Note: Newly added to the list. Mandatory clearing will apply to specified OTC derivative transactions entered into with this entity from 1 January 2024 subject to other relevant conditions specified in the Clearing Rules being met.

Disclaimer:

1. The prescribed persons on the list have consented to the publication of their respective names. Neither the HKMA nor the SFC assumes any responsibility for the accuracy, completeness or reliability of the list, and neither the HKMA nor the SFC shall be held responsible for any consequential effect, nor be held liable for any loss or damage, howsoever caused, arising from the use of or reliance upon any information provided above. Please also refer to the <u>Disclaimer</u> section of our website.

2. It is the responsibility of each prescribed person to ensure its compliance with the Clearing Rules, including ascertaining whether each of its counterparties has reached the clearing threshold where applicable.

31 August 2023