CE Name	CE No.	Licence Type	Types of Regulated Acitivity
AS Capital Limited	BNV995	Licensed Corporation	1
IBTS Asia (HK) Limited	AMF777	Licensed Corporation	1, 4
Lloyd George Management (HK) Limited	BEL384	Licensed Corporation	9
PGIM (Hong Kong) Limited (trading as PGIM Fixed Income, PGIM Real Estate, PGIM Investments, PGIM Private Alternatives and Jennison Associates) - PGIM (Hong Kong) Limited (trading as PGIM Fixed Income, PGIM Real Estate, PGIM Investments, PGIM Private Alternatives and Jennison Associates) (AAH625) and another company within the same group amalgamated on 1 September 2024, pursuant to the relevant provisions of the Companies Ordinance (Chapter 622). The amalgamated company is PGIM (Hong Kong) Limited (trading as PGIM Fixed Income, PGIM Real Estate, PGIM Investments, PGIM Private Alternatives and Jennison Associates) (BVJ981).	AAH625	Licensed Corporation	1, 4
Prestige Securities Limited	BFI474	Licensed Corporation	1, 4
Vanward International Securities Limited	BOC314	Licensed Corporation	1
ABU-SSAYDEH Rami	BUQ153	Licensed Representative	1, 2
AKIYAMA Yusuke	BTQ259	Licensed Representative	4
AMITABH Unnayan	BGB390	Licensed Representative	1
ANUVATNUJOTIKUL Predee	AVP871	Licensed Representative	1, 2
AO Anqi	BOB117	Licensed Representative	1, 4, 6
AU Cheuk Shing	BTS987	Licensed Representative	9
AU Sin Kwan, Winnie	ABY624	Licensed Representative	1, 4
BAKER Jamie Ian	BTD377	Licensed Representative	1, 2, 7
BARATTE Nicolas Guy Gabriel	AWN751	Licensed Representative	4
BHARTIA Aditya	BFP413	Licensed Representative	4
BOUVARD Caroline Marie Eugenie Renee			

CE Name	CE No.	Licence Type	Types of Regulated Acitivity
CHUI Ka Lung	BOA075	Licensed Representative	1, 6
CHUI Ming Him, Gordon	BIJ692	Licensed Representative	4
CHUI Yun Ming	ADX532	Licensed Representative	1, 2
CHUNG Wai Leung	AXS860	Licensed Representative	1, 2
DAVIES Matthew Drummond	BOG758	Licensed Representative	9
DE LAVAISSIERE DE LAVERGNE Cyril J.	BUQ384	Licensed Representative	4, 9
DING Jin	BUI963	Licensed Representative	1, 4
DONG Qingqing	BNN569	Licensed Representative	1, 6
FAN Bailan	BSG602	Licensed Representative	1, 4, 6
FAN Chung Ling Lillian	BQU584	Licensed Representative	1, 6
FAN Ying	AZN142	Licensed Representative	9
FANG yuan	BRA669	Licensed Representative	1, 4, 9
FENG Jerry Dongdong	BAD301	Licensed Representative	9
FENG Shuangyue	BPS237	Licensed Representative	

CE Name	CE No.	Licence Type	Types of Regulated Acitivity
HUANG Shuyi	BRZ284	Licensed Representative	1
HUANG Xiaoxi	BPN094	Licensed Representative	4
HUI Hok Wa	BOQ824	Licensed Representative	1
HUI Pak Kin	BNG010	Licensed Representative	1, 2
JAIN Nidhesh	BFP415	Licensed Representative	4
JAIN Nikhil	BNJ259	Licensed Representative	1, 2
JHAWAR Aditya Hiralal	BFP474	Licensed Representative	4
JIA Jia	BFG487	Licensed Representative	1
JIA Jianing	BMD873	Licensed Representative	1
JIA Peng	AYR513	Licensed Representative	6
JIANG Hai	BON452	Licensed Representative	1, 4, 7
JIANG Kaiwen	BRT089	Licensed Representative	1
JIANG Yong	BSA136	Licensed Representative	4
JIN Feiyang	BUC837	Licensed Representative	4
KAN Cheuk Ying	BTP429		

CE Name	CE No.	Licence Type	Types of Regulated Acitivity
STRINGER Lydia Teagan Elizabeth	AXR352	Licensed Representative	1, 2
SU Darong	BIV798	Licensed Representative	1, 4
SUI Xinyi	BRS694	Licensed Representative	1, 6
SUN Shangyun	BJW317	Licensed Representative	1, 6
SZE Kai Man, Carmen	BTD986	Licensed Representative	4, 9
TAM Cheuk Kiu	BJH021	Licensed Representative	1, 4, 9
TAM Sheung Ming	AAA554	Licensed Representative	1
TAN Minling	BOB969	Licensed Representative	4
TAN Xiaoxuan	BTV208	Licensed Representative	1, 4, 5, 9
TANG Chin Ping	AAB946	Licensed Representative	1
TANG Hon Kit Andrew	BSQ754	Licensed Representative	1, 4
TANG Jiaxin	BRT217	Licensed Representative	1, 4, 6
TANG Mei Chee Agnes	BUI127	Licensed Representative	1
TANG Weiting	BRR608	Licensed Representative	1, 4
TANG Wing Hang	AXO388	Licensed Representative	4, 9
TANG Wing Shing	BTO287	Licensed Representative	1, 2, 3
TANG Wing To	ALZ917	Licensed Representative	1, 2
THAKKER Mehul	BNH696	Licensed Representative	4
TONG Alex Kin Pang	BSQ159	Licensed Representative	1, 4
TONG Chia W03Q 7.			

CE Name WONG Hiu Ming WONG HO Lai SheY46V CE No. Licence Type BOH289 Licensed Representative Types of Regulated Acitivity 1, 4, 9

CE Name	CE No.	Licence Type	Types of Regulated Acitivity
CHOI Stephen Joon	AJH431	Licensed Representative - Responsible Officer	4
CHUA Alvin Cheng-Hock	AED730	Licensed Representative - Responsible Officer	1, 4
DE TILLY-BLARU Georges Marie	ACA331	Licensed Representative - Responsible Officer	9
EBRAHIM Ayaz Hatim	AAJ072	Licensed Representative - Responsible Officer	4, 5, 9
FANG Francine Ming	BPS778	Licensed Representative - Responsible Officer	9
FUJIKAWA Shingo	BQV191	Licensed Representative - Responsible Officer	1, 4, 9
GIANG Maryanne Phung-Van	AEL911	Licensed Representative - Responsible Officer	9
GILDEMEISTER Rudolf Walter	ALC513	Licensed Representative - Responsible Officer	6
GROVER Gaurav	AMB195	Licensed Representative - Responsible Officer	9
HO Alice	AGI187	Licensed Representative - Responsible Officer	9
HO Lik Kwan, Luke	ALS703	Licensed Representative - Responsible Officer	6
HO Tsun Ting, Alex	AAA749	Licensed Representative - Responsible Officer	1, 4
HO Wai Yin	AGH607	Licensed Representative - Responsible Officer	1, 4
HO Yan Lam	AVJ407	Licensed Representative - Responsible Officer	1
HUANG Qun	AJD330	Licensed Representative - Responsible Officer	1, 4, 6
HUI Wun Gi	AUC010	Licensed Representative - Responsible Officer	1
HUNG Huihsiu	ATB516	Licensed Representative - Responsible Officer	1, 4
KAM Pak Wah, Franklin	AAD811	Licensed Representative - Responsible Officer	4, 9
KANG In Do	BFR476	Licensed Representative - Responsible Officer	1
KIM Minseok	BPE126	Licensed Representative - Responsible Officer	1, 4, 9
KOCHHAR Mukul	BFP478		

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2024

CE Name	CE No.	Licence Type	Types of Regulated Acitivity
CHAN TING CHEONG	BVK391	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Wing Kiu	BVI816	Licensed Representative (Provisional Licence)	1, 4
CHAN Yin Yu	AOV375	Licensed Representative (Provisional Licence)	1
CHANAY Tristan William Philibert	BVH840	Licensed Representative (Provisional Licence)	1, 2
CHANG Chechung	AVH886	Licensed Representative (Provisional Licence)	9
CHAO Elaine Yiran	BNF051	Licensed Representative (Provisional Licence)	9
CHE Ka Cheung	BOP651	Licensed Representative (Provisional Licence)	1, 2
CHEN Bingjian	BVH738	Licensed Representative (Provisional Licence)	4, 9
CHEN Han	BVI153	Licensed Representative (Provisional Licence)	1, 4
CHEN Huiyi	BVI754	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN MIN	BVI954	Licensed Representative (Provisional Licence)	10
CHEN Stephanie	BVH785	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN WEIYI	BVH340	Licensed Representative (Provisional Licence)	4, 9
CHEN Wong Lung, William	ANG470	Licensed Representative (Provisional Licence)	1, 4
CHEN Xinqiao	BVF863	Licensed Representative (Provisional Licence)	1, 2
CHEN Yichang	BVI289	Licensed Representative (Provisional Licence)	4
CHEN Zehao	BVF713	Licensed Representative (Provisional Licence)	1, 6
CHEN Zhiren	BVD868	Licensed Representative (Provisional Licence)	4, 9
CHEN Zihan	BVI067	Licensed Representative (Provisional Licence)	1, 6
CHENG Kwong Fat	BVG173	Licensed Representative (Provisional Licence)	1, 4
CHENG Yi Ling	BNJ001	Licensed Representative (Provisional Licence)	9
CHEUNG Chun Ho	ATW460	Licensed Representative (Provisional Licence)	1, 4
CHEUNG Ha Yee Mary-anne	BVI248	Licensed Representative (Provisional Licence)	1, 4
CHEVALIER Thibaut Charles Hubert	BVH333	Licensed Representative (Provisional Licence)	9
CHIK Ho Ying	BTC094	Licensed Representative (Provisional Licence)	1
CHIU Hsuan	BVH641	Licensed Representative (Provisional Licence)	1, 4, 6
CHIU Long Kit	BVK189	Licensed Representative (Provisional Licence)	6
CHIU Simon Siu Hung	ALW291	Licensed Representative (Provisional Licence)	4, 9
CHOI Minseok	BVE688	Licensed Representative (Provisional Licence)	1, 2
CHOO Benjamin Yaw Sing	BVI830	Licensed Representative (Provisional Licence)	1, 4
CHOO Tiffany Li-Fang	BVD571	Licensed Representative (Provisional Licence)	1, 4, 6
CUI Yuanhao	BVI129	Licensed Representative (Provisional Licence)	1, 6
DEWAN Sukrit	BFC653	Licensed Representative (Provisional Licence)	1, 6
DING Cheng	BVF993	Licensed Representative (Provisional Licence)	9
DING Chutong	BVI198	Licensed Representative (Provisional Licence)	1, 4
DING Xiaoli	BVI419	Licensed Representative (Provisional Licence)	1, 4, 9
DU Meina	BVF631	Licensed Representative (Provisional Licence)	1, 4, 6
FALEH Mourad	BVH800	Licensed Representative (Provisional Licence)	2
FAN Canyu	BVE263	Licensed Representative (Provisional Licence)	1, 6
FANG Chengqi	BQJ933	Licensed Representative (Provisional Licence)	1, 4
FANG Chunjiang	BVF451	Licensed Representative (Provisional Licence)	1, 6
FANG Zhucheng	BVH877	Licensed Representative (Provisional Licence)	1, 6
FANG Zihao	BVF660	Licensed Representative (Provisional Licence)	1, 4
FENG Chi Ying Jacqueline	BVA915	Licensed Representative (Provisional Licence)	4
FU Haoyu	BVH938	Licensed Representative (Provisional Licence)	6
FU Yiming	BVI970	Licensed Representative (Provisional Licence)	9
FUNG Tsz Chun Leo	BPE784	Licensed Representative (Provisional Licence)	1, 4, 9
		Licensed Representative (Provisional Licence)	1, 2, 7
GERARD Coline Jeanine Lucie Marie	BVI840		

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2024

CE Name	CE No.	Licence Type	Types of Regulated Acitivity
YUEN Wing Yan	BVJ782	Licensed Representative (Provisional Licence)	1, 4, 9
ZENG Hexinxin	BVH520	Licensed Representative (Provisional Licence)	1, 4, 9
ZENG Yuxi	BVG218	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 7
ZHANG Guangxuan	BVH874	Licensed Representative (Provisional Licence)	1, 2
ZHANG Jiajun	BVK128	Licensed Representative (Provisional Licence)	1, 4
ZHANG Jiemin	BVF825	Licensed Representative (Provisional Licence)	1, 4
ZHANG Jingchen	BVI255	Licensed Representative (Provisional Licence)	1, 4
ZHANG Ran	BVH610	Licensed Representative (Provisional Licence)	1, 2
ZHANG Ruopeng	BVE781	Licensed Representative (Provisional Licence)	9
ZHANG Tao	BVH094	Licensed Representative (Provisional Licence)	4, 9
ZHANG Wenbin	BRV546	Licensed Representative (Provisional Licence)	1, 4
ZHANG Xiangwei	BRZ801	Licensed Representative (Provisional Licence)	4
ZHANG Xiyu	BVG962	Licensed Representative (Provisional Licence)	1, 6
ZHANG Yichao	BVD300	Licensed Representative (Provisional Licence)	4, 9
ZHANG Yiran	BVI582	Licensed Representative (Provisional Licence)	1, 4
ZHAO Qingyu	BVG813	Licensed Representative (Provisional Licence)	1
ZHENG Haocheng	BVH861	Licensed Representative (Provisional Licence)	4
ZHENG Qianyi	BVG851	Licensed Representative (Provisional Licence)	1, 4, 9
ZHONG Chuchen	BVH712	Licensed Representative (Provisional Licence)	1, 4, 6
ZHONG Heng	BVF576	Licensed Representative (Provisional Licence)	4
ZHONG Jiayao	BVI739	Licensed Representative (Provisional Licence)	6
ZHONG Jing	BVF988	Licensed Representative (Provisional Licence)	1
ZHONG Wenke	BVI025	Licensed Representative (Provisional Licence)	1, 4, 6
ZHONG Zhaoyuan	BVH304	Licensed Representative (Provisional Licence)	1, 4, 6
ZHOU Jialin	BPY746	Licensed Representative (Provisional Licence)	1, 4
ZHOU Jinglin	BVH110	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 7
ZHOU Puqian	BDV086	Licensed Representative (Provisional Licence)	1, 4, 9
ZHOU Quan	BV1092	Licensed Representative (Provisional Licence)	1, 4
ZHOU Wenhao	BVG961	Licensed Representative (Provisional Licence)	1, 6
ZHU Guanhua	AVG924	Licensed Representative (Provisional Licence)	1, 4
ZHU Mingcan	BVH039	Licensed Representative (Provisional Licence)	1, 4
ZHU Yitong	BVI202	Licensed Representative (Provisional Licence)	1, 4
ZHUANG Qizhang	BVI112	Licensed Representative (Provisional Licence)	1, 4
ZHUO Liyu	BVI406	Licensed Representative (Provisional Licence)	1, 4, 6
ZOU Xueer	BVG922	Licensed Representative (Provisional Licence)	1
ABRAMS Randy I	AUW910	Licensed Representative (Temporary Licence)	4
AGRAWAL Manas	BVH787	Licensed Representative (Temporary Licence)	4
ANDERSON Christian Goddard	BVK844	Licensed Representative (Temporary Licence)	1, 6
AZURIN Paolo Antonio Lopez	BVI242	Licensed Representative (Temporary Licence)	1, 4, 6
BABA Taichi	BAZ165	Licensed Representative (Temporary Licence)	1, 2
BAIG Mirza Faham Ali	BUT642	Licensed Representative (Temporary Licence)	4
BARUS Felicia Asrinanda	AUT955	Licensed Representative (Temporary Licence)	4
BATES Peter John	AXI123	Licensed Representative (Temporary Licence)	4
BAXTER Melinda Kate	BCP840	Licensed Representative (Temporary Licence)	4
BENSON Paul Lindhardt	BVI163	Licensed Representative (Temporary Licence)	1, 4
BERTRAM William Karel	BPQ207	Licensed Representative (Temporary Licence)	1, 2
BOOTHE Steven Earl	BVE266	Licensed Representative (Temporary Licence)	4
BRANET MAGDA-CRISTINA	BVI955	Licensed Representative (Temporary Licence)	1, 4
BRIGGS James Thomas Edward	BCC466	Licensed Representative (Temporary Licence)	1, 2

CE Name	CE No.	Licence Type	Types of Regulated Acitivity
BURBANO Esteban	BLD950	Licensed Representative (Temporary Licence)	1, 4
BURNS Darren Lester	BBN739	Licensed Representative (Temporary Licence)	1, 2
BYUN Kara Kyung Wha	APJ708	Licensed Representative (Temporary Licence)	1, 4
CARTER Luther Bryan	BVI744	Licensed Representative (Temporary Licence)	4
CARTWRIGHT Edward lan	BDC766	Licensed Representative (Temporary Licence)	1
CHADHA Dushyant	BIE137	Licensed Representative (Temporary Licence)	1
CHAKRABORTY Samiran	BGU585	Licensed Representative (Temporary Licence)	4
CHANG Jian Hua Derek	BTT301	Licensed Representative (Temporary Licence)	4
CHEN Xiao Sheng	BVH903	Licensed Representative (Temporary Licence)	1
CHILDS Nicholas Robert	BVK881	Licensed Representative (Temporary Licence)	1, 4
CHIN Hsu Jen	BVI361	Licensed Representative (Temporary Licence)	1, 4, 6
CHO Youngmin	BVJ904	Licensed Representative (Temporary Licence)	1, 2
CHOI Jae Hyung	BDG877	Licensed Representative (Temporary Licence)	4
CHUANG Zhi Wei Farhan	BPE144	Licensed Representative (Temporary Licence)	1
COOMBS Andrew Philip	BTR456	Licensed Representative (Temporary Licence)	4

CE Name	CE No.	Licence Type	Types of Regulated Acitivity
LEE James Morris	BAD885	Licensed Representative (Temporary Licence)	4
LEE JEEYUN	BTR846	Licensed Representative (Temporary Licence)	4
LEE Kai Xuan Almeric	BNJ622	Lit@மேக்சென் ppresentatione.(Den)ஷின் EnTy புணு 4655.66000941663221.2810.Tnsed Re BT Licen S02ei	
LEE Lo-Tzu	AVN430	Licensed Representative (Temporary Licence)	1
LEVINGSTON Oliver Llewellyn	BVF295	Licensed Representative (Temporary Licence)	4
LI Jingtao	BRW508	Lozonsied Representative (Temporary Licence)	4
LI Tian	AZS678	Licensed Representative (Temporary Licence)	1
LIU Chang	BVI355	LR:500side/Representationed/24800900atyEllic@nce#55.660009416632	21.2 810.Tnsed Re BT Licen250ei
LOW Xin Yan	BAP512	፲≣®#1958#178 €9783££22811709€ T&hmp&Tar/F6i7e0/07e/)000rg316.902TL196	6.216546.656 Td (AZS222656.656 PU
LUO Zhi Wei	BVH901	Licensed Representative (Temporary Licence)	

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CE Name	CE No.	Licence Type	Types of Regulated Acitivity
RUSSELL-DOWE Michelle Lyn	BNN942	Licensed Representative (Temporary Licence)	1
SACCO Alexander David	BMH784	Licensed Representative (Temporary Licence)	1
SAKAGAMI Ryota	AWO794	Licensed Representative (Temporary Licence)	4
SAKAI Fumiyoshi	AUO101	Licensed Representative (Temporary Licence)	4
SCOTT Andrew Geoffrey	BBE397	Licensed Representative (Temporary Licence)	4
SHIEH Ming-Yung	AYI567	Licensed Representative (Temporary Licence)	1
SI Han	BVI356	Licensed Representative (Temporary Licence)	1, 4, 6
SITEPU Giovan Priyatna Putra	BVI360	Licensed Representative (Temporary Licence)	^{1, 4, 6}
SOHN Amanda Yoo Jung	BPM762	Licensed Representative (Temporary Licence)	31 mporary Lic
SPECCHIA Giulia Lavinia			