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3.6. regulatory requirements imposed on CBL’s operation by the Financial Conduct Authority of the United Kingdom, in particular changes in the capital adequacy requirements.

Any notification of change pursuant to this Condition must be given prior to the change taking effect or (if it is not reasonably practicable to give prior notification) as soon as practicable after the change takes effect.

4. CBL must put in place appropriate business continuity plans and disaster recovery programs for CBL's provision of ATS in Hong Kong, and notify the Commission of any material change to the plans or programs.

5. CBL must provide the Commission with the following monthly statistics on a quarterly basis:-

5.1. The total volume of all trades (in terms of their aggregate notional amount)
conducted during that calendar month via the Creditex Trading Platform, with a
breakdown in respect of each product or product type (as agreed by the Commission
in writing); and

5.2. The total volume of all trades (in terms of their aggregate notional amount) conducted during that calendar month via the Creditex Trading Platform by each
CBL’s Hong Kong Participant, with a breakdown in respect of each product or
product type (as agreed by the Commission in writing).

Such statistics must be provided within two weeks after the end of the relevant calendar quarter or within such other period as the Commission may agree in writing. A nil return is required if no trade was conducted in the relevant month.

6. CBL must provide monthly reports of the identity and location of its Hong Kong Participants as at the end of the