application for the listing on a recognized stock market of any securities apart from completing sponsor or compliance achiser work which it became contractually bound to complete prior to 12 November 2021."	N



			Â
Chung Koon Wah, Jorathan ("the Applicant")	21 September 2022	Validurtil anendnert onevocation	

(ADH522)



ZHANG Yu ("the Applicant")	29September 2022	Validurtil amendment	Waived the following conditions which were imposed	
(HMM127)		crrevocation	on the licence granted to the Applicant	
			"For Type 4 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer;	
			when actively participating in or when directly	
			supervising the business of the activity, do so under the advice of another responsible officer who is:	
			(a) accredited to the principal concerned of the licensee invespect of the activity, and	
			(b) not subject to this condition on	



				Last Update: 18 October 2024
LIANG Guozhorg ("the Applicant")	30September 2022	Validuntil amendment ornevocation	Waived the following condition which was imposed on the licence granted to the Applicant:	Ni.
(AJG523)				
			"The licensee shall not carry out the regulated	
			activity(ies) in Harg Korg formue than 30 days in	
			each calendar year and shall at all times be	
			accompanied by a licensed person in performing the regulated activity (ies) in Hong Kong"	
			regrandativity(is) in ing ing	
CHEN Harjue ("the Applicant")	30September 2022	Validurtil amendment	Waived the following condition which was imposed	Ni
		arrevocation	on the licence granted to the Applicant:	
(BSB859)				
			"The licensee shall not carry out the regulated	
			activity(ies) in Hong Kong formue than 30 days in each calendar year and shall at all times be	
			accompanied by a licensed person in performing the	
			regulated activity(ies) in Horg Korg"	
ZHANG Hengui ("the Applicant")	30September 2022	Validuntil amendment	Waived the following condition which was imposed	Ni
(TEDC 279)		orievocation	on the licence granted to the Applicant	
(BRS 552)			"The licensee shall not carry out the regulated	
			activity(ies) in Horg Kong formore than 30 days in	
			each calendaryear and shall at all times be	
			accompanied by a licensed person in performing the	
			regulated activity(ies) in Hong Kong"	
CHIVE most (filler Ann Promet)	2005	Validurtil amendment	Waived the following condition which was imposed	N
QU Yiyang ("the Applicant")	30September 2022	orievocation	on the licence granted to the Applicant:	1 🚾
(BR\$306)		G ICVANIAI	and mire Summer Hames	
			"The licensee shall not carry out the regulated	
			activity(ies) in Hong Kong formore than 30 days in	
			each calendary ear and shall at all times be	
			accomparied by a licensed person in performing the	
			regulated activity(ies) in Hong Kong"	



				Hetephia: Bethin Asi
IEI.Jingyi ("the Applicant") (BRR372)	30September 2022	Validurtil amendment ornevocation	Waived the following condition which was imposed on the licence granted to the Applicant: "The licensee shall not carry out the regulated activity (ies) in Hong Kong for more than 30 days in each calendary carrant shall at all times be	N
			accompanied by a licensed person in performing the regulated activity (ies) in Hong Kong"	
WANG Sisi ("the Applicant") (BNF331)	30September 2022	Validurtil amendment or revocation	Waived the following condition which was imposed on the licence granted to the Applicant:	Ni .
			"The licensee shall not cany out the regulated activity (ies) in Hung Kong for more than 30 days in each calendary ear and shall at all times be accompanied by a licensed person in performing the regulated activity (ies) in Hung Kong"	
CHOI Check Heng Zenith ("the Applicant")	50otober 2022	Validurtil amendment ornevocation	Waived the following condition which was imposed on the licence granted to the Applicant:	N
(F55961)			"For Type 9 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activice of another responsible officer who is: (a) accredited to the principal concerned of the licensee invespect of the activity, and (b) not subject to this condition!"	





				Tagrofrane: Tocomeranes
MARIN Chalotte Delphire Chrly ("the Applicant")	200ctder 2022	Validurtil anenthert orrevocation	Waived the following condition which was imposed on the licence granted to the Applicant:	N
(BGF260)			"The licensee: (1) shall not carry out the regulated activity(ies) in Hong Kong formure than 30 days in each calendaryear; and (2) shall not provide related services to any person other than a professional investor unless the licensee is accompanied at all times by a licensed person in performing the regulated activity(ies) in Hong Kong. The term "professional investor" is as defined in Part 1 of Schedule 1 to the Securities and Futures Ordinance but does not include any person of a class which is prescribed by the Securities and Futures (Professional Investor) Rules."	
XU Dan ("the Applicant") (BJC231)	21 October 2022	Validuntil amendment crievocation	Waived the following condition which was imposed on the licence granted to the Applicant: "For Type 5 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activity of another responsible officer who is: (a) accredited to the principal concerned of the licensee invespect of the activity; and (b) not subject to this condition!"	





			Last Update: 18 October 202
31 Ortober 2022	Validurii amembert	Wisedthe filesing continuations imposed	N
	crievocation	on the licence granted to the Applicant	
		regulated activity(ies) in Hong Kong"	
31 October 2022	Validurtil amendnert	Waived the following comition which was imposed	N
	arrevocation	on the licence granted to the Applicant:	
		regulated activity (ies) in Hong Kong "	
31 October 2022	Validuril anenthert	Waived the following condition which was imposed	N
	arrevocation	on the licence granted to the Applicant	
		regulated activity(ies) in Hong Kong"	
31 October 2022	Validuril anerchert	Waived the following condition which was imposed	N
	orievoca ti on	on the licence granted to the Applicant	
		Who Foreston shall not ones on the most letter	
		each calendaryear and shall at all times be	
		accompanied by a licensed person in performing the	
		regulated activity(ies) in Hong Kong"	
	31 October 2022	31 October 2022 Validuriil amendment crievocation 31 October 2022 Validuriil amendment crievocation	anthe license shall not carry out the registed activity(ies) in Horg Korg formuse than 30 days in each calendary ear and shall these be accomparied by a licensed person imperior ming the registed activity(ies) in Horg Korg " 31 October 2022 Validural amendment cross occurrence of the license shall not carry out the registed activity(ies) in Horg Korg formuse than 30 days in each calendary ear and shall at all times be accomparied by a licensed person imperior ing the registed activity(ies) in Horg Korg in Horg Korg " 31 October 2022 Validural amendment cross occurrence of the license granted to the Applicant "The license eshall not carry out the registed activity(ies) in Horg Korg formuse than 30 days in each calendary ear and shall at all times be accomparied by a licensed person imperior in each calendary ear and shall at all times be accomparied by a licensed person imperior in each calendary ear and shall at all times be accomparied by a licensed person imperior in the license granted to the Applicant "The license eshall not carry out the registed activity(ies) in Horg Korg formuse than 30 days in each calendary ear and shall at all times be



			Lastupiale: 1800dderausa
31 October 2022	Validuntil amendment orrevocation	Waived the following condition which was imposed on the licence granted to the Applicant:	NI.
		"The licensee shall not canyout the regulated activity(ies) in Hong Kong for more than 30 days in each calendary ear and shall at all times be accompanied by a licensed person in performing the regulated activity(ies) in Hong Kong"	
31 October 2022	Valid until amendment ornevocation	Waived the following condition which was imposed on the licence granted to the Applicant: "The licensee shall not carry out the regulated activity (ies) in Hong Kong for more than 30 days in each calendar year and shall at all times be	NI.
		accompanied by a licensed person in performing the regulated activity (ies) in Hong Kong"	
31 October 2022	Validuntil amendment ornevocation	Waived the following comition which was imposed on the licence granted to the Applicant	Ni
		"The licensee shall not carry out the regulated activity (ies) in Hung Kong formure than 30 days in each calendary ear and shall at all times be accompanied by a licensed person imperforming the regulated activity (ies) in Hung Kong"	
31 October 2022	Validuntil anendnent orrevocation	Waived the following conditions which was imposed on the licence granted to the Applicant: "The licensee shall not hold client assets. The terms "hold" and "client assets" are as defined under the Securities and Futures Ordinance."	
	31 October 2022	31 October 2022 Validurii amendment ories ocation 31 October 2022 Validurii amendment ories ocation 31 October 2022 Validurii amendment	anthe license garied to the Applicant "The licensee shall not carry out the regulated activity(iss) in Hing Kong formme than 30 days in each calendary ear and shall at all times be accompanied by a licensed person in performing the regulated activity(iss) in Hing Kong." 31 October 2022 Valid until amendment or revocation Waived the following condition which was imposed on the licensee shall not carry out the regulated activity(iss) in Hing Kong form one than 30 days in each calendary ear and shall at all times be accompanied by a licensed person in performing the regulated activity(iss) in Hing Kong." 31 October 2022 Valid until amendment or revocation Waived the following condition which was imposed on the licensee shall not carry out the regulated activity(iss) in Hing Kong form one than 30 days in each calendary ear and shall at all times be accompanied by a licensed person in performing the regulated activity(iss) in Hing Kong." 31 October 2022 Valid until amendment or revocation Waived the following conditions which was imposed on the licensee shall not hold client assets. The terms "Indit" and "Client assets" are as defined under the



Altus Investments Limited ("the Amilicant")	31 Ontober 2022	Validurtil amerikant ti	And the Ton tenters of the chee district to coll	

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"For Type 1 regulated activised of Ted







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XUJia (the "Applicant") (BB B24)	1 November 2022	Validurtil anemhert crievocation	Modified the following condition which was imposed on the licence granted to the Applicant: 'For Type 6 regulated activity, the licensee shall not advise on matters/transactions falling within the archit of the Codes on Takeovers and Mergers and Share Buy backs issued by the Commission'' to - 'For Type 6 regulated activity, the licensee must, in the capacity as an adviser to a client on matters/transactions falling within the archit of the Codes on Takeovers and Mergers and Share Buy backs issued by the Commission, act together with another adviser (to the client) not subject to this condition''	Nª
SHIDai(the "Applicant") (HEQSE)	1 November 2022	Valid until amendment ornevocation	Modified the following condition which was imposed on the licence granted to the Applicant: "For Type 6 negulated activity, the licensee shall not advise on matters/transactions falling within the archit of the Codes on Taleovers and Margers and Share Buy backs issued by the Commission" to - "For Type 6 negulated activity, the licensee must, in the capacity as an adviser to a client on matters/transactions falling within the archit of the Codes on Taleovers and Margers and Share Buy backs issued by the Commission, act together with another adviser (to the client) not subject to this condition"	Nº



SINTakKeurg (the "Applicant") (BIG757)	1 November 2022	Validuntil anemhent crievocation	Modified the following condition which was imposed on the licence granted to the Applicant: "For Type 6 regulated activity, the licensee shall not advise on matters/transactions falling within the architecture of the Codes on Taleovers and Mergers and Share Buy backs issued by the Commission." to: "For Type 6 regulated activity, the licensee must, in the capacity as an adviser to a client on matters/transactions falling within the archit of the Codes on Taleovers and Mergers and Share Buy backs issued by the Commission, act together with another adviser (to the client) not subject to this condition."	
IIU Jangyue (the "Applicant") (BEZ909)	1 November 2022	Validuntil amendment ornevocation	Modified the following condition which was imposed on the licence granted to the Applicant:	







				Lastuphale: 18 Comberaus
JANG Ziwei (the "Applicant") (BBKO34)	1 November 2022	Validurti anerdnent orrevocation	Modified the following condition which was imposed on the licence granted to the Applicant: 'For Type 6 regulated activity, the licensee shall not advise on matters/transactions falling within the archit of the Codes on Taleovers and Margers and Share Buy-backs issued by the Conmission'' to: 'For Type 6 regulated activity, the licensee must, in the capacity as an adviser to a client on matters/transactions falling within the archit of the Codes on Taleovers and Margers and Share Buy-backs issued by the Commission, act together with another adviser (to the client) not subject to this comition''	Nil .
IIXag(fe "Applicat") (BFX734)	1 November 2022	Validurtil amendment orrevocation	Modified the following condition which was imposed on the licence granted to the Applicant: 'For Type 6 regulated activity, the licensee shall not advise on matters/transactions falling within the ambit of the Codes on Taleovers and Mergers and Share Buy backs issued by the Commission'' to: 'For Type 6 regulated activity, the licensee must, in the capacity as an adviser to a client on matters/transactions falling within the ambit of the Codes on Taleovers and Mergers and Share Buy-backs issued by the Commission, act together with another adviser (to the client) not subject to this comition''	Nº.











DU Qing ("the Applicant")	9November 2022		Waived the following condition which was imposed	Ni
(43.774.0		orrevocation	on the licence granted to the Applicant	
(AMI911)			UE-T O	
			"For Type 9 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer;	
			when actively participating in or when directly	
			supervising the business of the activity, do sounder	
			the advice of anothernesponsible officer who is:	
			(a) accredited to the principal concerned of the	
			licensee in respect of the activity, and	
			(b) notsubject to this condition"	



Priority Asset Management Group Limited ('the Applicant')	9November 2022	Validurtil anendnert orievocation	Modified the following conditions which were imposed on the licence granted to the Applicant:
(EES161)			"The licensee shall only provide services to professional investors. The term "professional investors as defined in the Securities and Futures Ordinative iand insubsidiary legislation"
			"For Type 9 negulated activity, the licensee shhill mittidi conduct business involving the discretionary management of any collective investment scheme. The thin tile i & out!" \alpha prockledal





WANG Mryue ("the Applicant")

(EMR107)









Last Update: 18 October 2024

West Bull Securities Limited ("the Applicant") 17 November 2022 Valid until amendment cruevocation which the Applicant on the licence granted to the Applicant:

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(BHI553)





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	WestockSecurities International (HK) Limited ("the Applicant")	22 November 2022	Validuntil amendment ornevocation	Waived the following condition which was imposed on the licence granted to the Applicant:	Ni .
	(AQ\$774)			"M: CHAN Chuen Leung the substantial shareholder of Capitec Securities Limited (formerly known as Hu Fung Securities Limited), shall not be involved in the day-to-day management or operations of the business that Capitec Securities Limited is licensed to carry on under the Securities and Futures Ordinance"	
	CHANKa Chun, Kad ("the Applicant")	25 November 2022	Validuntil amendment ornevocation	Modified the following condition which was imposed on the licence granted to the Applicant:	
,	(EFFC21)			"For Type 6 regulated activity, the licensee shall not advise on matters/transactions falling within the archit of the Codes on Takeovers and Mergers and Share Buy-backs issued by the Commission"	
				to	
				'For Type 6 regulated activity, the licensee must, in the capacity as an adviser to a client on matters/transactions falling within the ambit of the Codes on Takeovers and Mergers and Share Buy- backs issued by the Commission, act together with	





Midas Securities Limited ("the Applicant")	29 November 2022	Validuntil amendment crievocation	Modified the following condition which was imposed on the licence granted to the Applicant:	
(B1K715)				
			'For Type 9 regulated activity, the licensee shall not	
			conduct business involving the discretionary	
			management of any collective investment scheme.	
			The term 'collective investment scheme' is as	
			defined under the Securities and Futures Ordinance."	

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YUE Chuargvei ("the Applicant")	1 December 2022	Validuntil amendment	Waived the following condition which was imposed	
		crrevocation	on the licence granted to the Applicant-	
(HRR374)				
			"The licensee shall not carry out the regulated	
			activity(ies) in Hong Kong for more than 30 days in	
			each calendar year and shall at all times be	
			accompanied by a licensed person in performing the	
			regulated activity(ies) in Hong Kong"	







		_		
BOND.JorathanSimon("the Applicant") (BJF053)	13December 2022	Validuntil amendment ornevocation	Waived the following conditions which were imposed on the licence granted to the Applicant: "For Type 1 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition!" "For Type 6 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activity of accredited to the principal concerned of the licensee in respect of the activity; and (b) not subject to this condition!"	









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		_		
IIUJun("the Applicant") (BOD394)	20December 2022	Validuntil amendment or revocation	Waived the following conditions which were imposed on the licence granted to the Applicant: "For Type 1 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the achieve of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition." "For Type 6 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activity of accredited to the principal concerned of the licensee in respect of the activity; and (b) not subject to this condition."	





CHEUNG Wing On, Rickey ("the Applicant")	22 December 2022	Validuntil amendment	Waived the following condition which was imposed	N
		orievocation	on the licence granted to the Applicant	
(A #F0000)			Gian Barrana and Harana	
(AJE323)				
			"For Type 4 regulated activity ("the activity"), the	
			licensee must in his capacity as a responsible officer;	
			when actively participating in an when directly	
			supervising the business of the activity, do so under	
			the advice of another responsible officer who is:	
			(a) accredited to the principal concerned of the	
			licensee invespect of the activity, and	
			(b) not subject to this condition"	
			(-)	
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OSL Digital Securities Limited ("the	22 December 2022	Validunil amendment	The following licensing condition was imposed on the	NI NI
Applicant')		crievocation	licence granted to the Applicant:	
(BPJ213)			"The licensee must comply with the attached "Terms	
			and Conditions for Virtual Asset Trading Platform	
			Operators" (as amended from time to time)."	
			Modified paragraph 48 of the "Terms and Conditions	
			for Virtual Asset Trading Platform Operators " from	
			"A Platform Operator should not provide any financial	
			accommodation for its clients to acquire virtual	
			assets, and should ensure, to the extent possible,	
			that no corporation within the same group of	
			companies as the PlatformOperator does so "	
			to	
			"A Platform Operator should not provide any financial	
			accommodation for its clients to acquire virtual	
			assets. It should also ensure, to the extent possible,	
			that no corporation within the same group of	
			companies as the PlatformOperator provide financial accommodation to its clients to acquire virtual assets,	
			except for providing financial accommodation to its	
			institutional professional investor clients for satisfying	
			the pre-firsted trades requirement underparagraph	
			47 above and the financial accommodation should	
			be repaid within 2 business days"	
			Modified paragraph 102 of the 'Tems and	
			Conditions for Virtual Asset Trading Platform	







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CAO Yiqi ("the Applicant")	28December 2022	Validuntil amendment	Modified the following conditions which were imposed	N
		arrevocation	on the licence granted to the Applicant-	
BSI519			HITTI C. The control of the control	
			"This licence, invektion to Type 1 regulated activity, shall lapse and cease to have effect:	
			(i) upon the licensee ceasing to act for or on behalf of	
			his/herprincipal(s) in respect of that regulated	
			activity, or	
			(i) 6 norths after the grant of the licence for causing	
			on that regulated activity (or any later date as the	
			Connissionmay agree in writing),	
			whichever occurs earlier; unless the licensee has by	
			that time passed the relevant recognised local	
			regulatory fiamework paper(s) pursuant to the	
			Guidelines an Competence issued by the	
			Commission and informed the Commission of the	
			examination result"	
			"This licence, innelation to Type 4 regulated activity,	
			shall lapse and cease to have effect:	
			(i) upon the licensee ceasing to act for or on behalf of	
			his/herprincipal(s) invespect of that regulated	
			activity, or	
			(ii) 6 months after the grant of the licence for canying	
			onthat regulated activity (or any later date as the	
			Commission may agree in writing),	
			whichever occurs earlier; unless the licensee has by	
			that time passed the relevant recognised local regulatory framework paper(s) pursuant to the	
			Guidelines on Competence issued by the	
			Commission and informed the Commission of the	
			examination result."	
		1		



"This licence, innelation to Type Gregulated activity, shall lapse and cease to have effect:
(i) upon the licensee ceasing to act for or on behalf of his/her principal(s) in respect of that regulated activity, or

(ii) 6 months after the gant of the licence for canying on that regulated activity (or any later date as the Commission may agree in writing), which exercocus earlier; unless the licensee has by that time passed the relevant recognised local regulatory framework paper(s) pursuant to the Guidelines on Competence issued by the Commission and informed the Commission of the examination result."

to:

"The licensee shall not carry out the regulated activity (ies) in Hong Kong for more than 30 days in each calendar year and shall at all times be accompanied by a licensed person in performing the regulated activity (ies) in Hong Kong"





CHANKwokWai ("the Applicant") (ALG 542)	29 December 2022	Validuntil amendment crievocation	Waived the following condition which was imposed on the licence granted to the Applicant: 'For Type 1 regulated activity ('the activity'), the licensee must inhis capacity as a responsible officer, when actively participating in or when directly supervising the business of the activity, do so under the activity of accredited to the principal concerned of the licensee in respect of the activity; and (b) not subject to this condition."	
SONG Sijja ("the Applicant") (BIY897)	23December 2022		Waived the following distribution which was imposed on the licence gradyd to the Oppfip literate	The licensee shall not cany o

"The licensee shall not carry out the regulated activity(ies) in Hong Kong Himmonthia 200 by a intellemental delicated



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CAl Xiaoyu ("the Applicant") (BRQ349)	23December 2022	Validuril anenthent crievocation	Waived the following comition which was imposed on the licence granted to the Applicant: "The licensee shall not carry out the regulated activity (ies) in Hong Kong for more than 30 days in each calendary carand shall at all times be accompanied by a licensed person in performing the regulated activity (ies) in Hong Kong!"	N
CUI Yiram ("the Applicant") (BMANEE)	23 December 2022	Validuntil amendment ornevocation	Waived the following condition which was imposed on the licence garded to the Applicant: "The licensee shall not canyout the regulated activity (ies) in Hong Kong for more than 30 days in each calendary earnshall at all times be accompanied by a licensed person in performing the regulated activity (ies) in Hong Kong!"	N 2
DAIAma ('the Applicant') (PRZ469)	23 December 2022	Validuntil amendment crievocation	Waived the following condition which was imposed on the licence granted to the Applicant: "The licensee shall not carry out the regulated activity (ies) in Hong Kong for more than 30 days in each calendary year and shall at all times be accompanied by a licensed person in performing the regulated activity (ies) in Hong Kong!"	Nº







BU Yunzhi ('the Applicant') (BOS 102)	30 December 2022	Validuntil anemhent crievocation	Waived the following condition which was imposed on the licence gamed to the Applicant: "The licensee shall not carry out the regulated activity (ies) in Hung Kong for more than 30 days in each calendary ear and shall at all times be accompanied by a licensed person in performing the regulated activity (ies) in Hung Kong!"	Nª
IUO Jing ("the Applicant") (BOS 477)	30December 2022	Validurtil amendment ornevocation	Waived the following condition which was imposed on the license granted to the Applicant: "The licensee shall not carry out the regulated activity (ies) in Hung Kong formure than 30 days in each calendary ear and shall at all times be accompanied by a licensed person in performing the regulated activity (ies) in Hung Kong!"	N.
YANG Warggege ("the Applicant") (BSA396)	30December 2022	Validuntil amendment ornevocation	Waived the following cordition which was imposed on the licence garded to the Applicant: "The licensee shall not carry out the regulated activity (ies) in Hung Kong for more than 30 days in each calendary ear and shall at all times be accompanied by a licensed person in performing the regulated activity (ies) in Hung Kong!"	Nª





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GENG Him ("the Applicant") (BPW013)	23 December 2022	Validurfil amendment ornevocation	Waived the following conditions which were imposed on the licence granted to the Applicant: 'For Type 4 regulated activity ('the activity'), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition!' 'For Type 9 regulated activity ('the activity'), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition!'	NI
Orient Securities Limited ("the Applicant") (AFPOSS)	3.km.eny 2023	Validurtil anendnent orievocation	Waived the following condition which was imposed on the licence granted to the Applicant: 'For Type 9 regulated activity, the licensee shall not conduct business involving the discretionary management of any collective investments cheme. The term 'collective investments cheme!' is as defined under the Securities and Futures Ordinance."	N





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WAI Kwai Forg ("the Applicant") (AWQ619)	5 January 2023	Validuril anerthert crievocation	Modified the following condition which was imposed on the licence granted to the Applicant: "For Type 6 regulated activity, the licensee shall not advise on matters/transactions falling within the arrhit of the Codes on Taleovers and Mergers and Share Buy backs issued by the Commission" to: "For Type 6 regulated activity, the licensee must, in the capacity as an adviser to a client on matters/transactions falling within the arrhit of the Codes on Taleovers and Mergers and Share Buy backs issued by the Commission, act together with another adviser (to the client) not subject to this condition"	
Clina Industrial Securities International Capital Limited ("the Applicant") (BARC56)	9.January 2023	Validurtil amendment cruevocation	Waived the following condition which was imposed on the licence granted to the Applicant: "For Type 6 regulated activity, the licensee must, in the capacity as an adviser to a client on matters/transactions falling within the archit of the Codes on Taleovers and Mergers and Share Buy-backs issued by the Commission, act together with another adviser (to the client) not subject to this condition."	NI .



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InnovaxAssetManagementLimited("the	11 January 2023 m	Validuntil amendnentm	Waisted the following c	en the follobing c	en	E	nut) c
Applicant')		crit vocation:ation					

(BB970)









Modified the following cordition which was imposed on the licence granted to the Applicant-

"The licensee (1) shall not carry out the regulated activity (ies) in Horg Korg for more than 30 days in each calendar year; and



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CHEUNG Chun Fai ("the Applicant")	26 January 2023	Validuntlamentment orrevocation	Waived the following corrlition which was imposed on the licence granted to the Applicant:	Ni
(AQDSIO)			"For Type 9 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activice of another responsible officer who is: (a) accredited to the principal concerned of the licensee invespect of the activity, and (b) not subject to this condition."	
IENICHNER Jesse Hart ("the Applicant") (ADS 586)	27 January 2023	Validurtil amendment crievocation	Waived the following condition which was imposed on the licence granted to the Applicant: 'For Type 9 regulated activity ('the activity'), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the husiness of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition!"	Nº



IOTingHei ("the Applicant")	30 January 2023	Validuntil amendment	Waived the following condition which was imposed	Nii
		orrevocation	on the licence granted to the Applicant	
(BQI232)				
			'For Type 9 regulated activity ('the activity'), the	
			licensee must in his capacity as a responsible officer;	
			when actively participating in arwhen directly	
			supervising the business of the activity, do so under	
			the advice of another responsible officer who is:	
			(a) accredited to the principal concerned of the	
			licensee in respect of the activity, and	
			(b) not subject to this condition"	



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CHU CheukMan ("the Applicant")	31 January 2023	orievocation	Waived the following conditions which were imposed on the licence granted to the Applicant:	14
(ASS-493)		arievazion	"For Type 1 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition." "For Type 2 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activity of accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition." "For Type 9 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer;	
			when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is:	
			(a) accredited to the principal concerned of the licensee innespect of the activity, and (b) not subject to this condition"	



SUNG Ting Yee ("the Applicant")	31 January 2023	Validurtil amendnert	Waived the following condition which was imposed	Nil.
		crevocation	on the licence granted to the Applicant	
(ALW414)				
			'For Type 6 regulated activity ('the activity'), the	
			licensee must in his capacity as a responsible officer;	
			when actively participating in or when directly	
			supervising the business of the activity, do so under	
			the advice of another responsible officer who is:	
			(a) accredited to the principal concerned of the	
			licensee innespect of the activity, and	
			(b) not subject to this condition"	



Ll Xiaoni ("the Applicant")	1 February 2023	Validuntil amendment	Waived the followin	n	
		orievocation			
(BGH408)					

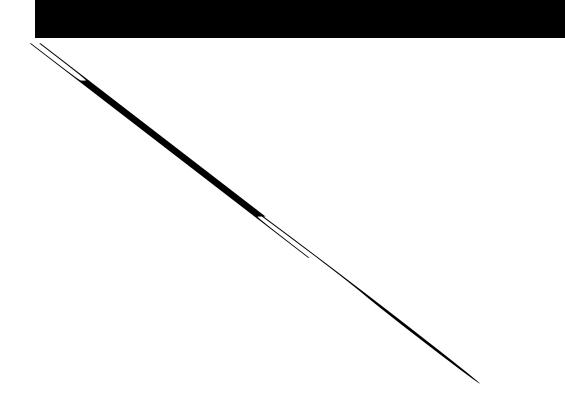


		<u></u> .	Last Update: 18 October 2024
1 February 2023	Validuril amenhent ornevocation	on the licence granted to the Applicant	N
		licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activice of another responsible officer who is: (a) accredited to the principal concerned of the licensee invespect of the activity, and	
2February 2023	Validurtil amendment orrevocation		N a
		"For Type 9 regulated activity, the licensee shall not conduct business involving the discretionary management of any collective investment scheme. The term "collective investment scheme" is as defined under the Securities and Futures Ordinance."	
2February 2023	Validuril ameniment ornevocation	on the licence granted to the Applicant: "The licensee shall not carry out the regulated activity (ies) in Hong Kong formule than 30 days in each calendary ear and shall at all times be	N a
	2February 2023	2 February 2023 Validurii amendment or resocation 2 February 2023 Validurii amendment	The license garted to the Applicant "For Type 9 regulated activity ("the activity"), the licensee must inhis capacity as a responsible office; when actively participating in or when directly supervising the business of the activity, do so under the activice of another responsible officerwhols: (a) accredited to the principal concerned of the licensee innespect of the activity, and (b) not subject to this condition." 2 February 2023 Validuriil amendment Orievocation Waived the following condition which was imposed on the licensee garted to the Applicant "For Type 9 regulated activity, the licensee shall not conduct business involving the discretionary management of any collective investment scheme. The term "collective investment scheme." 2 February 2023 Validuriil amendment Orievocation Waived the following condition which was imposed on the licensee shall not cany out the regulated activity (see investment to the Applicant). "The licensee shall not cany out the regulated activity (see in the activity) in thing Kong formure than 30 days in



QU Haoyuan ("the Applicant")	3February 2023	Validuntil amendment crievocation	Waived the following condition which was imposed on the licence granted to the Applicant:	
(BSV/232)			u 20 g 20 112 1	
			"The licensee shall not carry out the regulated	
			activity(ies) in Hong Kong formue than 30 days in	













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MENG Kehan ("the Applicant") (BCK8578)	14Felmary 2023	Validuril anenthent crievocation	Waived the following comition which was imposed on the licence granted to the Applicant: "The licensee shall not carry out the regulated activity(ies) in Hong Kong for more than 30 days in each calendar year and shall at all times be accompanied by a licensed person in performing the regulated activity(ies) in Hong Kong"	N ii
ZHU J.m ("the Applicant") (AFN534)	16February 2023	Validuril arrentment crievocation	Waived the following conditions which were imposed on the licence granted to the Applicant: "For Type 4 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition." "For Type 9 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition."	Na.



MRF Asset Management Limited ("the Applicant")	16February 2023	Validuntil amendment ornevocation	Modified the following condition which was imposed on the licence ganted to the Applicant:	N
(AAD002)			"For Type 9 regulated activity, the licensee shall not conduct business involving the discretionary management of any collective investment scheme. The term 'collective investment scheme' is as defined under the Securities and Futures Ordinance." to- "For Type 9 regulated activity, the licensee shall only carry on a business in the management of (a) discretionary accounts; and (b) collective investment schemes that are offered to professional investors only. The terms "collective investment scheme" and "professional investor" are defined under the Securities and Futures Ordinance and its subsidiary legislation (where applicable)."	



KE Fengzhou ("the Applicant") (BOJ941)	16February 2023	Waived the following condition which was imposed on the licence granted to the Applicant: "The licensee: (1) shall not carry out the regulated activity(ies) in Hong Kong for more than 30 days in each calendaryear; and (2) shall not provide related services to any person other than a professional investor unless the licensee is accompanied at all times by a licensed person in performing the regulated activity(ies) in Hong Kong. The term "professional investor" is as defined in Part 1 of Schedule 1 to the Securities and Futures Ordinance but does not include any person of a class which is prescribed by the Securities and Futures (Professional Investor) Rules."	N





WANG Haovei ("the Applicant")	22 February 2023	Validurtil amendment		
	_	or bticker	iq iqtert	
(BMR38i)				



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XIE Jingsin ("the Applicant")	22 February 2023	Validuntil amendment omevocation	Waived the following corrilion which was imposed on the licence granted to choordii the following con my	.,9093	Validurti20202323t toch
(BJC987)		diewaldi	dite te de ga te de	yaas	Validinalaasidii



WONG Wai ("the Applicant")



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				Last Optime: 18 Countri atari
ABBOIT Giles David Cameron ("the Applicant")	3March 2022	Validurfil amendment ornevocation	Waived the following conditions which were imposed on the licence granted to the Applicant:	NI.
(AVQ399)			"For Type 1 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition."	
			"For Type 4 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activity of another responsible officer who is: (a) accredited to the principal concerned of the licensee invespect of the activity; and (b) not subject to this condition."	
CHANWai Yu ("the Applicant") (BH 1219)	3Mach 2023	Validuntil amendment crievocation	Waived the following condition which was imposed on the licence granted to the Applicant: 'For Type 6 regulated activity, the licensee must, in the cented of the	



				Lascopulae: locolouerausa
IAI Sze Yau Vivien ("the Applicant") (BEZ139)	7 Mauch 2023	Validuril anendneri orrevocation	Waived the following condition which was imposed on the licence granted to the Applicant: "For Type 4 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activity of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition."	Nª
Ngai HoWai, Christopher ("the Applicant") (HFY250)	9Mach2023	Validuril anenthent orrevocation	Modified the following condition which was imposed on the licence granted to the Applicant: 'For Type Gregulated activity, the licensee shall not advise on matters/transactions falling within the archit of the Codes on Takeovers and Mergers and Share Buy backs issued by the Commission'' to - 'For Type Gregulated activity, the licensee must, in the capacity as an adviser to a client on matters/transactions falling within the archit of the Codes on Takeovers and Mergers and Share Buy backs issued by the Commission, act together with another adviser (to the client) not subject to this condition''	N2







TAN Jolene ("the Applicant") 16March 2023 Validurtil amendment Waived the following conditions which were imposed Ni_ on the licence granted to the Applicant crievocation (BPC553) 'For Type 4 regulated activity ('the activity'), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition" 'For Type 9 regulated activity ('the activity'), the licensee must in his capacity as a responsible officer; when actively participating in or when directly 'For Ty or T Ty or T Ty or w supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition"

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Last Undate: 18 October 2024

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XIXadua ("the Applicant")	17Mach2023	Validurtil amendment ornevocation	Waived the following condition which was imposed on the licence granted to theha e dR posed	

(AHX572)





		,		Last Update: 18 October 2024
HANG Zun ("the Applicant")	28March 2023	Validurtilamentment ornevocation	Waived the following condition which was imposed on the licence granted to the Applicant:	N
(BN470)				
			The licensee shall not carry out the regulated	
			activity(ies) in Horg Korg formue than 30 days in each calendar year and shall at all times be	
			accompanied by a licensed person in performing the	
			regulated activity(ies) in Hurg Kong"	
ZHANG Ao ("the Applicant")	28March 2023	Validurtil amendment	Waived the following condition which was imposed	N
movem.		arrevocation	on the licence granted to the Applicant	
(BOA239)			"The licensee shall not carry out the regulated	
			activity(ies) in Hrg Korg formue than 30 days in	
			eachcalendaryear and shall at all times be	
			accompanied by a licensed person in performing the	
			regulated activity(ies) in Hong Kong"	
CHEN Xian Can ("the Applicant")	28Mach2023	Validurti amendment	Waived the following condition which was imposed	N
(ERO897)		arrevocation	on the licence granted to the Applicant	
			"The licensee shall not carry out the regulated	
			activity(ies) in Hong Kong formure than 30 days in	
			eachcalentaryear and shall at all times be	
			accompanied by a licensed person in performing the	
			regulated activity(ies) in Horg Kong"	
JANG Hoglan ("the Applicant")	28March 2023	Validuril anerdnert	Waived the following condition which was imposed	N
		crevocation	on the licence granted to the Applicant	
(BSZ507)			III les Boomes alvall surtanes es tilles sent lets I	
			"The licensee shall not cany out the regulated activity (ies) in Horg Kong formore than 30 days in	
			each calendary ear and shall at all times be	
			accompanied by a licensed person in performing the	
			regulated activity(ies) in Hong Kong"	





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XIAO Zichen ("The Applicant") (BSA087)	28Mach2023	Validurtil anerdnent crievocation	Waived the following condition which was imposed on the licence granted to the Applicant: "The licensee shall not carry out the regulated activity (ies) in Hong Kong for more than 30 days in	Nº
			each calentary ear and shall at all times be accompanied by a licensed person in performing the regulated activity (ies) in Hong Kong"	
DE VRIES Pier Christiaan ("the Applicant")	29March 2023	Validuril anendment crievocation	Waived the following condition which was imposed on the licence granted to the Applicant:	Nº I
(ABVBZ)			"For Type 9 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer, when actively participating in or when directly supervising the business of the activity, do so under the activity of another responsible officer who is: (a) accredited to the principal concerned of the licensee invespect of the activity, and (b) not subject to this condition."	
IONG Hai ("the Applicant") (BSQ419)	30Mach 2023	Validurtil anerdnent ornevocation	Waived the following condition which was imposed on the licence granted to the Applicant: "The licensee shall not carry out the regulated activity (ies) in Hong Kong for more than 30 days in each calendary ear and shall at all times be accompanied by a licensed person imperforming the regulated activity (ies) in Hong Kong"	Nº



				Last Update: 18 October 2024
WANG Yarjun("the Applicant")	30March 2023	Validuntil amendment ornevocation	Waived the following condition which was imposed on the licence granted to the Applicant:	N a
(BSW137)			"The licensee shall not carry out the regulated	
			activity(ies) in Hong Kong formule than 30 days in	
			each calendary ear and shall at all times be	
			accompanied by a licensed person in performing the	
			regulated activity(ies) in Horg Kong"	
SH Werne ("the Applicant")	30March 2023	Validurtil amendment	Waived the following condition which was imposed	N
(PPD)163		crrevocation	on the licence granted to the Applicant:	
(BRR188)			"The licensee shall not carry out the regulated	
			activity(ies) in Hong Kong formule than 30 days in	
			each calendary ear and shall at all times be	
			accompanied by a licensed person in performing the	
			regulated activity(ies) in Hong Kong"	
ZHU Zini ("the Applicant")	30March 2023	Validurtil anerchert	Waived the following condition which was imposed	N
(BIE316)		crievocation	on the licence granted to the Applicant:	
(DITAIC)			"The licensee shall not carry out the regulated	
			activity(ies) in Harg Korg formue than 30 days in	
			each calendary ear and shall at all times be	
			accompanied by a licensed person in performing the	
			regulated activity(ies) in Hong Kong"	
HLANG Xinyi ("the Applicant")	30March 2023	Validurtil amendment	Waised the following condition which was imposed	N
(TB)(CCCC)		arrevocation	on the licence granted to the Applicant	
(ENC835)			"The licensee shall not carry out the regulated	
			activity(ies) in Hong Kong formule than 30 days in	
			each calendar year and shall at all times be	
			accompanied by a licensed person in performing the	
			regulated activity(ies) in Hong Kong"	
		<u> </u>		



		,		Last Update: 18 October 2024
CAOZeyuan ("the Applicant")	30March 2023	Validurtil amendment orrevocation	Waived the following condition which was imposed on the licence granted to the Applicant:	N
(BRQ155)				
			"The licensee shall not carry out the regulated	
			activity(ies) in Horg Korg formue than 30 days in each calendar year and shall at all times be	
			accompanied by a licensed person in performing the	
			regulated activity(ies) in Horg Korg"	
LIANG Yumperg ("the Applicant")	30March 2023	Validuril amendment	Waived the following condition which was imposed	N
		arrevocation	on the licence granted to the Applicant:	
(ERT136)				
			"The licensee shall not carry out the regulated	
			activity(ies) in Horg Korg formue than 30 days in each calendar year and shall at all times be	
			accompanied by a licensed person in performing the	
			regulated activity(ies) in Hung Kong"	
ZHOU Yiran ("the Applicant")	30March 2023	Validuril amendment	Waived the following condition which was imposed	N
		arrevocation	on the licence granted to the Applicant	
(BRAO9I)			"The licensee shall not carry out the regulated	
			activity(ies) in Hong Kong formule than 30 days in	
			eachcalendaryear and shall at all times be	
			accomparied by a licensed person in performing the	
			regulated activity(ies) in Hong Kong"	
ZHANG Chi ("the Applicant")	30March 2023	Validurtil amendment	Waived the following condition which was imposed	N
(INVERSE		orrevocation	on the licence granted to the Applicant	
(BRY577)			"The licensee shall not carry out the regulated	
			activity(ies) in Hong Kong formuse than 30 days in	
			each calendaryear and shall at all times be	
			accomparied by a licensed person in performing the	
			regulated activity(ies) in Hong Kong"	



				Last Update: 18 October 2024
JIN Yijie ("the Applicant")	30March 2023	Validurtil amendment ornevocation	Waived the following condition which was imposed on the licence granted to the Applicant:	N
(BSU990)			"The licensee shall not carry out the regulated	
			activity(ies) in Hrg Korg formue than 30 days in	
			each calendary ear and shall at all times be	
			accomparied by a licensed person in performing the	
			regulated activity(ies) in Hong Kong"	
HE Yuan ("the Applicant")	30March2023	Validurtil amendment	Waived the following condition which was imposed	NI NI
		orrevocation	on the licence granted to the Applicant	
(BRB787)			III les Berresse chall sert come est the sect letted	
			"The licensee shall not cany out the regulated activity (ies) in Horg Kong formore than 30 days in	
			each calendaryear and shall at all times be	
			accompanied by a licensed person in performing the	
			regulated activity(ies) in Hong Kong"	
ZHANG Yang ("the Applicant")	30March 2023	Validurtil anendnert	Waived the following condition which was imposed	NI
		arrevocation	on the licence granted to the Applicant	
(BQG368)			"The licensee shall not carry out the regulated	
			activity(ies) in Hong Kong formore than 30 days in	
			each calendaryear and shall at all times be	
			accompanied by a licensed person in performing the	
			regulated activity(ies) in Hong Kong"	
ZHANG Peihong ("the Applicant")	30March 2023	Validurtil anerdnert	Waived the following condition which was imposed	NI
		orrevocation	on the licence granted to the Applicant:	
(BSG572)			III les Bourses alsoll sext owns on talles and 3-4-3	
			"The licensee shall not cany out the regulated activity (ies) in Horg Kong formore than 30 days in	
			each calendaryear and shall at all times be	
			accompanied by a licensed person in performing the	
			regulated activity(ies) in Hong Kong"	







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WANG Deli ("the Applicant") (BEP-491)	13 April 202 3	Validurtil anendment orrevocation	Waived the following condition which was imposed on the licence granted to the Applicant: "For Type 9 negulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activity of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity; and (b) not subject to this condition."	NI.
Arete Capital Asia Limited ("the Applicant") (EH-1/177)	13April 2023	Validuntil amendment crievocation	Waived the following condition which was imposed on the licence granted to the Applicant: "For Type 9 regulated activity, the licensee shall not conduct business involving the discretionary management of any collective investment scheme. The term collective investment scheme!" is as defined under the Securities and Futures Ordinance."	Ni
CNCB (Hong Kong) Capital Limited ("the Applicant") (AEQ982)	13 April 202 3	Validuril amendment criexocation	Waived the following condition which was imposed on the licence granted to the Applicant: "For Type 9 regulated activity, where the licensee manages any collective investment scheme, it shall only provide such services to professional investors only. The term "collective investment scheme" is as defined under the Securities and Futures Ordinance."	





YU Hu To ("the Applicant") (ACMEDE)	19April 2023	Validuntil amendment orievocation	Modified the following condition which was imposed on the licence granted to the Applicant: "For Type 6 regulated activity, the licensee shall not advise on matters/transactions falling within the arrhit of the Codes on Taleovers and Margers and Share Buy-backs issued by the Commission." to - "For Type 6 regulated activity, the licensee must, in the capacity as an adviser to a client on matters/transactions falling within the arrhit of the Codes on Taleovers and Margers and Share Buy-backs issued by the Commission, act together with another adviser (to the client) not subject to this condition."	



(EMQ165)

Last Update: 18 October 2024

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IMTakHing ("the Applicant") (AMPO28)	19April 2023	Validuril amendment crievocation	Waived the following conditions which were imposed on the licence granted to the Applicant: "For Type 1 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition." "For Type 2 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition."	Ne l





WONG Visien ("the Applicant")	24 April 202 3	Validuntil amendment ornevocation	Waived the following condition which was impost)	

(ARR811)



(BIB620)







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CHEN Weicherg ("The Applicant") (BH-19091)	2 May 2023	Validurtil amendment ornevocation	Waived the following conditions which were imposed on the licence granted to the Applicant: "For Type 1 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition." "For Type 2 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is:	N
CAO Yiqi ("the Applicant") (BSI519)	3May 2023	Validuntil amendment ornevocation	(a) accredited to the principal concerned of the licensee invespect of the activity, and (b) not subject to this condition." Waived the following condition which was imposed on the license granted to the Applicant: "The licensee shall not carry out the regulated activity (ies) in Hong Kong formure than 30 days in each calendary ear and shall at all times be accompanied by a licensed person in performing the regulated activity (ies) in Hong Kong!"	N i







YMYuTak("the Applicant")	9May 2023	Validurtil anerdnert	Waived the following condition which was imposed	NI.
		crievocation	on the licence granted to the Applicant	
(AIR501)				
			'For Type 9 regulated activity ('the activity'), the	
			licensee must in his capacity as a responsible officer;	
			when actively participating in or when directly	
			supervising the business of the activity, do so under	
			the advice of another responsible officer who is:	
			(a) accredited to the principal concerned of the	
			licensee innespect of the activity, and	
			(b) not subject to this condition"	





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II H.an ("the Applicant") (BSJ167)	19May 2023	Validurtil amendment orrevocation	Modified the following condition which was imposed on the licence granted to the Applicant:	N
(ISJIO)			"The licensee shall not carry out the regulated activity (ies) in Hong Kong for more than 30 days in each calendary ear and shall at all times be accompanied by a licensed person in performing the regulated activity (ies) in Hong Kong"	
			to - "This licence, innekation to Type 1 regulated activity,	
			shall lapse and cease to have effect (i) upon the licensee ceasing to act for or on behalf of his/herprincipal(s) in respect of that regulated activity, or	
			(ii) 6 months after the grant of the licence for carrying on that regulated activity (or any later date as the Commission may agree in writing), which ever occurs earlier; unless the licensee has by that time passed	
			the relevant recognised local regulatory framework paper(s) pursuant to the Guidelines on Competence issued by the Commission and informed the Commission of the examination result."	



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ZHONG Jiale ("the Applicant") (BSF844)	22May 2023	Validurtil amendment ornevocation	on the licence granted to the Applicant:	N
			"The licensee shall not carry out the regulated activity (ies) in Hong Kong formure than 30 days in each calendar year and shall at all times be accompanied by a licensed person in performing the regulated activity (ies) in Hong Kong"	
			to -	
			"This licence, innelation to Type 1 regulated activity, shall lapse and cease to have effect: (i) upon the licensee ceasing to act for or on behalf of his/her principal(s) innespect of that regulated activity, or	
			(ii) 6 months after the grant of the licence for canying on that regulated activity (or any later date as the Commission may agree in writing), which ever occurs earlier; unless the licensee has by that time passed	
			the relevant recognised local regulatory framework paper(s) prusuant to the Guidelines on Competence issued by the Commission and informed the Commission of the examination result."	





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CHENG Man Yu, Duncan ("the Applicant") (AGH 568)	23 May 2023	Validurtil amendment crievocation	Waived the following condition which was imposed on the licence granted to the Applicant: 'For Type 9 regulated activity ('the activity'), the licensee must inhis capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition."	N a
Brocade River Merchants Capital Limited ("the Applicant") (BNR589)	29May 2023	Validurtil amendment crievocation	Waived the following conditions which were imposed on the licence granted to the Applicant: "The licensee shall not hold client assets. The terms 'Inold' and 'Gient assets' are as defined under the Securities and Futures Ordinance."	
			"The licensee shall only provide services to professional investors. The term"professional investor" is as defined in the Securities and Futures Ordinance and its subsidiary legislation"	



ZHUJun("the Applicant")	29May 2023	Validuntil amendment	Waived the following f	te ax	11	
(AFN536)	-	W řevočation	_			



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CHEUNG Hoi Wa, Howard ("the Applicant") (BNY336)	30May 2023	Validutil anendnert crievocation	Waived the following conditions which were imposed on the licence granted to the Applicant: 'For Type 1 regulated activity ('the activity'), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activite of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition!	



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SIEEN Jeiemy David ("the Applicant")	1 June 2023	Validuntil amendment orrevocation	Waived the following condition which was imposed on the licence granted to the Applicant:	NI
(BHR493)			n a sa a	
			'For Type 2 regulated activity ('the activity'), the	
			licensee must in his capacity as a responsible officer;	
			when actively participating in arwhen directly	
			supervising the business of the activity, do sounder	
			the advice of another responsible officer who is:	
			(a) accredited to the principal concerned of the licensee in respect of the activity, and	
			(b) not subject to this condition"	
			(-)	
FANG Leyao ("the Applicant")	1 June 2023	Validurtil amendment	Waived the following condition which was imposed	NI
(BSW135)		arrevocation	on the licence granted to the Applicant:	
(HSVVIS.)			"The licensee shall not carry out the regulated	
			activity(ies) in Hrg Kong formue than 30 days in	
			each calendar year and shall at all times be	
			accomparied by a licensed person in performing the	
			regulated activity(ies) in Hong Kong"	
ZHANG Yingniao ("the Applicant")	1.June 2023	Validuntil amendment	Waived the following condition which was imposed	N
211 to 129120(u.c. 44202)	10022	græveation	on the licence granted to the Applicant	
(BIG208)				
			"The licensee shall not carry out the regulated	
			activity(ies) in Hung Kong formure than 30 days in	
			eachcalendaryear and shall at all times be	
			accompanied by a licensed person in performing the regulated activity(ies) in Hong Kong"	
			icaramyte) imgnig	



				Last Update: 18 October 202
SH Qirru ("the Applicant")	1 June <i>2</i> 023	Validuntil amendment or revocation	Waived the following condition which was imposed on the licence granted to the Applicant:	NI
(BSR340)			Min Former chall not come a tilla method	
			"The licensee shall not carry out the regulated activity (ies) in Hong Kong for more than 30 days in	
			each calendar year and shall at all times be	
			accompanied by a licensed person in performing the	
			regulated activity(ies) in Hong Kong"	
CAI Yuria ("the Applicant")	1 June 2023	Validurtil anendnert	Waived the following condition which was imposed	N
(TCACOTA		arrevocation	on the licence granted to the Applicant	
(BSA005)			"The licensee shall not carry out the regulated	
			activity(ies) in Harg Korg formue than 30 days in	
			each calendar year and shall at all times be	
			accompanied by a licensed person in performing the	
			regulated activity(ies) in Hong Kong"	
XIU Yifei ("the Applicant")	1 June 2023	Validurtil anenchert	Waived the following condition which was imposed	N
(BKZ986)		arrevocation	on the licence granted to the Applicant:	
(DIVES)			"The licensee shall not carry out the regulated	
			activity(ies) in Hrig Korg formue than 30 days in	
			eachcalendaryearandshall at all times be	
			accompanied by a licensed person in performing the	
			regulated activity(ies) in Hong Kong"	
WUZherui ("the Applicant")	1 June 2023	Validurtil anendnert	Waived the following condition which was imposed	N
(THE COST)		arrevocation	on the licence granted to the Applicant:	
(BIC789)			"The licensee shall not carry out the regulated	
			activity(ies) in Hong Kong formore than 30 days in	
			each calendaryear and shall at all times be	
			accompanied by a licensed person in performing the	
			regulated activity(ies) in Hong Kong"	





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SONG Yizin ("the Applicant")	6June 2023	ValSJYY HR	r	

(BSJ255)



				Last Update: 18 October 2024
ZHAOHaiHa ("the Applicant") (AYG618)	7June 2023	Validurtil amendment ornevocation	Waived the following conditions which were imposed on the licence granted to the Applicant:	N
			"For Type 1 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly	
			supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the	
			licensee innespect of the activity, and (b) not subject to this condition"	
			"For Type 3 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under	
			the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity; and (b) not subject to this condition"	
AROS II Maco ("the Applicant")	7June 2023	Validuril anerdnert	Waived the following comition which was imposed	N
(APZ595)		orievocation	on the licence granted to the Applicant: 'For Type 1 regulated activity ('the activity'), the licensee must in his capacity as a responsible officer;	
			when actively participating in crwhen directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the	
			licensee in respect of the activity; and (b) not subject to this condition!	







				Lascopiale: locotile augi
South China Capital Limited ("the Applicant") (AAI635)	9 June 2023	Validurtil amendment or revocation	Modified the following condition which was imposed on the licence granted to the Applicant: "For Type Gregolated activity, the licensee shall not advise on matters/transactions falling within the archit of the Codes on Taleovers and Mergers and Share Buy backs issued by the Commission." to- "For Type Gregolated activity, the licensee must, in the capacity as an advisent on dient on matters/transactions falling within the archit of the Codes on Taleovers and Mergers and Share Buy backs issued by the Commission, act together with another adviser (to the client) not subject to this condition."	N
South Chira Capital Limited ("the Applicant") (AAI635)	9June 2023	Validuntil amendment orrevocation	Waived the following condition which was imposed on the licence granted to the Applicant: "For Type Gregulated activity, the licensee shall not act as sponsor in respect of an application for the listing on a recognized stock market of any securities."	N





Evercore Asia Limited ("the Applicant")

(AVI295)



				Hotopauc: 10 cauxi xxx1
OpenAssetMangementLimited (the	23June 2023	Validurtil amendment	Waived the following condition which was imposed	N
"Аррбский")		crievocation	on the licence granted to the Applicant:	
(AAU795)			"The licensee shall not hold client assets. The terms	
			"hold" and "dient assets" are as defined under the	
			Securities and Futures Ordinance."	
LEUNG Ho Yin ("the Applicant")	23June 2023	Validurtil anendnert	Waived the following condition which was imposed	N
		arrevocation	on the licence granted to the Applicant:	
(BJY143)			Min Property and the second state of	
			"The licensee shall not carry out the regulated activity (ies) in Hong Kong for more than 30 days in	
			each calendar year and shall not provide related	
			services to any person other than a professional	
			investor: The term' professional investor" is as	
			defined in Part 1 of Schedule 1 to the Securities and	
			Futures Ordinance but does not include any person of a class which is prescribed by the Securities and	
			Futures (Professional Investor) Rules."	
				,





(BIM708)

	,			Insteprine: Instanta Aust
JANG Jan("the Applicant")	28J ure 2023	Validuntil anenment cresoration	Modified the following condition which was imposed on the licence granted to the Applicant:	N
(AQISG)			3	
			'For Type 1 regulated activity, the licensee shall not engage in dealing activities other than those relating to corporate finance."	
			to-	
			'For Type 1 regulated activity ('the activity'), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is:	
			(a) accredited to the principal concerned of the licensee in respect of the activity; and (b) not subject to this combine!	
WU Yuangi ("the Applicant")	28June 202 3	Validurtil anendnent onevocation	Waived the following condition which was imposed on the licence granted to the Applicant:	N
(BRX089)		Crievicalin	Chire teste garied to the Applicant	
			'The licensee shall not cany out the regulated	
			activity(ies) in Hurg Korg formue than 30 days in	
			each calendaryear and shall at all times be accompanied by a licensed person in performing the regulated activity(ies) in Hong Kong"	
BACRIY Volodynyr ("the Applicant")				



				Insteprine: Instead wast
MENG Yue ("the Applicant") (BRP552)	28June 2023	Validuntil amendment cruevocation	Waived the following condition which was imposed on the licence granted to the Applicant: 'For Type 2 regulated activity ('the activity'), the licensee must inhis capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition!"	
CHEN Yikang ("the Applicant") (BRQ409)	30.June 2023	Validuril anenthert crievocation	Waived the following condition which was imposed on the licence granted to the Applicant: "The licensee shall not carry out the regulated activity (ies) in Hong Kong formore than 30 days in each calendary ear and shall at all times be accompanied by a licensed person in performing the regulated activity (ies) in Hong Kong "	N i
Mi Jiale ("the Applicant") (BSX541)	30June 2023	Validuntil amendment ornevocation	Waived the following condition which was imposed on the licence granted to the Applicant: "The licensee shall not carry out the regulated activity (ies) in Hong Kong formure than 30 days in each calendary ear and shall at all times be accompanied by a licensed person in performing the regulated activity (ies) in Hong Kong "	N



WANShukorg ("the Applicant")	30June 2023	Validuntil amendnent	Waived the following condition which was imposed	Ni
		crievocation	on the licence granted to the Applicant	
(BIH)53)				
			"The licensee shall not carry out the regulated	
			activity(ies) in Hong Kong formure than 30 days in	
			each calendar year and shall at all times be	
			accompanied by a licensed person in performing the	
			regulated activity(ies) in Hung Kong"	
SHShiha ('the Applicant')	30June 2023	Validuntil amendment	Waived the following condition which was imposed	N
		arrevocation	on the licence granted to the Applicant:	
(BID900)				
			"The licensee shall not carry out the regulated	
			activity(ies) in Horg Kong formure than 30 days in	
			each calendar year and shall at all times be	
			accompanied by a licensed person in performing the	
			regulated activity(ies) in Hong Kong"	



Changhe Capital Management Limited ("the Applicant")	4.hly2023	Validuntil amendment orrevocation	Modified the following condition which was imposed on the licence granted to the Applicant:		
(BSU322)			"For Type 1 regulated activity, the licensee shall only carry on the business of dealing in collective investment schemes. The terms "collective investment scheme" and "dealing" are as defined under the Securities and Futures Ordinance."		
			to-		
			"For Type 1 regulated activity, the licensee shall not conduct business other than- (a) distributing and marketing of securities. The term "securities" is as defined under the Securities and Futures Ordinance:		
			(b) underwiting and placing of securities. The term "securities" is as defined under the Securities and		
			Futures Cheir Addrissi oh (c) communicating ceptitus to intigento dealings in 2 cr ities" i securities utura composation that is licensed by crunitie coculassis Cicese bue	æ	Ê









_			Lastopiale: loculiar aust
24 July 2023	Validurtil amendment crievocation	Waived the following comition which was imposed on the licence granted to the Applicant	N
		"The licensee shall not carry out the regulated	
		each calendary ear and shall at all times be	
		accompanied by a licensed person in performing the regulated activity (ies) in Hung Kong"	
24 July 2023	Validuntil amendment ornevocation	Waived the following condition which was imposed on the licence granted to the Applicant	N
		"The licensee shall not carry out the regulated	
		activity(ies) in Hong Kong formure than 30 days in	
		regulated activity(ies) in Hung Kong"	
24 July 2023	Validuril anenthert	Waived the following condition which was imposed on the licence granted to the Audicant	NI NI
		each calendaryear and shall at all times be	
24 July 2023			N
		each calendary ear and shall at all times be	
		accompanied by a licensed person in performing the regulated activity (ies) in Hong Kong"	
	24.July 2023	24 July 2023 Validuril anenthent crievocation 24 July 2023 Validuril anenthent crievocation	crite license shall not canyout the registed activity(iss) in Hrig Korg formue than 30 days in each calendary carant shall at all times be accomparied by a licensed person imperiuming the registed activity(iss) in Hrig Korg " 24.July 2023 Validuriii ameniment or revocation Validuriii ameniment or license eshall not canyout the registed activity(iss) in Hrig Korg formue than 30 days in each calendary carant shall at all times be accomparied by a licensed person imperiuming the registed activity(iss) in Hrig Korg " 24.July 2023 Validuriii ameniment or revocation Validuriii ameniment or license eshall not canyout the registed activity(iss) in Hrig Korg formue than 30 days in each calendary carant shall at all times be accomparied by a licensed person imperiuming the registed activity(iss) in Hrig Korg " 24.July 2023 Validuriii ameniment or revocation Validurii ameniment or re





	·	·		128t Charle: 18 Course 2021
ZHU Jiang ("the Applicant") (BNB630)	26.July 2023	Validuril ameniment ornevocation	Waived the following conditions which were imposed on the licence granted to the Applicant: 'For Type 1 regulated activity ('the activity'), the licensee must in his capacity as a responsible officer, when actively participating increwhen directly supervising the business of the activity, do so under the activice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition!' 'For Type 4 regulated activity ('the activity'), the licensee must in his capacity as a responsible officer, when actively participating in crewhen directly supervising the business of the activity, do so under the activice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition!'	
JIA Jing ('the Applicant') (RFD829)	1 August 2023	Validurtil anenthent crievocation	Waived the following condition which was imposed on the licence granted to the Applicant: 'For Type 9 regulated activity ('the activity'), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition!'	NI.



				Lastupiale: Isconderaua
IAMWing H ("the Applicant") (A.IK480)	2August 2023	Validurti anendnert orrevocation	Waived the following condition which was imposed on the licence granted to the Applicant: "For Type 9 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activitie of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition."	Nª.
Hash Blockchain Limited ("the Applicant") (BP1992)	3August 2023	Validurtil amendment ornevocation	Modified the following condition which was imposed on the licence granted to the Applicant: "The licensee must only provide services to professional investors. The term" professional investor is as defined in section 1 of Part 1 of Schedule 1 to the Securities and Futures Ordinance together with the Securities and Futures (Professional Investor) Rules." to- "The licensee shall at all times be incompliance with the requirements under paragraphs 7.1 to 7.14 (Token admission and review committee and due diligence on virtual assets), 93 to 97 (Access to trading services and knowyour client), 9.17 to 9.23 (Suitability obligations), 9.25 to 9.29 (Disclosure), 10.19 (Disclosure to clients) and Schedule 2 (Risk disclosure statements) of the Guidelines for Virtual Asset Trading Platform Operators is sued by the Commission"	NI



OSL Digital Securities Limited ("the Applicant")

(BPJ213)







			insternal and
		"This licence, in relation to Type 4 regulated activity,	
		shall lapse and cease to have effect:	
		(i) upon the licensee ceasing to act for or on behalf of	
		his/herprincipal(s) in respect of that regulated	
		activity, or	
		(ii) 6 months after the grant of the licence for carrying	
		onthat regulated activity (or any later date as the	
		Commission may agree in writing), which ever occurs	
		earlier; unless the licensee has by that time passed	
		the relevant recognised local regulatory framework	
		paper(s) pusuant to the Guidelines on Competence	
		issued by the Commission and informed the	
		Commission of the examination result "	
		"This licence, invelation to Type 9 regulated activity,	
		shall lapse and cease to have effect:	
		(i) upon the licensee ceasing to act for or on behalf of	
		his/herprincipal(s) in respect of that regulated	
		activity, or	
		(ii) 6 months after the grant of the licence for carrying	
		onthat regulated activity (or any later date as the	
		Commission may agree in writing), whichever occurs	
		earlier; unless the licensee has by that time passed	
		the relevant recognised local regulatory framework	
		paper(s) pursuant to the Guidelines on Competence	
		issued by the Commission and informed the	
		Camissian of the examination result."	
	I		





DILLARD Brian Wesley ("the Applicant")	16August 2023	Validuntil amendment	Waived the following condition which was imposed	Nii
		crievocation	on the licence granted to the Applicant	
(BOF093)				
			"The licensee shall not carry out the regulated	
			activity(ies) in Hung Kong formure than 30 days in	
			each calendary ear and shall at all times be	
			accomparied by a licensed person in performing the	
			regulated activity(ies) in Horg Kong"	
Shouhe Limited ("the Applicant")	17August 2023	Validuril anerdnert	Waived the following condition which was imposed	N
		crevocation	on the licence granted to the Applicant:	
(BRH310)				
			"The licensee shall not hold client assets. The terms	
			'hold' and 'dient assets'' are as defined under the	
			Securities and Futures Ordinance."	







·				128t Cptate: 18 ctatale 2021
CHINSauYee ("the Applicant") (AWY508)	18August 2023	Validuntil amendment crievocation	Waived the following condition which was imposed on the licence granted to the Applicant: "For Type 1 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activity of accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition."	N⊒
TAYLOR Street Arrhew("the Applicant") (AWASSS)	28August 2023	Validuril amendment crievocation	Waived the following conditions which were imposed on the licence granted to the Applicant: "For Type 1 regulated activity ("the activity"), the licensee must in his capacity as a responsible office; when actively participating in or when directly supervising the business of the activity, do so under the activity of accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition." "For Type 2 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activity of accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition."	N



Hang Tang Internationa Isssssm f

AlexKY Worg Asset Management Company Limited ('the Applicant')	29August 2023	Modified the following condition which was imposed on this livence granted to this granted to the second or the se	Nii
(BOQS21)		"The licensee shall only provide services to professional investors. The term "professional investor" is as defined in the Securities and Futures Ordinance and its subsidiary legislation" to- "For Type 9 negulated activity, the licensee shall only carry on a business in the management of	
		(a) discretionary accounts; and (b) collective investment schemes that are offered to professional investors only. The terms "collective investment scheme" and "professional investor" are defined under the Securities and Futures Ordinance and its subsidiary legislation (where applicable)."	



IAMHouston('the Applicant')	1 September 2023	Validuntil amendment ornevocation	Waived the following condition which was imposed on the licence granted to the Applicant:	N
(AWI986)			•	
			'For Type 1 regulated activity ('the activity'), the	
			licensee must in his capacity as a responsible officer;	
			when actively participating in or when directly	
			supervising the business of the activity, do so under	
			the advice of another responsible officer who is:	
			(a) accredited to the principal concerned of the	
			licensee invespect of the activity, and	
			(b) not subject to this condition"	
			-	

CHENBowen ("the Applicant" odv" sppEve pEve pEve pEve pEve







BARWICKRichard John ("the Applicant")	11 September 2023	Validuntil amembert	Modified the following condition which was im	posed	
•	•	crievocation	on the licence granted to the Applicant		
(ARI684)					
			"The licensee shall not carry out the regulated	I	
			activity(ies) in Hong Kong formue than 30 da	ys in	
			each calendaryear and shall not provide relat	ed	
			services to any person other than a profession	nel .	
			investor. The term 'professional investor" is a	15	
			defined in Part 1 of Schedule 1 to the Securiti	es and	
			Futures Ordinance but does not include any p	escn	
			of a class which is preson	hiany person othert Ordinance b	Mv — b



			1	· ·
I FOXIAL There (Man Annual County)	125 and and 1200	Validand communicati	Waived the following contributed which is the property of the following contributed with the second contributed wi	o anad
HDWaiLing('the Applicant')	13September 2023	Validuntil amendment		¢ osed
		ornevocation	anti e e e e e e e e e e e e e e e e e e e	
(TOTAL TANK)				
(BPN701)				



Li Fei ("the Applicant") 14September 2023 Validurtil amendment Waived the following conditions which were imposed NF crievocation on the licence granted to the Applicant (AYR984) 'For Type 4 regulated activity ('the activity'), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition" 'For Type 9 regulated activity ('the activity'), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and

(b) not subject to this condition"



CHENG Yu("the Applicant")	14September 2023	Validurtil anenthert orrevocation	Waived the following condition which was imposed on the licence granted to the Applicant:	
(BCR982)			"The licensee shall not carry out the regulated activity (ies) in Hong Kong formore than 30 days in	



				110 C 120 C
IIBo ('the Applicant')	19 September <i>2</i> 023	Validurilanembert	Waived the following conditions which were imposed	N
ino (uerquit)	юбержина	orievocation	on the licence granted to the Applicant:	1-
(BIC160)				
			"For Type 4 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer;	
			when actively participating in or when directly	
			supervising the business of the activity, do so under	
			the advice of another responsible officer who is:	
			 (a) accredited to the principal concerned of the licensee invespect of the activity; and 	
			(b) not subject to this condition	
			For Type 9 regulated activity ('the activity'), the	
			licensee must in his capacity as a responsible officer;	
			when actively participating in or when directly supervising the business of the activity, do so under	
			the advice of another responsible officer who is:	
			(a) accredited to the principal concerned of the	
			licensee innespect of the activity, and (b) not subject to this comition"	
			(i) insugerables contain	



CENG CunHb ("the Applicant") 20 September 2023 Validuriti amendment crosscation "Ror Type 1 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively packingsting in or when directly supervising the business of the activity, do so under the activity of the principal concerned of the licensee in respect of the activity, and (b) miss hijection this capacity as a responsible officer; when actively packingsting in or when directly supervising the business of the activity), the licensee must in his capacity as a responsible officer; when actively packingsting in or when directly supervising the business of the activity, do so under the activity is activity of the activity of			Librophine, loculud awa
(AFL137) "For Type 1 regulated activity ("the activity"), the licensee must inhis capacity as a responsible office; when actively participating in or when directly supervising the business of the activity, do so under the active of another responsible officer value is: (a) accretited to the principal concerned of the licensee innespect of the activity, and (b) not subject to this condition!" "For Type 4 regulated activity ("the activity"), the licensee must nit is capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activity of according to the principal concerned of the licensee innespect of the activity, and			
	 20September 2023	on the licence granted to the Applicant: 'For Type 1 regulated activity ('the activity'), the licensee must in his capacity as a responsible office; when actively participating in or when directly supervising the business of the activity, do so under the activite of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition!' 'For Type 4 regulated activity ('the activity'), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and	Nº



				Lastophate: Locotheraus
ZHANG Minghua ("the Applicant") (ASP315)	22 September 2023	Validurtil amendment crievocation	Waived the following conditions which were imposed on the licence granted to the Applicant: "For Type 1 regulated activity ("the activity"), the licensee must in his capacity as a responsible office; when actively participating in crowhen directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this capacity as a responsible office; when actively participating in crowhen directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this capacity as a responsible officer; when actively participating in crowhen directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity; and (b) not subject to this condition."	









TONG Xuying ("the Applicant") 29September 2023 Validurtil amendment Modified the following condition which was imposed N on the licence granted to the Applicant crievocation (BII450) "The licensee shall not carry out the regulated activity(ies) in Hong Kong for more than 30 days in each calendar year and shall at all times be accompanied by a licensed person in performing the regulated activity(ies) in Hong Kong" to -"This licence, in relation to Type 1 regulated activity, shall lapse and cease to have effect: (i) upon the licensee ceasing to act for or on behalf of his/her principal(s) in respect of that regulated

activity, or

examination result"

(ii) on 29 March 2024 (or any later date as the Commission may agree in writing), whichever occurs earlier; unless the licensee has by that time passed the Licensing Examination for Securities and Futures Intermediaties (IE) Paper 1 pursuant to the Guidelines on Competence issued by the Commission and informed the Commission of the





CHENG Zhuwu ("the Applicant")	60ctober 2023	Validuril anerdnert	Modified the following condition which was imposed	
(DCT EXCE)		crievocation	on the licence granted to the Applicant	
(BOH237)			ITTIES Becomes also I wat come on the west betted	
			"The licensee shall not carry out the regulated	
			activity(ies) in Hong Kong formure than 30 days in	
			eachcalendaryear and shall at all times be	



	"This licence, innelation to Type 4 negulated activity, shall lapse and cease to have effect (i) upon the licensee ceasing to act for or on behalf of his/her principal(s) innespect of that negulated activity, or (ii) 5 April 2024 (or any later date as the Commission may agree in writing), which ever occurs earlier; unless the licensee has by that time passed the Licensing Examination for Securities and Futures Intermediaries (IE) Paper 1 pursuant to the Cuidelines on Competence issued by the Commission and informed the Commission of the examination result."	





	"This licence, innekation to Type 4 negulated activity, shall lapse and cease to have effect (i) upon the licensee ceasing to act for or on behalf of his her principal(s) innespect of that negulated activity, or (ii) 5 April 2024 (or any later date as the Commission may agree in writing), which ever occurs earlier; unless the licensee has by that time passed the Licensing Examination for Securities and Futures Intermediates (IE) Paper 1 pursuant to the Guidelines on Competence issued by the Commission and informed the Commission of the examination result."	





IEE Theodore Frederick ("the Applicant")	11 October 2023	Validurtil anendnent ornevocation	Waised the following conditions which were imposed on the licence granted to the Applicant:		N	
(AF1555)			"This licence, invelation to Type 9 regulated activity, shall lapse and cease to have effect (i) upon the licensee ceasing to act for or on behalf of his/her principal(s) invespect of that regulated activity, or (ii) 6 months after the grant of the licence for carrying on that regulated activity (or any later date as the Commission may agree in writing), which ever occurs earlier; unless the licensee has by that time passed the relevant recognised local regulatory framework paper(s) pursuant to the Guidelines on Competence issued by the Commission and informed the Commission of the examination result."			
			"For Type 9 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the active of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition."			
SPDB International Investment Management Limited ("the Applicant")	130ctcher 2023	Validuntilamentment ornevocation	Waived the following comition which was imposed on the licence granted to the Applicant:			
(BFY109)			"The licensee shall only provide services to professional investors. The term "professional investor" is as defined in the Securities and Futures Ordinance and its subsidiary legisleya tio0	Ê en	see	



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JIANG Rongleng ("the Applicant")	130ctder 2023	Validurtil amendment		Ni
		crievocation	on the licence granted to the Applicant:	
(BAZ653)			187-77 1 1 1 2 (181 2 11	
			'For Type 1 regulated activity ('the activity'), the licensee must in his capacity as a responsible officer;	
			when actively participating in crwhen directly	
			supervising the business of the activity, do so under	
			the advice of another responsible officer who is:	
			(a) accredited to the principal concerned of the	
			licensee invespect of the activity, and (b) not subject to this condition!	
			(i) Intsugeravais curianti	
DADE/Source Firms (Man Ann Portath	170dder2023	Validurtil amendment	No. Produce Cilia in combinate library improd	
PARKSang Eun ('the Applicant')	17CCCLETALES	orievozation	Mulfied the following condition which was imposed on the licence granted to the Applicant:	
(AQI679)			4142 4412 garano 42. 41442	
			"The licensee shall not cany out the regulated	
			activity(ies) in Hurg Korg formue than 30 days in	
			each calendary ear and shall at all times be accompanied by a licensed person in performing the	
			regulated activity (ies) in Hong Kong"	
			to -	
			"The licensee shall not carry out the regulated	
			activity(ies) in Horg Korg formue than 30 days in	
			each calendary ear and shall not provide related	
			services to any person other than a professional investor. The term 'professional investor' is as	
			The serie in the series of the series as	



				Last Update: 18 October 2024
Diligent Capital Limited ('Vine Applicant')	190ctder 2023	Validurtil amendment	Modified the following condition which was imposed	Nii
(BROS61)		crrevocatio n	on the licence granted to the Applicant:	
(LEWISTER)			'For Type 6 regulated activity, the licensee shall not	
			advise on matters/transactions falling within the ambit	
			of the Codes on Taleovers and Margers and Share	
			Buy backs issued by the Commission"	
			to -	
			'FarType Gregulated activity, the licensee must, in	
			the capacity as an adviser to a client on	
			naticis/transactions falling within the ambit of the	
			Codes on Taleovers and Mergers and Share Buy	
			backs issued by the Commission, act together with another adviser (to the client) not subject to this	
			condition"	
HLENFelixTingCleurg('theApplicant')	190ctder 2023	Validuntil amendment orresocation	Modified the following condition which was imposed	Ni
(BFR573)		Grievocanon	on the licence granted to the Applicant:	
			'For Type Gregulated activity, the licensee shall not	
			advise on matters/transactions falling within the ambit	
			of the Codes on Taleovers and Mergers and Share	
			Buy backs issued by the Commission"	
			to -	
			'For Type Gregulated activity, the licensee must, in	
			the capacity as an adviser to a client on	
			matters/transactions falling within the ambit of the	
			Codes on Taleovers and Mergers and Share Buy	
			backs issued by the Commission, act together with	
			anotheradviser (to the client) not subject to this	











Joincap Capital Limited ("the Applicant") (ABF 735)	300ctdber 2023	Validurtil amendment or revocation	Waived the following condition which was imposed on the licence granted to the Applicant	N
			"For Type 9 regulated activity, the licensee shall not provide a service of managing a portfolio of futures contracts for another person"	
HEJE Gregard Krutsoen ("the Applicant")	31 October 2023	Validuril amenthent or exocation	Waived the following comition which was imposed on the licence granted to the Applicant:	N a
(ALIHZOI)			"For Type 9 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activite of another responsible officer who is: (a) accredited to the principal concerned of the licensee intespect of the activity, and (b) not subject to this condition."	
IANDCRAFF Erik Wilson ("the Applicant") (BU279)	31 October 2023	Validurtilamentment or revocation	Waived the following comition which was imposed on the licence granted to the Applicant:	NII.
(ELEMENTO)			"The licensee shall not carry out the regulated activity (ies) in Hong Kong for more than 30 days in each calendary ear and shall at all times be accompanied by a licensed person in performing the regulated activity (ies) in Hong Kong"	





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QI Xiaoli ("the Applicant") (BSA305)	1 November 2023	Validurtil amendment ornevocation	Waived the following condition which was imposed on the licence granted to the Applicant: "The licensee shall not carry out the regulated activity (ies) in Hong Kong for more than 30 days in each calendary ear and shall at all times be accomparied by a licensed person in performing the regulated activity (ies) in Hong Kong "	N
PANHing ("the Applicant") (PMAESO)	3November 2023	Validurtil amendment crrevocation	Waived the following condition which was imposed on the licence granted to the Applicant: "For Type 9 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition."	
AU Lai Chong ("the Applicant") (AKW247)	3November 2023	Validurtil amendment ornevocation	Waived the following condition which was imposed on the licence granted to the Applicant: "For Type 1 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition."	NI .



				Last Update: 18 October 2024
CHANNgai Lun, Alan ("the Applicant")	9November 2023	Validuntil anenthent ornevocation	Waived the following condition which was imposed on the licence granted to the Applicant:	N
(ARR782)				
			"For Type 9 regulated activity ("the activity"), the	
			licensee must in his capacity as a responsible officer;	
			when actively participating in or when directly	
			supervising the business of the activity, do so under	
			the advice of another responsible officer who is:	
			(a) accredited to the principal concerned of the licensee invespect of the activity, and	
			(b) not subject to this condition"	
			•	
WiseWings Capital Management Limited	6November 2023	Validuntil amendnent	Modified the following condition which was imposed	
('the Applicant')		arrevocation	on the licence granted to the Applicant	
(BND656)			"The licensee shall only provide services to	
			professional investors. The term "professional	
			investor" is as defined in the Securities and Futures	
			Ordinance and its subsidiary legislation"	
			to-	
			'For Type 9 regulated activity, the licensee shall only	
			cany on a business in the management of	
			(a) discretionary accounts; and	
			(b) collective investment schemes that are offered to	
			professional investors only. The terms "collective investment scheme" and	
			"professional investor" are defined under the	
			Securities and Futures Ordinance and its subsidived b	



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Lighthouse Capital (HK) Financial Limited ("the Applicant")	10November 2023	Validuntil amendment ornevocation	Modified the following condition which was imposed on the licence granted to the Applicant:	N
(H-N373)			"For Type 9 regulated activity, the licensee shall not conduct business involving the discretionary management of any collective investment scheme." The term 'collective investment scheme' is as defined under the Securities and Futures Ordinance." to- "For Type 9 regulated activity, the licensee shall only carry on a business in the management of: (a) discretionary accounts; and (b) collective investment schemes that are offered to professional investors only. The terms "collective investment scheme" and "professional investor" are defined under the Securities and Futures Ordinance and its subsidiary legislation (where applicable)."	



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QLXiache ('the Applicant') (EVPO42)	13 November 2023	Validurii ameninent orievocation	Waived the following conditions which were imposed on the licence granted to the Applicant: "For Type 1 regulated activity ("the activity"), the licensee must inhis capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activity of accredited to the principal concerned of the licensee innespect of the activity, and (b) not subject to this condition." "For Type 2 regulated activity ("the activity"), the licensee must inhis capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activity of accredited to the principal concerned of the licensee innespect of the activity, and (b) not subject to this condition."	



Little Girl Securities (International) Limited ("the Applicant")	14 November 2023	Validuntil amendment orresocation	Modified the following combine which was imposed on the licence granted to the Applicant:	Ni
(BSG652)		G lextzakii	"For Type 9 regulated activity, the licensee shall not conduct business involving the discretionary management of any collective investment scheme. The term "collective investment scheme" is as defined under the Securities and Futures Ordinance."	
			to-	
			"For Type 9 regulated activity, the licensee shall only carry on a business in the management of: (a) discretionary accounts; and (b) collective investment schemes that are offered to professional investors only. The terms "collective investment scheme" and "professional investor" are defined under the Securities and Futures Ordinance and its subsidiary legislation (where applicable)."	
LIU Tsan Pui ("the Applicant")	14 November 2023	Validuntil amendment ornevocation	Waived the following condition which was imposed on the licence granted to the Applicant:	N
(ATX291)		u levuatui		
			"For Type 9 negulated activity, the licensee shall not conduct business involving the discretionary management of any collective investment scheme. The term collective investment scheme is as defined under the Securities and Futures Ordinance."	

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	DONG Wensin ("the Applicant")	14 November 2023	Validuntil anendnent	Waived the following condition which was imposed	
			crievocation	on the licence granted to the Applicant	

arrevocation(BRW883)

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Victory Securities Company Limited ("the Applicant")	24 November 2023	Validuntil amendment ornevocation	Modified the following conditions which were imposed on the licence granted to the Applicant:
(ARNO)			"With respect to providing virtual asset dealing services, the licensee shall only provide such services to professional investors which are, and remain at all times, its clients in respect of its business in Type 1 regulated activity (dealing in securities). The term "professional investor" is defined in section 1 of Part 1 of Schedule 1 to the Securities and Futures Ordinance ("SFO") together with the Securities and Futures (Professional Investor) Rules. The term "dealing in securities" is specified in Part 2 of Schedule 5 to the SFO. The term "virtual asset" is defined in Part I of the Terms and conditions for licensed corporations or registered institutions providing virtual asset dealing services under an armitus account an angement (a 1



	"With respect to providing virtual asset advisory services, the licensee shall only provide such services to professional investors which are, and remain at all times, clients of the licensed corporation in respect of its business in Type 4 regulated activity (advising on securities). The term "professional investor" is defined in section 1 of Part 1 of Schedule 1 to the Securities and Futures Ordinance ("SFO") together with the Securities and Futures (Professional Investor) Rules. The term "advising on securities" is specified in Part 2 of Schedule 5 to the SFO. The term "virtual asset" is defined in Part 1 of the Terms and conditions for licensed corporations or registered institutions providing virtual asset advisory services (as amended from time to time)."	





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CHENKe ("the Applicant") (BLE95)	27November 2023	Validuntil amendment crievocation	Waived the following condition which was imposed on the licence granted to the Applicant: 'For Type 10 regulated activity ('the activity'), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition!"	
WANG Hai ("the Applicant") (BIP587)	28 November 2023	Valid until amendment crievocation	Waived the following condition which was imposed on the licence granted to the Applicant: "For Type 1 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activice of another responsible officer who is: (a) accredited to the principal concerned of the licensee invespect of the activity, and (b) not subject to this condition."	









LIMHsuAn ("the Applicant")	6December 2023	Validuntil amendnent	Modified the following condition which was imposed	
		crievocation	on the licence granted to the Applicant	
(AYA337)				
			"The licensee shall not carry out the regulated gulated	
			activity(ies) in Hong Kong formore than 30 dbykrja in	
			Jaulheallanthr year and shall at all times be	
			accompanied by a licensed persm—s or	



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TAMASE Patricia Isabel Salas ("the Applicant")	6December 2023	Validuril anenthert onevocation	Modified the following condition which was imposed on the licence granted to the Applicant:	N
(BIM255)			"The licensee shall not carry out the regulated activity(ies) in Hong Kong for more than 30 days in each calendar year and shall at all times be accompanied by a licensed person in performing the regulated activity(ies) in Hong Kong " to - "The licensee shall not carry out the regulated activity(ies) in Hong Kong for more than 30 days in each calendar year and shall not provide related services to any person other than a professional investor. The term "professional investor" is as defined in Part 1 of Schedule 1 to the Securities and Futures Ordinance but does not include any person of a class which is prescribed by the Securities and Futures (Professional Investor) Rules."	



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OENDariel Thian Liong ('the Applicant') (AIS911)	6December 2023	Validuril anenhert crievocation	Modified the following condition which was imposed on the licence garded to the Applicant: "The licensee shall not carry out the regulated activity(ies) in Hong Kong for more than 30 days in each calendary year and shall at all times be accompanied by a licensed person in performing the regulated activity(ies) in Hong Kong!" to -	Nª
			"The licensee shall not cany out the regulated activity(ies) in Hung Kong for more than 30 days in each calendar year and shall not provide related	
			services to any person other than a professional investor. The term' professional investor" is as defined in Part 1 of Schedule 1 to the Securities and Futures Ordinance but does not include any person	
			of a class which is prescribed by the Securities and Futures (Professional Investor) Rules."	





ASVATHTANONTA Kanolwan ("the	6December 2023	Validurtil anendnert	Modified the following condition which was imposed	
Applicant')		crevocation	on the licence granted to the Applicant	
(BOG394)			'The licensee shall not carry out the regulated	
			activity(ies) in Horg Korg formore than 30 days in	
			each calendary ear and shall at all times be accompanied by a licensed person in performing the	
			regulated activity(ies) in Hong Kong"	
			to -	
			"The licensee shall not carry out the regulated	
			activity(ies) in Hong Kong formure than 30 days in	
			eachcalendaryeane than 30hr] y(in3	



KHONGRUNGPHAKORN Supatha ("the Applicant")	6December 2023	Validuntil amendment orrevocation	Modified the following condition which was imposed on the licence granted to the Applicant	N
(BOJ694)			"The licensee shall not carry out the regulated activity(ies) in Hung Kong for more than 30 days in each calendar year and shall at all times be accompanied by a licensed person in performing the regulated activity(ies) in Hung Kong " to - "The licensee shall not carry out the regulated activity(ies) in Hung Kong for more than 30 days in each calendar year and shall not provide related services to any person other than a professional investor. The term "professional investor" is as defined in Part 1 of Schedule 1 to the Securities and Futures Ordinance but does not include any person of a class which is prescribed by the Securities and Futures (Professional Investor) Rules."	







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SIBAL Maria Elisa Andrea Pamandaran ("the Applicant")	6December 2023	Validuntil amendment orrevocation	Modified the following condition which was imposed on the licence granted to the Applicant:	Nii
(PKT185)			"The licensee shall not cany out the regulated activity (ies) in Hung Kong formure than 30 days in each calendary ear and shall at all times be accompanied by a licensed person in performing the regulated activity (ies) in Hung Kong"	
			to -	
			"The licensee shall not carry out the regulated activity (ies) in Hung Kong formure than 30 days in each calendary ear and shall not provide related services to any person other than a professional investor. The term "professional investor" is as defined in Part 1 of Schedule 1 to the Securities and	
			Futures Ordinance but does not include any person of a class which is prescribed by the Securities and Futures (Professional Investor) Rules."	
WONG Dois Yuk Ying ("the Applicant") (ADA301)	11 December 2023	Validuntil amendment ornevocation	Waived the following condition which was imposed on the licence granted to the Applicant: 'For Type 4 regulated activity ('the activity'), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activice of another responsible officer who is: (a) accredited to the principal concerned of the licensee innespect of the activity, and	Nº
			(b) notsubject to this condition"	





KONG Linghing ("the Applicant") (BDHR37)	18December 2023	Validuril anenthert orresocation	Waived the following conditions which were imposed on the licence granted to the Applicant: 'For Type 4 regulated activity ('the activity'), the licensee must inhis capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the	
			licensee intespect of the activity; and (b) not subject to this condition!" 'For Type 9 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition!"	











HSEHYaHi ("the Applicant")	10.January 2024	Validurtil amendment	Waived the following condition which was imposed	
(ANNEOA)		crievocation	on the licence granted to the Applicant	
(Attor)			'For Type 9 regulated activity ('the activity'), the	
			licensee must in his capacity as a responsible officer;	







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ZHA OWei ("the Applicant")	15 January 2024	Validurtil amendment	Waived th (ALD676)	
		arrevocation		
(ALD676)				



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YEUNG Chi Hang ("the Applicant")	16.January 2024	Validurtil anerdnert	Waived the following condition which was imposed	N
(AOKO41)		orievocation	on the licence granted to the Applicant: "For Type 4 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activite of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition."	
Grand China Securities Limited ("the Applicant") (AYX769)	18.January 2024	Validuntil amendment crievocation	Waived the following condition which was imposed on the licence granted to the Applicant: "For Type 9 regulated activity, the licensee shall not conduct business involving the discretionary management of any collective investment scheme!" is as defined under the Securities and Futures Ordinance."	



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ZHANG Jimei ("the Applicant")	18January 2024	Validuntil amendment ornevocation	Modified the following condition which was imposed on the licence granted to the Applicant:	NII.
(B+1027)			'For Type 1 regulated activity, the licensee shall not engage in dealing activities other than those relating to corporate finance."	
			to-	
			"For Type 1 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition!"	
Centaline Wealth Management Limited ("the Applicant")	24 January 2024	Validurtil amendment or revocation	Waived the following conditions which were imposed on the licence granted to the Applicant:	
(AJF358)			"For Type 9 regulated activity, the licensee shall not provide a service of managing a portfolio of futures contracts for another person unless it is for hedging purpose only."	









JIN Pu("the Applicant")	31 January 2024	Validuntil amendnent	Waived the following condition which was imposed	
		<u>arrevocation</u>	on the licence granted to the Applicant:	
(BSI219)				
			"The licensee shall not carry out the regulated	
			activity(ies) in Hung Kong formue than 30 days in	
			each calendar vear and shall at all times be	



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WONG Chunlok ("the Applicant")	31 January 2024	Validurtil amendment orrevocation	Waived the following condition which was imposed on the licence granted to the Applicant:	N
(BQE761)			"For Type 9 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition!"	
Antai Securities Limited ("the Applicant") (BEX420)	31 January 2024	Validurtil amendment ornevocation	Waived the following condition which was imposed on the licence granted to the Applicant:	NI
			"The licensee shall not hold client assets. The terms "hold" and "client assets" are as defined under the Securities and Futures Ordinance."	
TIAN Sham ("the Applicant")	1 February 2024	Validuntil amendment ornevocation	Waived the following condition which was imposed on the licence granted to the Applicant:	
(AQV659)			'For Type 6 regulated activity, the licensee must, in the capacity as an adviser to a client on matters/transactions falling within the ambit of the Codes on Takeovers and Mergers and Share Buy backs issued by the Commission, act together with	





QJAN Bin ("the Applicant") (AZF076)	2February 2024	Validurtil anenthert orrevocation	Waived the following comitions which were imposed on the licence granted to the Applicant:
			'For Type 4 regulated activity ('the activity'), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity;



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WONG Chi Hung ('the Applicant')

(ACQ049)



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IEE Hye Sco ("the Applicant") (AXX/597)	5February 2024	Validuril amendment crievocation	Waived the following conditions which were imposed on the licence granted to the Applicant: 'For Type 4 regulated activity ('the activity'), the licensee must in his capacity as a responsible officer; when actively participating in crwhen directly supervising the business of the activity, do so under the activity of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition!' 'For Type 9 regulated activity ('the activity'), the licensee must in his capacity as a responsible officer; when actively participating in crwhen directly supervising the business of the activity, do so under the activice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition!'	





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LAMChun, Wilson ("the Applicant")	1 February 2024	Validurtil amendment	Waived the following conditions which were imposed	
		crievocation	on the licence granted to the Applicant:	
(AFP802)				
			'For Type 4 regulated activity ('the activity'), the	
			licensee must in his capacity as a responsible officer:	

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Last Update: 18 October 2024

				Last Update: 18 October 2024
ZHAOCoCoKe ("the Applicant") (BCH22)	8Felmay 2024	Validuntil ameminent orievocation	Waived the following condition which was imposed on the licence granted to the Applicant: "The licensee shall not carry out the regulated activity (ies) in Hong Kong formme than 30 days in each calendary ear and shall at all times be accompanied by a licensed person in performing the regulated activity (ies) in Hong Kong."	This licence, innelation to Type 1 regulated activity, shall lapse and cease to have effect: (i) upon the licensee ceasing to act for cronbehalf of his her principal(s) innespect of that regulated activity, or (ii) 7 August 2024 (or any later date as the Commission may agree in writing), which ever occurs earlier, unless the licensee has by that time passed the Licensing Examination for Securities and Futures Intermediaties (IE) Paper
				Securites and Futures Intermediates (IE) Paper 1 pusuant to the Guidelines on Competence issued by the Commission and informed the Commission of the examination result. This licence, innekation to Type 6 regulated activity, shall lapse and cease to have effect: (i) upon the licensee ceasing to act for or on behalf of his/her principal(s) in respect of that regulated activity, or (ii) 7 August 2024 (or any later date as the Commission may agree in writing), which ever occurs earlier, unless the licensee has by that time passed the Licensing Examination for
				Securities and Futures Intermediaries (IE) Paper 1 pusuant to the Guidelines on Competence issued by the Commission and informed the Commission of the examination result.

DooFinancial HK Limited ("the Applicant") 14 February 2024 2 2024 IXI I

(BSM562)



HUXiargquan ("the Applicant")	14 February 2024	Validurtil anerdnert	Waived the following condition which was imposed	Ni.
		crievocation	on the licence granted to the Applicant	
(EVG:564)				
			"The licensee shall not carry out the regulated	
			activity(ies) in Hong Kong formure than 30 days in	
			eachcalendaryear and shall at all times be	
			accomparied by a licensed person in performing the	
			regulated activity(ies) in Hong Kong"	



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XUE Lan ("the Applicant")	8February 2024	orievocation	Waived the following conditions which were imposed on the licence granted to the Applicant:	N.
(ANY095)			'For Type 2 regulated activity ('the activity'), the	
			licensee must in his capacity as a responsible officer, when actively participating in or when directly	
			supervising the business of the activity, do so under	
			the advice of another responsible officer who is: (a) accredited to the principal concerned of the	
			licensee invespect of the activity, and	
			(b) notsubject to this condition"	
			'For Type 5 regulated activity ('the activity'), the	
			licensee must in his capacity as a responsible officer; when actively participating in or when directly	
			supervising the business of the activity, do so under	
			the advice of another responsible officer who is: (a) accredited to the principal concerned of the	
			licensee invespect of the activity, and	
			(b) notsubject to this condition"	
			'For Type 9 regulated activity ('the activity'), the	
			licensee must in his capacity as a responsible officer; when actively participating in or when directly	
			supervising the business of the activity, do so under	
			the advice of another responsible officer who is: (a) accredited to the principal concerned of the	
			licensee invespect of the activity, and	
			(b) notsubject to this condition"	
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IEE ChokWai ("the Applicant")	19February 2024	Validuntil amendment crievocation	Waived the following condition which was imposed on the licence granted to the Applicant:	NE
(BCR491)				
			"The licensee shall not carry out the regulated	
			activity(ies) in Hong Kong for more than 30 days in	
			each calendar year and shall not provide related	
			services to any person other than a professional	
			investor: The term "professional investor" is as defined in Part 1 of Schedule 1 to the Securities and	
			Futures Ordinance but does not include any person	
			of a class which is prescribed by the Securities and	
			Futures (Professional Investor) Rules."	
China Harbour International Asset	19February 2024	Validurtil anendnert	Waived the following condition which was imposed	NI NI
Management Limited ("the Applicant")		crevocation	on the licence garted to the Applicant	
(BPJ126)			"The licensee shall not hold client assets. The terms	
			'hold' and 'dient assets" are as defined under the	
			Securities and Futures Ordinance."	
CHEN Jiahui ("the Applicant")	20 February 2024	Validurtil anerdnert	Waived the following condition which was imposed	Nº
ADDITION A		crievocation	on the licence granted to the Applicant:	
(BSE903)			Miles Recovered about contract the rest in the	
			"The licensee shall not cany out the regulated activity (ies) in Hong Kong formore than 30 days in	
			each calendar year and shall at all times be	
			accompanied by a licensed person in performing the	
			regulated activity(ies) in Hong Kong"	







TANAKA Yosuke ("the Applicant")	22 February 2024	Validurtil amendment	Waived the following conditions which were imposed	
nitalianic (un main)	Activity Apri	orievocation	on the licence granted to the Applicant	
(BPM008)			3	
			'For Type 1 regulated activity ('the activity'), the	
			licensee must in his capacity as a responsible officer	









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HenyHiYan("tle Applicant")	28February 2024		Waived the following condition which was imposed	
		arrevocation titi	inistiletje fog c Coltyling Gystobatio	
(BGQ471)				



IN Juscey ("the Applicant")	29 February 2024	Validurtilamendment orrevocation	Waived the following condition which was imposed Nil on the licence granted to the Applicant:	_
(BCK4101)			"For Type 1 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the husiness of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee invespect of the activity, and (b) not subject to this condition!"	
FORSTER Philipp ("the Applicant") (BUF398)	29February 2024	Validurtil amendment crievocation	Waived the following condition which was imposed on the licence granted to the Applicant:	
			"For Type 1 regulated activity, the licensee shall not engage in dealing activities other than those relating to corporate finance."	
MDTainMg ("the Applicant")	1 March 2024	Validurtil amendment crievocation	Wedth fillinggantiin hillassi ippadd on the licendegi n hed galateljijka i ppicartiv "e licensee shall not canyout the sha	
(BJC918) F3a1)ii arch2024	Validurtil amendment		"The licensee shall not canyout the regulated activity of the line. In activity of the line line. " in in the line in activity of the line line." " in the line. " " in the line." " in the line. " " in the line." " in the line. " in the line. " " in the line.	ullao





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HE Zijuan ("the Applicant")	1 Mach 2024	Validurii amembent or revocation	Waived the following condition which was imposed on the licence granted to the Applicant:	N a
(BSL760)			"The licensee shall not carry out the regulated	
			activity(ies) in Hong Kong formue than 30 days in	
			each calendar year and shall at all times be	
			accomparied by a licersed person in performing the	
			regulated activity(ies) in Hong Kong"	
LIU Feizhi ("the Applicant")	1 March 2024	Validuril anerdnert or	1 2	NI.
ANADOMO		ievocation	on the licence granted to the Applicant	
(AWD212)			"The licensee shall not carry out the regulated	
			activity(ies) in Horg Kong formule than 30 days in	
			each calendar year and shall at all times be	
			accomparied by a licersed person in performing the	
			regulated activity(ies) in Hong Kong"	
HE Yue ('the Applicant')	1 March 2024	Validuntil amendment or	1 8	N
(THO)		revocation	on the licence granted to the Applicant-	
(BIO169)			"The licensee shall not carry out the regulated	
			activity(ies) in Horg Kong formule than 30 days in	
			each calendar year and shall at all times be	
			accompanied by a licensed person in performing the	
			regulated activity(ies) in Hong Kong"	
WANG Tianji ("the Applicant")	1 March 2024	Validuril anerdnert cr		NI.
		ievocation	on the licence granted to the Applicant	
(BSA426)			"The licensee shall not carry out the regulated	
			activity(ies) in Horg Kong formule than 30 days in	
			each calendar year and shall at all times be	
			accomparied by a licersed person in performing the	
			regulated activity(ies) in Hong Kong"	
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				Last Update: 18 October 2024
ZHANG Zino ("the Applicant")	1 March 2024	Validuril anenthert or revocation	Waived the following condition which was imposed on the licence granted to the Applicant	Ni .
(BSZ224)			"The licensee shall not carry out the regulated	
			activity(ies) in Horg Korg formue than 30 days in	
			each calendary ear and shall at all times be	
			accomparied by a licensed person in performing the	
			regulated activity(ies) in Horg Korg"	
ZENG Can ("the Applicant")	1 March 2024	Validuntil amendment or	Waived the following condition which was imposed	N
		revocation	on the licence granted to the Applicant:	
(BIO751)			Willian Rooms and and west covery or dellar want had all	
			"The licensee shall not carry out the regulated activity(ies) in Hong Kong for more than 30 days in	
			each calendaryear and shall at all times be	
			accompanied by a licensed person in performing the	
			regulated activity(ies) in Hong Kong"	
RANMergai ("the Applicant")	1 March 2024	Validuntil amendment or	Waived the following condition which was imposed	N
		revocation	on the licence granted to the Applicant	
(BSR902)			"The licensee shall not carry out the regulated	
			activity(ies) in Hong Kong formule than 30 days in	
			each calendary ear and shall at all times be	
			accompanied by a licensed person in performing the	
			regulated activity(ies) in Horg Korg"	
ZHOÇiring ('the Applicant')	1 March 2024	Validuril anenthertor		N
		revocation	on the licence granted to the Applicant:	
(BSZ508)			"The licensee shall not carry out the regulated	
			activity(ies) in Hong Kong formule than 30 days in	
			each calendar year and shall at all times be	
			accomparied by a licensed person in performing the	
			regulated activity(ies) in Horg Kong"	
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				Last Update: 18October 20
HE Xiaoxiao ("the Applicant")	1 March 2024	Validurtil amendment or nevocation	Waived the following condition which was imposed on the licence granted to the Applicant:	N
(EPC510)				
			"The licensee shall not canyout the regulated	
			activity(ies) in Hung Kong formue than 30 days in each calendar year and shall at all times be	
			accomparied by a licensed person in performing the	
			regulated activity(ies) in Hong Kong"	
WANG Zilong ("the Applicant")	1 March 2024	Validuril anenthertor		N
		revocation	on the licence granted to the Applicant	
(ALROIS)			UTILL Parameter de l'actionne de des autorités de	
			"The licensee shall not carry out the regulated activity(ies) in Hong Kong formore than 30 days in	
			each calendar year and shall at all times be	
			accompanied by a licensed person in performing the	
			regulated activity(ies) in Horg Korg"	
ZHU Quan ("the Applicant")	4Mach 2024	Validuril anerthert	Modified the following condition which was imposed	Nº
(BRR593)		crievocation	on the licence granted to the Applicant:	
(IIIIII)			"The licensee:	
			(1) shall not carry out the regulated activity (ies) in	
			Hong Kong formue than 30 days in each calendar	
			year; and	
			(2) shall not provide related services to any person other than a professional investor unless the licensee	
			is accompanied at all times by a licensed person in	
			performing the regulated activity (ies) in Hung Kong	
			The term "professional investor" is as defined in Part	
			1 of Schedule 1 to the Securities and Futures	
			Odrane but does not include any person of a class	
			which is prescribed by the Securities and Futures (Professional Investor) Rules."	
			(FICESSEARE MESCA) ICES.	
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				Last Update: 18 October 2024
WANG Suyi ("the Applicant")	13Mach2024	Validuntil amendment ornevocation	Waived the following condition which was imposed on the licence granted to the Applicant:	N
(BISO89)			"The licensee shall not carry out the regulated	
			activity (ies) in Horg Korg formue than 30 days in	
			each calendary ear and shall at all times be	
			accompanied by a licensed person in performing the	
			regulated activity(ies) in Horg Kong"	
II Kaizhou ('the Applicant')	18March 2024	Validuntil amendment	Waived the following condition which was imposed	N
		crievocation	on the licence granted to the Applicant	
(BSP594)			"The licensee shall not carry out the regulated	
			activity(ies) in Hong Kong formuse than 30 days in	
			each calendary ear and shall at all times be	
			accompanied by a licensed person in performing the	
			regulated activity(ies) in Hong Kong"	
ZH Jayin ("the Applicant")	18Mach2024	Validurtil amendment	Waived the following condition which was imposed	N
		arrevocation	on the licence granted to the Applicant	
(BRY579)			"The licensee shall not carry out the regulated	
			activity (ies) in Horg Korg formue than 30 days in	
			each calendary ear and shall at all times be	
			accompanied by a licensed person in performing the	
			regulated activity(ies) in Hong Kong"	
SU Ying ("the Applicant")	18Mach2024	Validurtil amendment	Waived the following condition which was imposed	N
		crevocation	on the licence granted to the Applicant	
(BIMD13)			"The licensee shall not carry out the regulated	
			activity (ies) in Horg Korg formue than 30 days in	
			each calendary ear and shall at all times be	
			accompanied by a licensed person in performing the	
			regulated activity(ies) in Hong Kong"	
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		,		Last Update: 18 October 2024
YAOSiyu ("the Applicant")	18Mach 2024	Validunil amendment ornevocation	Waived the following condition which was imposed on the licence granted to the Applicant:	N
(BIX664)			"The licensee shall not carry out the regulated	
			activity (ies) in Horg Korg formue than 30 days in	
			each calendary ear and shall at all times be	
			accompanied by a licensed person in performing the	
			regulated activity(ies) in Horg Kong"	
CHENWerbo ("the Applicant")	18Mach 2024	Validuntil amendment	Waived the following condition which was imposed	N
		crevocation	on the licence granted to the Applicant	
(ENK779)			"The licensee shall not carry out the regulated	
			activity(ies) in Hong Kong formuse than 30 days in	
			each calendary ear and shall at all times be	
			accompanied by a licensed person in performing the	
			regulated activity(ies) in Hong Kong"	
GAOJing ('the Applicant')	19Mach2024	Validuril anerthert	Waived the following condition which was imposed	N
(T)		crevocation	on the licence granted to the Applicant	
(BQF078)			"The licensee shall not carry out the regulated	
			activity(ies) in Hong Kong formule than 30 days in	
			each calendary ear and shall at all times be	
			accompanied by a licensed person in performing the	
			regulated activity(ies) in Horg Kong"	
WANG Zierning ("the Applicant")	19March 2024	Validuntil amendment	Waived the following condition which was imposed	N
		crievocation	on the licence granted to the Applicant	
(BIY311)			III be Received already and conserved the second date.	
			"The licensee shall not carry out the regulated activity (ies) in Horg Kong formue than 30 days in	
			each calendary ear and shall at all times be	
			accompanied by a licensed person in performing the	
			regulated activity(ies) in Hong Kong"	





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MA Zhregshu ("the Applicant") (BPN394)	11 March 2024	Validurfilanenment ornevocation	Waived the following condition which was imposed on the licence granted to the Applicant: "For Type 9 regulated activity ("the activity"), the licensee must in his capacity as a responsible office; when actively participating in or when directly supervising the business of the activity, do so under the activice of another responsible officerwho is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition."	
JN Lijarg ('the Applicant') (BUF418)	19Mach 2024	Validurti anerdnent orrevocation	Waived the following condition which was imposed on the licence granted to the Applicant: "The licensee shall not carry out the regulated activity (ies) in Hung Kong formure than 30 days in each calendary ear and shall at all times be accompanied by a licensed person in performing the regulated activity (ies) in Hung Kong!"	N2
WU Jiaming ("the Applicant") (BSE653)	19Mach2024	Validurtil amendment ornevocation	Waived the following condition which was imposed on the licence granted to the Applicant: "The licensee shall not carry out the regulated activity (ies) in Hong Kong formure than 30 days in each calendary earrand shall at all times be accompanied by a licensed person imperforming the regulated activity (ies) in Hong Kong!"	Ni





				Lastupiaie: 18 October 2024
WANG Landrou ("the Applicant") (BNP156)	19Mach 2024	Validuntil amendment ornevocation	Waived the following condition which was imposed on the licence granted to the Applicant:	N
(II 4 IVA			"The licensee shall not cany out the regulated activity (ies) in Hong Kong for more than 30 days in each calendary ear and shall at all times be accompanied by a licensed person in performing the regulated activity (ies) in Hong Kong"	
ZHAN Chao ("the Applicant")	19Mach 2024	Validuntil amendment ornevocation	Waived the following condition which was imposed on the licence granted to the Applicant	NI.
(BUAGO2)			"The licensee shall not canyout the regulated activity(ies) in Hurg Korg formure than 30 days in each calendary ear and shall at all times be accompanied by a licensed person in performing the regulated activity(ies) in Hurg Korg"	
WANG Jing ("the Applicant")	19Mach 2024	Validuntil amendment ornevocation	Waived the following condition which was imposed on the licence granted to the Applicant:	NI.
(BII.333)			"The licensee shall not cany out the regulated activity(ies) in Hong Kong formure than 30 days in each calendary ear and shall at all times be accompanied by a licensed person in performing the regulated activity(ies) in Hong Kong"	
FENG Quan ("the Applicant")	19Mach 2024	Validurtilamentment ornevocation	Waived the following condition which was imposed on the licence granted to the Applicant	NI.
(BQ1565)			"The licensee shall not canyout the regulated activity(ies) in Horg Korg for more than 30 days in each calendary ear and shall at all times be accompanied by a licensed person in performing the regulated activity(ies) in Horg Korg"	



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CHENShuoSteve ("the Applicant")	19Mach 2024	Valdurilanenhert	Waived the following conditions which were imposed	N ^a
(HDI257)		arrevocation	on the licence garted to the Applicant: "For Type 4 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer, when actively participating in or when directly supervising the business of the activity, do so under the activity of accretical to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition For Type 9 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer, when actively participating in or when directly supervising the business of the activity, do so under the activity of accretical to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition."	





with respect to protting vistal asset desing services, the house strail completes or segment conditions protting vistal asset desing services under an ornibus account amagement (https://www.st.lie/ // mechaftville.orl./priticalcra/Rems and Conditions for VAO_Reptyll* (as americal from the to this.) the turn vistal asset is defined in Ratt I of the Rems and conditions the Recessor copposition conspictaced institutions providing vistal asset desing services under an ornibus account amagement (as americal fromtine to fare)." to - "With respect to protting vistal asset desing services, the keepsed institution shall only protte such services through opening are ornibus account extra ornibus account to seed to the Securities and Futures Ordinare and Contact Remote of the Artifician and Futures Ordinare and Contact Remote of the Artifician in section 33784 of the Artificial in section 33784 of the Arti				<u> </u>
services, the locured corporators or negistered institutions providing vistual assect dealing services under an omitus account amangament (https://www.file// //medio/En/Mico/LO/_En/pill)" (as amended from time to time). The term vistual asset' is defined in Part I of the Terms and conditions for licensed corporations or negistered institutions providing vistual asset dealing services under an omitus account amangament (as amended from the total asset dealing services under an omitus account amangament (as amended fromtime to time)." to - "With respect to providing vistual asset dealing services, the licensed or negistered institution shall only provide such services though questing an omitus account established entimation edulinary species and account established entimation edulinary species of platform the term "SFC licensed platform" the term "SFC licensed platform operator which is licensed by the SFC pusuant to section 116 of the Securities and Futures Ordinance and/or section 3278K of the Anth Marcy Laurdening and Counter Temoris Futures of Chiannee (AMO). The term "vistual asset is defined in section 3278K.				
			services, the licensee shall comply with "Terms and conditions for licensed corporations or registered institutions providing virtual asset dealing services under an amilius account anangement (https://www.sfc.hk//media/EN/iles/IIC/publications/Terms-and-Conditions-for-VAOA_EN.pdf)" (as amended from time to time). The term "virtual asset" is defined in Part I of the Terms and conditions for licensed corporations or registered institutions providing virtual asset dealing services under an armitus account anangement (as amended from time to time)." to - "With respect to providing virtual asset dealing services, the licensee or registered institution shall only provide such services through operating an armitus account established and maintained with an SFC licensed platform. The term "SFC licensed platform" refers to a virtual asset trading platform operator which is licensed by the SFC pusuant to section 116 of the Securities and Futures Ordinance and/or section 532RK of the Anti-Money Laurdening and Counter Terrorist Financing Ordinance (AMLO). The term "virtual asset" is defined in section 532RA	



"With respect to providing virtual asset dealing services, the licensee or registered institution shall only provide such services to persons which are, and remain at all times, its clients in respect of its business in Type 1 regulated activity (dealing in securities). The term "dealing in securities" is specified in Part 2 of Schedule 5 to the Securities and Futures Ordinance. The term "virtual asset" is defined











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HashKey Capital Limited ("the Applicant")	10April 2024	Validuntil amendment	Waived the following condition which was imposed	
		crievocation	on the licence granted to the Applicant	
(BOP237)				







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Eddid Securities and Futures Limited ("the	16April 2024	Validurtil amendment	Modified the following condition which was imposed	N
Applicant')		crevocation	on the licence granted to the Applicant	
(BH1550)			"With respect to providing virtual asset dealing	
			services, the licensee shall only provide such	
			services to professional investors which are, and	
			remainatall times, its clients invespect of its	
			business in Type 1 regulated activity (dealing in	
			securities). The term "professional investor" is	
			defined in section 1 of Part 1 of Schedule 1 to the	
			Securities and Futures Ordinance ('SFO') together	
			with the Securities and Futues (Professional	
			Investor) Rules. The term "the ling in securities" is	
			specified in Part 2 of Schedule 5 to the SFO. The	
			term"virtual asset" is defined in Part I of the Terms	
			and conditions for licensed corporations or registered	
			institutions providing virtual asset dealing services	
			under anomitus accourt an argement	
			(https://www.sfc.hk/ /media/EN/files/LIC/publications/Tems-and	
			_	
			Conditions for VAOA_EN pull) (as amended from time to time)."	
			ure toure,	
			to -	
			"With respect to providing virtual asset dealing	
			services, the licensee cregistered institutions hall	
			only provide such services to persons which are, and	
			remainatall times, its clients in respect of its	
			business in Type 1 regulated activity (dealing in	
			securities). The term "dealing in securities" is	
			specified in Part 2 of Schedule 5 to the Securities and	
			Futures Ordinance. The term"virtual asset" is defined	
			insection 53ZRA of the Anti-Money Laundering and	
			Counter Tenorist Firm ring Ordinance."	



				Last Update: 18 October a
*U Jianven ("the Applicant")	17April 2024	Validuril anerthert		Ni
BUF478		crievocation	on the licence granted to the Applicant:	
DCE-1769			"The licensee shall not carry out the regulated	
			activity(ies) in Hong Kong formure than 30 days in	
			each calendaryear and shall not provide related	
			services to any person other than a professional	
			investorurless the licensee is accompanied at all times by a licensed person in performing the	
			regulated activity (ies) in Hong Kong. The term	
			"professional investor" is as defined in Part 1 of	
			Schedule 1 to the Securities and Futures Ordinance	
			but does not include any person of a class which is	
			pescibed by the Securities and Futures	
			(Professional Investor) Rules."	
			to -	
			"The licensee shall not cany out the regulated	
			activity(ies) in Harg Kong formue than 30 days in	
			each calendar year and shall not provide related	
			services to any person of territorna professional	
			investor: The term' professional investor is as defined in Part 1 of Schedule 1 to the Securities and	
			Futures Ordinance but does not include any person	
			of a class which is prescribed by the Securities and	
			Futues (Professional Investor) Rules."	
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HOWingHong ("the Applicant")	17April 2024	Validuntil amendment orievocation	Waived the following conditions which were imposed on the licence granted to the Applicant:	
(BOS863)			•	
			'For Type 4 regulated activity ('the activity'), the	
			licensee must in his capacity as a responsible officer;	
			when actively participating in crwhen directly	
			supervising the business of the activity, do so under	
			the advice of another responsible officer who is:	
			(a)xac aediteilt dd u thri cijalipalcemucholdof the	
			licensee in respect of the autility; and l	
			(b) not subject to this condition"	
			"For Type 9 regulated activity ("the activity"), the	
			licensee must in his capacity as a responsible officer;	
			when actively participating in crwhen directly	
			supervising the business of the activity, do so under	
			the advice of anotr oponsible officer who is:	

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Last Update: 18 October 2024

Changhe Capital Management Limited ("the Applicant")	19A pril 2024	Validurtil amendment ornevocation	Waived the following comitions which were imposed on the licence granted to the Applicant:	

(BSU322)





	Delta Fund Management Company Limited "the Applicant")	23April 2024	Validuntil amendment ornevocation	Modified the following condition which was imposed on the licence granted to the Applicant:	N
(BSU114)			"For Type 9 regulated activity, the licensee shall only provide services to professional investors. The term "professional investor" is as defined in the Securities and Futures Ordinance and its subsidiary legislation"	
				to -	
				'For Type 9 regulated activity, the licensee shall only carry on a business in the management of	
				(b) collective investment schemes that are offered to	
				The terms "collective investment scheme" and	
				Securities and Futures Ordinance and its subsidiary	
			orievocation	"For Type 9 regulated activity, the licensee shall only provide services to professional investors. The term "professional investor" is as defined in the Securities and Futures Ordinance and its subsidiary legislation" to - "For Type 9 regulated activity, the licensee shall only carry on a business in the management of: (a) discretionary accounts; and (b) collective investment schemes that are offered to professional investors only. The terms "collective investment scheme" and "professional investor" are defined under the	

PENG Siyuan ("the Applicant")

(BUC346)



			Last Update: 18 October 2024
23 April 2024	Validurtil amendment orievocation	Waived the following condition which was imposed on the licence granted to the Applicant:	N
		"The licensee shall not carry out the regulated activity (ies) in Hung Kong formure than 30 days in carbocal with a small color of the little state.	
		accomparied by a licensed person in performing the regulated activity (ies) in Hong Kong"	
23April 2024	Validurtil amendment crievocation	Waived the following condition which was imposed on the licence granted to the Applicant:	Ni
		"The licensee shall not carry out the regulated activity (ies) in Horg Korg formore than 30 days in carbon characters are shall at all times be	
		accomparied by a licensed person in performing the regulated activity (ies) in Hang Kong"	
23April 2024	Validurtil amendment crievocation	Waived the following condition which was imposed on the licence granted to the Applicant:	N
		"The licensee shall not carry out the regulated activity (ies) in Hurg Kong formue than 30 days in	
		accompanied by a licensed person in performing the regulated activity (ies) in Hong Kong"	
23April 2024	Validurtil amendment crievocation	Waived the following condition which was imposed on the licence granted to the Applicant:	NI.
		"The licensee shall not carry out the regulated activity (ies) in Hung Kong formore than 30 days in each color than 20 days and shall at all times be	
		accompanied by a licensed person in performing the regulated activity(ies) in Hung Kong"	
	23April 2024 23April 2024	23April 2024 Validurtil amendment crievocation 23April 2024 Validurtil amendment crievocation	crievocation crite licence garted to the Applicant "The licence shall not canyout the regulated activity(ies) in Hing King formore than 30 days in each calendary ear and shall at all times be accompanied by a licensed person in performing the regulated activity(ies) in Hing King." 23 April 2024 Validuriil amendment crievocation Waived the following condition which was imposed on the licence ganted to the Applicant "The licensee shall not canyout the regulated activity(ies) in Hing King formore than 30 days in each calendary ear and shall at all times be accompanied by a licensed person in performing the regulated activity(ies) in Hing King in the licensee shall not canyout the regulated activity(ies) in Hing King formore than 30 days in each calendary ear and shall at all times be accompanied by a licensed person in performing the regulated activity(ies) in Hing King in















HRABAYASH Yasuko ("the Applicant")	8May 2024		Waived the following condition which was imposed on the licence granted to the Applicant:	
(ASM141)		GICKLERII	uise man gumus de Alman	
			"The licensee: (1) shall not carry out the regulated	
			ed	



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KIM.Jorgnin ("the Applicant")	10May 2024		Modified the following condition which was imposed	
(AUZ227)		crievocation	on the licence granted to the Applicant	
			The Foreson shall not come a title met lated	

"The licensee shall not carry out the regulated



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LIMKt Yng ("the Applicant") (APA(192)	10May 2024	Validuntil amendment crievocation	Modified the following condition which was imposed on the licence granted to the Applicant: "The licensee shall not carry out the regulated activity(ies) in Hong Kong for more than 30 days in each calendary ear and shall at all times be accompanied by a licensed person imperforming the regulated activity(ies) in Hong Kong!"	N
			"The licensee shall not cany out the regulated activity(ies) in Hong Kong for more than 30 days in	
			each calendar year and shall not provide related services to any person other than a professional investor. The term' professional investor" is as defined in Part 1 of Schedule 1 to the Securities and	
			Futures Ordinance but does not include any person of a class which is prescribed by the Securities and Futures (Professional Investor) Rules."	



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AMER HAMZAH Farah raz Jueera Birti ("the Applicant")	10May 2024	Validurtil anendnert crievocation	Modified the following condition which was imposed on the licence granted to the Applicant:	N
(BOI283)			"The licensee shall not carry out the regulated activity(ies) in Hong Kong for more than 30 days in each calendar year and shall at all times be accompanied by a licensed person in performing the regulated activity(ies) in Hong Kong"	
			"The licensee shall not cany out the regulated activity(ies) in Hong Kong formure than 30 days in each calendary ear and shall not provide related services to any person other than a professional investor. The term "professional investor" is as defined in Part 1 of Schedule 1 to the Securities and Futures Ordinance but does not include any person of a class which is prescribed by the Securities and Futures (Professional Investor) Rules."	
FENG Lizan ('the applicant') (BJR455)	10May 2024	Validurtil anendnent ornevocation	Waived the following condition which was imposed on the licence granted to the Applicant: "The licensee shall not carry out the regulated activity (ies) in Hong Kong formure than 30 days in each calendary ear and shall at all times be accompanied by a licensed person in performing the regulated activity (ies) in Hong Kong!"	N2



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IA FABER Sean Michael ("the Applicant")	13May 2024	Validurtil anenhert	Modified the following condition which was imposed	N
(BOI286)	101/14/ 2021	crievocation	on the licence garded to the Applicant	
(BCD2S)			"The licensee shall not carry out the regulated	
			activity(ies) in Hung Kong formue than 30 days in each calendaryear and shall at all times be	
			accompanied by a licensed person in performing the regulated activity (ies) in Hung Kong"	
			ta -	
			'The licensee shall not carry out the regulated	
			activity(ies) in Hong Kong formure than 30 days in each calendary ear and shall not provide related	
			services to any person other than a professional	
			investor: The term' professional investor'' is as defined in Part 1 of Schedule 1 to the Securities and	
			Futures Ordinance but does not include any person of a class which is prescribed by the Securities and	
			Futures (Professional Investor) Rules."	
South Chira Capital Limited ("the Applicant")	13May 2024	Validuril anenchert	Waived the following condition which was imposed	N
(AAI635)		crievocation	on the licence granted to the Applicant	
			'For Type 6 regulated activity, the licensee must, in the capacity as an adviser to a client on	
			matters/transactions falling within the ambit of the	
			Codes on Takeovers and Mergers and Share Buy- backs issued by the Commission, act together with	
			anotheradviser (to the client) not subject to this condition"	



YING Xiwen ("the Applicant")	14May 2024	Validuntil amendment	Waived the following condition which was imposed	Nii
		arrevocation	on the licence granted to the Applicant:	
(BUA776)				
			"The licensee shall not carry out the regulated	
			activity(ies) in Hong Kong formure than 30 days in	
			eachcalendaryear and shall at all times be	
			accompanied by a licensed person in performing the	
			regulated activity(ies) in Hong Kong"	







(BOSS2) Validurill ameriment cruexcusion Validurill ameriment cruexcusion Validurill ameriment cruexcusion "To Type 1 regulated activity" the activity), the license ematinities capacity as a responsible offices when actively participating incrwhenduredly supervisioning the business of the activity, doos under the active of artiflers partipal contented the license matinities capacity as a responsible offices when actively participating incrwhenduredly supervision and activity of the activity), the license matinities capacity as a responsible offices when actively participating incrwhenduredly supervising the business of the activity, doos under the active of artiflers activity in the license in aspect of the activity of the activity of accusive interpretable offices when actively participating incrwhenduredly in the license in activity of the activity of the license in activity of the activity of the activity of the license in activity of the activity of the license in activity of the activity of the activity of the license in activity of the activity	



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WILLIAMS BenjaminRobert ("the Applicant") (APD124)	20May 2024	Validuntil amendment ornevocation	Waived the following condition which was imposed on the licence granted to the Applicant:	NI
(-E DIA-)			"For Type 9 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activity of another responsible officer who is: (a) accredited to the principal concerned of the literarchicient specific the activity, it herbidged (b) "lint subjectific thicknowledged" in Hor2—E.	
COULDREY Ranulf David Richard ("the Applicant")	22May 2024	Validurtil anenthert crievocation	Waived the following condition which was imposed on the licence granted to the Applicant	Nª.
(BKY385)			"The licensee shall not canyout the regulated activity(ies) in Horg Kong formure than 30 days in each calendary ear and shall at all times be accompanied by a licensed person in performing the regulated activity(ies) in Horg Kong"	
TSANG KimPo("the Applicant")	22 May 2024	Validurtil amendment ornevocation	Waived the disloving condition which was imposed on the licence granted to the Applicant:	
(AF7492)			"For Type 4 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do' high (rule)	r







				Last Update: 18 October 2024
UZorglan ('the Applicant')	23May 2024	Validuntil anenthent orrevocation	Waived the following condition which was imposed on the licence granted to the Applicant:	N
(BST414)			IIII les Becauses chall aut come es tille and let al	l
			"The licensee shall not carry out the regulated activity (ies) in Horg Kong for more than 30 days in	
			each calendaryear and shall at all times be	
			accompanied by a licensed person in performing the	
			regulated activity(ies) in Horg Kong"	
YNLei("the Applicant")	23May 2024	Validuril anerthert	Waived the following comition which was imposed	Ni.
(BBIS50)		arrevocation	on the licence granted to the Applicant	
			"The licensee shall not canyout the regulated	
			activity(ies) in Hong Kong formure than 30 days in	
			each calendary ear and shall at all times be	
			accompanied by a licensed person in performing the regulated activity(ies) in Hong Kong"	
			RGZZZZZZZZZZZZZZZZZZZZZZZZZZZZZZZZZZZZ	
YUMn('the Applicant')	23May 2024	Validurtil anendnert	Waised the following condition which was imposed	N
(BUB005)		orievocation	on the licence granted to the Applicant:	
			"The licensee shall not carry out the regulated	
			activity(ies) in Horg Korg formue than 30 days in	
			each calendaryear and shall at all times be	
			accompanied by a licensed person in performing the regulated activity(ies) in Hong Kong"	
WANG Xiu ("the Applicant")	23May 2024	Validuril anerchert	Waised the following condition which was imposed	Nii
(BRXS04)		crievocation	on the licence granted to the Applicant:	
			"The licensee shall not carry out the regulated	
			activity(ies) in Hurg Kong formue than 30 days in	
			each calendaryear and shall at all times be accompanied by a licensed person in performing the	
			regulated activity (ies) in Hung Kong"	



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Validur Lamenthent Modified the following on the licence or 29May 2024





CHANKing Yeung ("the Applicant")	31 May 2024	Valduril anerdnert	Waived the following conditions which were imposed	N
(BCF231)		crievocation	on the licence granted to the Applicant: 'For Type 4 regulated activity ('the activity'), the licensee must in his capacity as a responsible officer, when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition!' 'For Type 9 regulated activity ('the activity'), the licensee must in his capacity as a responsible officer, when actively participating in or when directly supervising the business of the activity, do so under the activity of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition!'	



				•
SH Xiaoleng ("the Applicant")	3June 2024	Validurtil anenthert orrevocation	Waived the following conditions which were imposed on the licence granted to the Applicant:	
(AVI-B45)			u.u.z =u.u.g=u.z	
			'For Type 4 regulated activity ('the activity'), the	
			licensee must in his capacity as a responsible officer.	

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			<u> </u>	Last Update: 18 October 2024
TANG Weijie ("the Applicant")	4June 2024	Validuntil amendment ornevocation	Waived the following condition which was imposed on the licence granted to the Applicant:	N
(BRNS08)			"The licensee shall not carry out the regulated	l
			activity (ies) in Horg Korg formue than 30 days in	
			eachcalendaryear and shall at all times be	
			accompanied by a licensed person in performing the	
			regulated activity(ies) in Hong Kong"	
XUIu('the Applicant')	4June 2024	Validurtil amendment	Waived the following condition which was imposed	N
		arrevocation	on the licence granted to the Applicant	
(BOC876)			"The licensee shall not carry out the regulated	
			activity(ies) in Horg Korg formue than 30 days in	
			each calendary ear and shall at all times be	
			accompanied by a licensed person in performing the	
			regulated activity(ies) in Hong Kong"	
II Xianteng ('the Applicant')	4June 2024	Validurtil anendnert	Waived the following condition which was imposed	N
(TB 45 7505)		arrevocation	on the licence granted to the Applicant	
(EMI/586)			"The licensee shall not carry out the regulated	
			activity(ies) in Hung Kong formue than 30 days in	
			each calendaryear and shall at all times be	
			accompanied by a licensed person in performing the regulated activity(ies) in Hong Kong"	
			leguaetiativiy(is) ilitigriig	
GAOWenchao ("the Applicant")	4June 2024	Validuntil amendment	Waived the following condition which was imposed	N
(DEDE TARSE)		arrevocation	on the licence granted to the Applicant	
(BRI-1459)			"The licensee shall not carry out the regulated	
			activity(ies) in Hong Kong formure than 30 days in	
			each calendar year and shall at all times be	
			accompanied by a licensed person in performing the regulated activity(ies) in Hong Kong"	
			Caratanaka mikirik	



Haliang Securities Limited ("the Applicant")	5J.re 2024	Validuril anembert crievocation	Modified the following conditions which were imposed on the licence granted to the Applicant:	
(BOC 333)			"With respect to introducing clients to virtual asset trading platform operators, the licensee or registered institutions hall only introduce persons which are	
			professional investors and are its clients in respect of its business in Type 1 regulated activity (dealing in securities). The term "professional investor" is	



				Heropatae: Iocataa 2001
			"With respect to introducing clients to virtual asset trading platform operators, the licensee or registered institutionshall only introduce persons which are its clients in respect of its business in Type 1 regulated activity (dealing in securities). The term "dealing in securities" is specified in Part 2 of Schedule 5 to the Securities and Futures Ordinance." "With respect to providing virtual asset advisory services, the licensee or registered institutionshall only provide such services to persons which are, and remain at all times, clients of the licensed corporation or registered institution in respect of its business in Type 4 regulated activity (advising on securities). The term "advising on securities" is specified in Part 2 of Schedule 5 to the Securities and Futures Ordinance. The term "virtual asset" is defined in section 532RA of the Anti-Money Laurdering and Counter Terrorist Financing Ordinance."	
YAO Lifeng ("the Applicant") (BQN229)	6June 2024	Validuril anendment orievocation	Waived the following condition which was imposed on the licence granted to the Applicant: "The licensee shall not carry out the regulated activity(ies) in Hong Kong for more than 30 days in each calendar year and shall at all times be accompanied by a licensed person in performing the regulated activity(ies) in Hong Kong"	



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ZHANG Li ("the Applicant")	3June 2024	Valdurilanenhert	Waived the following conditions which were imposed	N
(ANK352)		crievocation	on the licence garded to the Applicant: "For Type 1 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this cardition." "For Type 4 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity; and (b) not subject to this condition."	



Darbinsse WealthManagement Limited ("the Applicant")	7Jun2024	Validurtil amendment ornevocation	Waived the following combines which were imposed on the licence granted to the Applicant:	
(BSG906)			"The licensee shall not hold client assets. The terms "hold" and "client assets" are as defined under the Securities and Futures Ordinance."	
			"For Type 1 regulated activity, the licensee shall not conduct business other than— (a) communicating offers to effect dealings in securities to other intermediaties in the names of the persons from whom those offers are received, (b) introducing persons to other intermediaties, in order that such persons may. (i) effect dealings in securities; or (ii) mak h lars of Morde	





				Last Update: 18 October 2024
CHENNing ("the Applicant") (AYF260)	11 June 2024	Validutil anenthert crievocation	Waived the following condition which was imposed on the licence granted to the Applicant: "For Type 9 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition."	N
IAMChi Ping ('the Applicant') (AOV505)	12.Jane 2024	Validuril anendment crievocation	Waived the following condition which was imposed on the licence granted to the Applicant: "For Type 9 regulated activity ("the activity"), the licensee must inhis capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee invespect of the activity, and (b) not subject to this condition."	Nº
XIE Yuneng ("the Applicant") (BUA795)	12.Jre 2024	Validurtil amendment ornevocation	Waived the following condition which was imposed on the licence granted to the Applicant: "The licensee shall not carry out the regulated activity (ies) in Hong Kong for more than 30 days in each calendary ear and shall at all times be accompanied by a licensed person imperforming the regulated activity (ies) in Hong Kong "	Ng.

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Last Update: 18 October 2024

ZHOU Lingsuan ("the Applicant")



				Last Update: 18 October 2024
CHENYe ("the Applicant")	12June 2024	Validurtil anenthert crievocation	Waived the following condition which was imposed on the licence granted to the Applicant:	N
(AOU035)				
			"The licensee shall not carry out the regulated activity (ies) in Hong Kong for more than 30 days in	
			each calendar year and shall at all times be	
			accompanied by a licensed person in performing the	
			regulated activity(ies) in Harg Korg"	
LIAO Yating ("the Applicant")	12June 2024	Validurtil anerdnert	Waived the following condition which was imposed	N
		crievocation	on the licence granted to the Applicant	
(BII-1109)			"The licensee shall not carry out the regulated	
			activity(ies) in Harg Karg farmae than 30 days in	
			eachcalentaryear and shall at all times be	
			accompanied by a licensed person in performing the	
			regulated activity(ies) in Horg Kong"	
QUZimig("the Applicant")	12June 2024	Validuril anenthert	Waived the following condition which was imposed	N
(BRJ146)		crievocation	on the licence granted to the Applicant	
			"The licensee shall not carry out the regulated	
			activity(ies) in Hong Kong formure than 30 days in	
			each calendary ear and shall at all times be	
			accompanied by a licensed person in performing the	
			regulated activity(ies) in Hong Kong"	
WANG Shuai ("the Applicant")	12June 2024	Validurtil anenthert	Waived the following condition which was imposed	N
		arrevocation	on the licence granted to the Applicant:	
(BRB789)			"The licensee shall not carry out the regulated	
			activity(ies) in Hung Kong formuse than 30 days in	
			each calendary ear and shall at all times be	
			accompanied by a licensed person in performing the	
			regulated activity(ies) in Hong Kong"	



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IPP Financial Advisers Limited ("the	12June 2024	Validuntil amendment		N
Applicant')		crievocation	on the licence granted to the Applicant:	
(AMX312)			'For Type 1 regulated activity, the licensee shall only	ı
			engage in the distribution and marketing of collective	
			inestnent schenes. The term collective investment	
			scheme' is as defined under the Securities and Futures Ordinance."; and	
			ruues canate ; an	
			'For Type 4 regulated activity, the licensee shall only	
			engage in advising on collective investment	
			schemes. The term/collective investment scheme/ is	
			as defined under the Securities and Futures Ordinance."	
			Citizante"	
			to	
			MC- CO - 4 - Alan Bank St. Ala Para - Ball al	
			'For Type 1 regulated activity, the licensee shall only engage in the distribution and marketing of collective	
			investment schemes and debt securities. The terms	
			'collective investment scheme' and 'debt securities'	
			are as defined under the Securities and Futures	
			Odime:"; and	
			'For Type 4 regulated activity, the licensee shall only	
			ergage inadvising an collective investment schemes	
			and debt securities. The terms 'collective investment	
			scheme and 'debt securities' are as defined under	
			the Securities and Futures Ordinance"	









Long Bridge HKL imited ("the Applicant")	18June 2024	Validuntil amendment	Modified the following condition which was imposed	N
		<u>arrevocatian</u>	on the licence granted to the Applicant	
(BPA066)			"Withrespect to providing virtual asset dealing services, the licensee crregistered institutions hall only provide such services to professional investors which are, and remain at all times, its clients in respect of its business in Type 1 regulated activity (dealing in securities). The term "professional investor" is defined in section 1 of Part 1 of Schedule 1 to the Securities and Futures Ordinance (SFO) together with the Securities and Futures (Professional Investor) Rules. The term "dealing in securities" is specified in Part 2 of Schedule 5 to the SFO. The term "virtual asset" is defined in section 532RA of the Anti-Money Laundering and Counter Terrorist Financing Ordinance." to - "With respect to providing virtual asset dealing services, the licensee crregistered institutions hall only provide such services to persons which are, and remain at all times, its clients in respect of its business in Type 1 regulated activity (dealing in securities). The term "dealing in securities" is specified in Part 2 of Schedule 5 to the Securities and Futures Ordinance. The term "virtual asset" is defined in section 532RA of the Anti-Money Laundering and Counter Terrorist Financing Ordinance."	



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WU Lishan ("the Applicant")	18June 2024	Validuntil amendment ornevocation	Waived the following condition which was imposed on the licence granted to the Applicant:	N
(HEXSH)			"For Type 9 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition."	
MCKINNON David ("The Applicant") (BGL 787)	20June 2024	Validuntil amendment crievocation	Waived the following condition which was imposed on the licence granted to the Applicant: "For Type 1 regulated activity ("the activity"), the licensee must inhis capacity as a responsible officer, when actively participating in or when directly supervising the business of the activity, do so under the activice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition."	



				Last Update: 18 October 2024
THE TOTAL COLUMN P	60 I 6004	T. P. I. 49 I 4		3.7
BURKE Sean Patrick (the "Applicant") (BUD073)	20June 2024	Validurtil anendnert crevocation	Waived the following condition which was imposed on the licence granted to the Applicant:	NI.
			'For Type 9 regulated activity ('the activity'), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the husiness of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition!"	
XU Yukarg ("the Applicant")	20June 2024	Validurtil anenthert cresocation		NI.
(AWY509)		Grievatalian	on the licence granted to the Applicant	
			'For Type 6 regulated activity, the licensee shall not advise on matters/transactions falling within the ambit	
			of the Codes on Taleovers and Mergers and Share Buy backs issued by the Commission"	
			to-	
			For Type 6 regulated activity, the licensee must, in	
			the capacity as an adviser to a client on matters/transactions falling within the archit of the	
			Codes on Takeovers and Mergers and Share Buy	
			backs issued by the Commission, act together with another adviser (to the client) not subject to this condition"	







				Last Update: 18 October 2024
YE Guargii ("the Applicant")	21 June 2024	Validurtil amendment	Waived the following conditions which were imposed	N
many (uz. Hanz)	A GULL AGAI	orrevocation	on the licence granted to the Applicant	
(BPS627)				
			'For Type 4 regulated activity ('the activity'), the licensee must in his capacity as a responsible officer;	
			when actively participating in crwhen directly	
			supervising the business of the activity, do so under	
			the advice of another responsible officer who is:	
			(a) accredited to the principal concerned of the	
			licensee in respect of the activity, and	
			(b) notsubject to this condition"	
			'For Type 9 regulated activity ('the activity'), the	
			licensee must in his capacity as a responsible officer;	
			when actively participating in arwhen directly	
			supervising the business of the activity, do sounder	
			the advice of another responsible officer who is:	
			(a) accredited to the principal concerned of the licensee invespect of the activity, and	
			(b) not subject to this condition"	
			(3)2232222222	
IAMChing Han, Teience ("the Applicant")	21 June 2024	Validurtil anendnert	Waived the following condition which was imposed	N
(4.07700)		arrevocation	on the licence granted to the Applicant	
(AGI968)			'For Type 9 regulated activity ('the activity'), the	
			licensee must in his capacity as a responsible officer;	
			when actively participating in or when directly	
			supervising the business of the activity, do so under	
			the advice of another responsible officer who is:	
			(a) accredited to the principal concerned of the	
			licensee in espect of the activity, and	
			(b) notsubject to this condition"	



CHANShiu Tak, Shekkon ("the Applicant")	21 June 2024		Waived the following condition which was imposed	
(AYM129)		ornexistation ation	ofithe licence rgente that he liquide the licencergente that he liquide the li	
			"The licensee shall not carry out the regulated	
			activity(ies) in Hong Kong for more than 30 days in	
			each calendar year and shall at all times be	
			accompanied by a licensed person in performing the	
			regulated activity(ies) in Hong Kong nwied activ Hnq	ONS



Profit Access Investments Limited ("the Applicant")	24 June 2024	Validurtil amendment orrevocation	Modified the following condition which was imposed on the licence granted to the Applicant	
(BLK493)			'For Type 9 regulated activity, the licensee shall only provide services to professional investors. The term	



Hansui Capital Conpany Limited ("the Applicant") or etoios e	25 Jupii 8284 24 dValidValillarheednert cruevocation	Modified the following condition which was imposed on the licence granted to the Applicant:			
(BRA045)		"The licensequented (Corly provide servicestCto " professf e 1 " š ion which was imposed	sf	h	d



SUN Peifang ("the Applicant")	27 June 2024	Validurtil anerdnert	Waived the following condition which was imposed	Ni.
		crievocation	on the licence granted to the Applicant	
(ATR253)				
			'For Type 9 regulated activity ('the activity'), the	
			licensee must in his capacity as a responsible officer;	
			when actively participating in or when directly	
			supervising the business of the activity, do so under	
			the advice of another responsible officer who is:	
			(a) accredited to the principal concerned of the	
			licensee innespect of the activity, and	
			(b) not subject to this condition"	





IIMeng ("the Applicant")	28June 2024	Validuntil amendnent	Valited the fc	
		crievocation		
(BIX319)				



HAI Cloud Exchange (HK) Limited ("the Applicant") (BMG520)	3 July 2024	Validurfil amendment ornevocation	Waived the following conditions which were imposed on the licence granted to the Applicant: "For Type 1 regulated activity, the licensee shall only carry on the business of dealing in structured products. The terms "dealing" and "structured product" are as defined under the Securities and Futures Ordinance." "For Type 1 regulated activity, the licensee shall only provide services to professional investors. The term "professional investor" is as defined in the Securities and Futures Ordinance and its subsidiary legislation."	
KWCKMan Yu("the Applicant") (ALY348)	3.July 2024	Validurfil amendment ornevocation	Waived the following condition which was imposed on the licence granted to the Applicant: "For Type 1 regulated activity, the licensee shall only carry on the business of dealing in structured products. The terms "dealing" and "structured product" are as defined under the Securities and Futures Ordinance."	
ZFANG Yarbai ("the Applicant") (APF084)	3.July 2024	Validurtil amendment ornevocation	Waived the following condition which was imposed on the licence granted to the Applicant: 'For Type 1 regulated activity, the licensee shall only carry on the business of dealing in structured products. The terms 'dealing' and 'structured product' are as defined under the Securities and Futures Ordinance."	





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OU H.i ('the Applicant')	5July 2024	Validuntil amendment	Waived the following conditions which were imposed	
	· ·	crievocation	on the licence granted to the Applicant:	
(BAM665)				
			'For Type 1 regulated activity ('the activity'), the	











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MORRISON Justin David Christopher ("the Applicant")	24 July 2024	Validurtil amendment omevocation	Modified the following condition which was imposed on the licence granted to the Applicant	NI.
(AVO781)			"The licensee shall not carry out the regulated activity(ies) in Hong Kong formure than 30 days in each calendar year and shall not provide related services to any person other than a professional investor. The term "professional investor" is as defined in Part 1 of Schedule 1 to the Securities and Futures Ordinance but does not include any person of a class which is prescribed by the Securities and Futures (Professional Investor) Rules."	
			"This licence, invelation to Type 1 regulated activity, shall lapse and cease to have effect: (i) upon the licensee ceasing to act for cron behalf of his/her principal(s) invespect of that regulated activity, or (ii) 22 January 2025 (crany later date as the Commission may agree in writing), which ever occurs earlier; unless the licensee has by that time passed the Licensing Examination for Securities and Futures Intermediaties (IE) Paper 1 pursuant to the Guidelines on Competence issued by the Commission and informed the Commission of the examination result."	



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This licence, innelation to Type 4 regulated activity, shall lapse and cease to have effect:

(i) upon the licensed teasing to act favorenithe hillicensee has the that be possible to the possible of the pos

AU Chun Hong Jos ("the Applicant")

(BFB210)

(ii) 22 January 2025 (crany later date as the Commission may agree in writing), which ever occurs earlier; unless the licensee has by that time passed the Patenning Examination for Securities and Futures Intermediaties (IE) Paper 1 pusuant to the Guidelines on Competence issued by the Commission and informed the Commission of the examination result.



ExpeditionSecurities Limited ("the	25 July 2024	Validurtil anerdnert	Waived the following condition which was imposed	N
Applicant')	_	crevocation	on the licence granted to the Applicant:	
(BIU177)			"The licensee shall not hold client assets. The terms "hold" and "client assets" are as defined under the Securities and Futures Ordinance."	





				Last Update: 18 October 202
XCapPartners Limited ("the Applicant")	22.July 2024	Validurtil anenthert crevocation	Waived the following condition which was imposed on the licence granted to the Applicant:	N a
(BOP567)		G levalui	die Leie ga Rioue Apar	
			'For Type 6 regulated activity, the licensee must, in the capacity as an adviser to a client on	
			matters/transactions falling within the ambit of the	
			Codes on Takeovers and Margars and Share Buy	
			backs issued by the Conmission, act together with another adviser (to the client) not subject to this	
			condition"	
GLOVER Phireas Mex ("the Applicant")	23 July 2024	Validurtil anerdnert	Modified the following condition which was imposed	N
(BU1695)		crevocation	on the licence granted to the Applicant	
(DEREN)			'The licensee:	
			(1) shall not carry out the regulated activity (ies) in	
			Hong Kong formue than 30 days in each calendar year; and	
			(2) shall not provide related services to any person	
			other than a professional investor unless the licensee is accompanied at all times by a licensed person in	
			performing the regulated activity (ies) in Hong Kong	
			The term' professional investor" is as defined in Part 1 of Schedule 1 to the Securities and Futures	
			Ordinance but does not include any person of a class	
			which is prescribed by the Securities and Futures	
			(Professional Investor) Rules."	
			to-	
			"This licence, in relation to Type 4 regulated activity,	
			shall lapse and cease to have effect: (i) upon the licensee ceasing to act for or on behalf	
			of his/herprincipal(s) innespect of that regulated	
			activity, or	



(ii) 6 norths after the date of this condition is





				Last Update: 18 October 2024
CHANG Byung Woon ("the Applicant")	22.July 2024	Validuril anerdnert	Waived the following condition which was imposed	NI
Charge work (leaguar)	ZZJUJ ZUZI	orievocation	on the licence granted to the Applicant	14
(BQD509)				
			"The licensee:	
			(1) shall not carry out the regulated activity(ies) in	
			Hong Kong formuse than 30 days in each calendar year; and	
			(2) shall not provide related services to any person	
			other than a professional investor unless the licensee	
			is accompanied at all times by a licensed person in	
			performing the regulated activity (ies) in Hung Kong	
			The term' professional investor" is as defined in Part 1 of Schedule 1 to the Securities and Futures	
			Ordinance but does not include any person of a class	
			which is prescribed by the Securities and Futures	
			(Professional Insestor) Rules."	
IIWenguo ("the Applicant")	5August 2024	Validuntil amendment cruevocation	Waived the following comition which was imposed on the licence granted to the Applicant:	N
(BRE495)		diewaadi	dite te te ga antite apart	
			"The licensee shall not carry out the regulated	
			activity(ies) in Harg Kong formure than 30 days in	
			eachcalendaryearandshall at all times be	
			accompanied by a licensed person in performing the	
			regulated activity(ies) in Hong Kong"	
UShrin('the Applicant')	5August 2024	Validuntil amendment	Waived the following condition which was imposed	N
		arrevocation	on the licence granted to the Applicant	
(BIR884)				
			"The licensee shall not carry out the regulated activity (ies) in Hong Kong for more than 30 days in	
			eachcalendaryear and shall at all times be	
			accompanied by a licensed person in performing the	
			regulated activity(ies) in Hong Kong"	



				Last Update: 18 October 2024
DING Handing ("the Applicant")	5August 2024	Validuntil amendment ornevocation	Waived the following condition which was imposed on the licence granted to the Applicant:	N
(BIO752)			"The licensee shall not carry out the regulated	
			activity (ies) in Horg Korg formue than 30 days in	
			each calendary ear and shall at all times be	
			accompanied by a licensed person in performing the	
			regulated activity(ies) in Horg Kong"	
JAOTing ("the Applicant")	5August 2024	Validuntil amendment	Waived the following condition which was imposed	N
		crevocation	on the licence granted to the Applicant	
(BRP703)			"The licensee shall not carry out the regulated	
			activity(ies) in Hong Kong formuse than 30 days in	
			each calendary ear and shall at all times be	
			accompanied by a licensed person in performing the	
			regulated activity(ies) in Horg Kong"	
ZOU Ying ('the Applicant')	5August 2024	Validuntil amendment	Waived the following condition which was imposed	N
		crievocation	on the licence granted to the Applicant	
(BRQ724)			"The licensee shall not carry out the regulated	
			activity(ies) in Hong Kong formuse than 30 days in	
			each calendary ear and shall at all times be	
			accompanied by a licensed person in performing the	
			regulated activity(ies) in Hong Kong"	
YE Yu('the Applicant')	5August 2024	Validurtil amendment	Waived the following condition which was imposed	N
		crievocation	on the licence granted to the Applicant	
(BRCOBI)			"The licensee shall not carry out the regulated	
			activity(ies) in Hong Kong formuse than 30 days in	
			each calendary ear and shall at all times be	
			accompanied by a licensed person in performing the	
			regulated activity(ies) in Hong Kong"	



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YANG Yunerg ("the Applicant")	5August 2024	Validurtil amendment crievocation	Waived the following comition which was imposed on the licence granted to the Applicant:	NI.
(BRS812)		<u> </u>		
			"The licensee shall not carry out the regulated	
			activity(ies) in Hang Kong formure than 30 days in	
			each calendary ear and shall at all times be	
			accomparied by a licensed person in performing the	
			regulated activity(ies) in Hong Kong"	
CHENDarku("the Applicant")	5August 2024	Validuril anerdnert	Waived the following condition which was imposed	N
		arrevocation	on the licence granted to the Applicant:	
(BUC194)				
			"The licensee shall not carry out the regulated	
			activity(ies) in Hung Kong formue than 30 days in each calendary ear and shall at all times be	
			accomparied by a licensed person in performing the	
			regulated activity(ies) in Hrng Kong"	
XU Yuehui ("the Applicant")	5August 2024	Validuril anenthert	Waived the following cardition which was imposed	NI.
(PDDCCC)		orrevocation	on the licence granted to the Applicant	
(ERPG29)			"The licensee shall not carry out the regulated	
			activity (ies) in Hong Kong formore than 30 days in	
			each calendaryear and shall at all times be	
			accompanied by a licensed person in performing the	
			regulated activity(ies) in Hung Kong"	



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Caitong International Capital Co, Limited	6August 2024	Validuril anenchert	Malified the following condition which was imposed	Ni.
("the Applicant")		orrevocation	on the licence granted to the Applicant	
(ENO823)			'For Type Gregulated activity, the licensee shall not advise on matters/transactions falling within the ambit	
			of the Codes on Taleovers and Mergers and Share	
			Buy backs issued by the Connission"	
			to-	
			'For Type Gregulated activity, the licensee must, in	
			the capacity as an adviser to a client on	
			matters/transactions falling within the ambit of the	
			Codes on Taleovers and Mergers and Share	
			Buybacks issued by the Commission, act together	
			with another adviser (to the client) not subject to this	
			condition"	
CHANG AssonZai Yuen ("the Applicant")	6August 2024	Validuntil amendment	Modified the following condition which was imposed	N
		crievocation	on the licence granted to the Applicant:	
(AZN927)				
			'For Type 6 regulated activity, the licensee shall not	
			advise on matters/transactions falling within the ambit	
			of the Codes on Taleovers and Mergers and Share	
			Buy backs issued by the Commission"	
			to-	
			'For Type Gregulated activity, the licensee must, in	
			the capacity as an adviser to a client on	
			matters/transactions falling within the ambit of the	
			Codes on Taleovers and Mergers and Share	
			Buybacks issued by the Commission, act together	
			with another adviser (to the client) not subject to this	
			condition"	
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(BHR661)

HASSAN Matthew Alam ("the Applicant")	6August 2024	Validurtil amendment orrevocation	Waived the following conditions which were imposed on the licence granted to the Applicant:	N
(EPXC96)			"For Type 1 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity, and (b) not subject to this condition"	
			"For Type 2 regulated activity ("the activity"), the licensee must in his capacity as a responsible office; when actively participating in credendirectly supervising the business of the activity, do so under the achiev of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity; and (b) not subject to this condition."	
DUXming ('the Applicant')	6August 2024	Va l dun ° i niesp	ect a4 4 pect t imposed	





YEUNG Chun Yin ("the Applicant")	7August 2024	Validuril aneithert orievocation	Waived the following conditions which were imposed on the licence granted to the Applicant:	
(ACK779)			1	
			'For Type 4 regulated activity ('the activity'), the	
			licensee must in his capacity as a responsible officer;	
			when actively participating in or when directly	
			supervising the business of the activity, do so under	
			de Achica di crethèrres parsible officer who is:	
			(a) accredited to the principal concerned of the	
			licensee in respect of the activity, and	
			(b) not subject to this condition"	
			'For Type 9 regulated activity ('the activity'), the	
			licensee must in his capacity as a responsible officer;	
			when actively participating in cryshen directly	
			supervising the business of the activity, do so under	
			the advice of anot so under	







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IAIYinKing ('the Applicant') (ENOSSI)	13Agust 2024	Validurii anerdnert orrevocation	Waived the following conditions which were imposed on the licence granted to the Applicant: 'For Type 1 regulated activity ('the activity'), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the advice of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity; and (b) not subject to this condition For Type 4 regulated activity ('the activity'), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activite of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity; and (b) not subject to this condition For Type 9 regulated activity ('the activity'), the	NI NI
			For Type 9 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the active of another responsible officer who is:	
			(a) accredited to the principal concerned of the licensee in respect of the activity; and (b) not subject to this condition."	







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WEIYu('the Applicant')	20 August 2024	Validurtil anenthert orrevocation	Waived the following condition which was imposed on the licence granted to the Applicant:	N
			"For Type 1 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating in or when directly supervising the business of the activity, do so under the activite of another responsible officer who is: (a) accredited to the principal concerned of the licensee in respect of the activity; and (b) not subject to this condition."	
TANG Lin ("the Applicant")	22.August 2024	Validuril anerthert crevocation	Waived the following condition which was imposed on the licence granted to the Applicant:	N
(BBU285)			"The licensee shall not carry out the regulated activity(ies) in Horg Korg for more than 30 days in each calendar year and shall not provide related services to any person other than a professional investor. The term "professional investor" is as defined in Part 1 of Schedule 1 to the Securities and Futures Ordinance but does not include any person of a class which is prescribed by the Securities and Futures (Professional Investor) Rules."	



ZHONG Liang ("the Applicant")	27 August 2024	Va i dunti anenhent	Waived the following condition which was imposed	
(BRT-D14)		arrevocation	on the licence granted to the Applicant: "For Type 9 regulated activity ("the activity"), the	





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(BS7698)

"The licensee shall not carry out the regulated activity (ies) in Hrng Kong formuse than 30 days in each calendary ear and shall at all times be

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accomparied by a licensed person in performing the

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29 August 2024	Validuntil amendment	
	<u>orrevocation</u>	
	29August 2024	 6







CHANIARASEREKUL Naphat ("the Applicant")	4September 2024	Validurtil amendment ornevocation	Modified the following condition which was imposed on the licence granted to the Applicant	Ni
(BIV677)			"The licensee shall not canyout the regulated activity(ies) in Horg Korg formure than 30 days in each calendary ear and shall not provide related services to any person other than a professional investor. The term 'professional investor' is as defined in Part 1 of Schedule 1 to the Securities and Futures Ordinance but does not include any person of a class which is prescribed by the Securities and Futures (Professional Investor) Rules."	
			to - "The licensee shall not cany out the regulated activity(ies) in Hong Kong for more than 30 days in each calendary ear and shall at all times be accompanied by a licensed person in performing the regulated activity(ies) in Hong Kong"	



Hartec Wealth Management Company Limited ("the Applicant")





TEY Eng Chee, Thomas ("the Applicant")	9September 2023		Waived the following condition which was imposed	NI.
(AEN323)		revocation	on the licence granted to the Applicant	
			"For Type 5 regulated activity ("the activity"), the licensee must in his capacity as a responsible officer; when actively participating increwhen directly supervising the business of the activity, do so under the activity of according to the principal concerned of the licensee in respect of the activity; and (b) not subject to this condition."	



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Blue BoxSecurities Limited ("the Applicant")	10September 2024	Validuril anerdnert	Waived the following condition which was imposed	NI.
(HISOTA)		crievocation	on the licence granted to the Applicant:	
(BIY375)			"The licensee shall not hold client assets. The terms	
			'hold' and 'dient assets' are as defined under the	
			Securities and Futues Ordinance."	
			and	
			au e	
			Modified the following condition which was imposed	
			on the licence granted to the Applicant:	
			"The licensee shall only provide services to	
			professional investors. The term "professional	
			investor" is as defined in the Securities and Futures	
			Ordinance and its subsidiary legislation"	
			to -	
			"For Type 4 regulated activity, the licensee shall only provide services to professional investors. The term	
			"professional investor" is as defined in the Securities	
			and Futures Ordinance and its subsidiary legislation"	
			'For Type 9 regulated activity, the licensee shall only	
			provide services to professional investors. The term	
			"professional investor" is as defined in the Securities	
			and Futures Ordinance and its subsidiary legislation"	









				Last Update: 18 October 2024
WANG Xuenin ("the Applicant")	90ctdber 2024	Validuril amendment	Waived the following condition which was imposed	N
		arrevoca i an	on the licence granted to the Applicant	
(BUWO47)			"The licensee shall not carry out the regulated	
			activity(ies) in Hung Kong formure than 30 days in	
			eachcalendaryear and shall at all times be	
			accompanied by a licensed person in performing the regulated activity(ies) in Hong Kong"	
			legizaedaciniy(es) intrigitig	
ZHANG Jingven ("the Applicant")	90ctober 2024	Validuntil amendment	Waived the following condition which was imposed	N
(BSL990)		orrevocation	on the licence granted to the Applicant:	
(ISERI)			"The licensee shall not carry out the regulated	
			activity(ies) in Hong Kong formure than 30 days in	
			each calendar year and shall at all times be	
			accompanied by a licensed person in performing the regulated activity(ies) in Hong Kong"	
ZHAOJi ("the Applicant")	90ctder2024	Validuntil amendment orresocation	Waived the following condition which was imposed	Nil
(BIH638)		crievication	on the licence granted to the Applicant:	
			"The licensee shall not carry out the regulated	
			activity(ies) in Horg Korg formue than 30 days in	
			each calendary ear and shall at all times be accompanied by a licensed person in performing the	
			regulated activity(ies) in Hong Kong"	
	00.1			
GUO Jingyi ("the Applicant")	90ctober 2024	Validuntil amendment orresocation	Waived the following condition which was imposed on the licence granted to the Applicant:	NI.
(BUM:05)			grand	
			"The licensee shall not canyout the regulated	
			activity(ies) in Horg Korg formue than 30 days in each calendar year and shall at all times be	
			accompanied by a licensed person in performing the	
			regulated activity(ies) in Hong Kong"	





