

Pursuant to section 95(2) of the Securities and Futures Ordinance (**SFO**), the Securities and Futures Commission (**Commission**) hereby authorizes Cboe Futures Exchange, LLC (**CFE LLC**) to provide automated trading services (**ATS**) in Hong Kong via the CFE System subject to the following conditions–

- 1 CFE LLC may only provide ATS in Hong Kong to those of its members that are corporations licensed by the Commission under Part V of the SFO (unless the Commission agrees in writing otherwise). Additionally, such corporations must not be admitted as members unless CFE LLC has first proactively notified the Commission of its intention to admit them.
- 2 For the purposes of any inquiry or investigation by the Commission into the conduct of any of CFE LLC's Hong Kong members, CFE LLC must provide the Commission with information relating to trades conducted by that member via the CFE System. Such information disclosure can also be facilitated by (i) the



3.2. the CFE System (including changes in hardware, software, and other technology);

3.3. the CFE System (including changes in hardware, software, and other technology);

indirect changes to the contractual responsibilities of CFE LLC's Hong Kong members;

3.4. the CFE System (including changes in hardware, software, and other technology);

revoking such membership; and



[REDACTED]

members in Hong Kong as at the end of the relevant month, and such reports must be provided to the Commission within two weeks after the end of the relevant month or within such other period as the Commission may agree in

[REDACTED]

- 7 CFE LLC must provide its **annual** audited financial statements to the Commission within four months after the end of each financial year or within

[REDACTED]