

		Delta 1 products	Closing / flattening / unwinding of proprietary positions arising from unsolicited client-driven dealings. I confirm this was done no later than the close of the morning trading session on the trading day following the originating client-driven dealing	Purchase	143,000	\$701,160.4600	\$4.9032	\$4.9032
		Delta 1 products	Closing / flattening / unwinding of proprietary positions arising from unsolicited client-driven dealings. I confirm this was done no later than the close of the morning trading session on the trading day following the originating client-driven dealing	Purchase	8,000	\$13,525.90400	\$1.69074	\$1.69074



The disclosure form was received by the Executive on 11 August 2020.