



## Public Disclosure Form

19 August 2019

|  |  |             |                         |                                            |        |                |          |                |             |
|--|--|-------------|-------------------------|--------------------------------------------|--------|----------------|----------|----------------|-------------|
|  |  | Derivatives | Other types of products | Unsolicited client facilitation - Sale     | 82,000 | 6 January 2020 | \$2.9500 | \$241,900.0000 | 123,941,016 |
|  |  | Derivatives | Other types of products | Unsolicited client facilitation - Purchase | 82,000 | 6 January 2020 | \$2.9500 | \$241,900.0000 | 123,941,016 |
|  |  | Derivatives | Other types of products | Unsolicited client facilitation - Sale     | 2,000  | 18 March 2020  | \$2.9540 | \$5,907.2400   | 123,941,016 |
|  |  | Derivatives | Other types of products | Unsolicited client facilitation - Sale     | 2,000  | 18 March 2020  | \$2.9540 | \$5,907.4000   | 123,941,016 |
|  |  | Derivatives | Other types of products | Unsolicited client facilitation - Purchase | 2,000  | 18 March 2020  | \$2.9540 | \$5,907.4000   | 123,941,016 |
|  |  | Derivatives | Other types of products | Unsolicited client facilitation - Purchase | 4,000  |                |          |                |             |

|  |  |             |                            |                                               |        |                     |          |               |             |
|--|--|-------------|----------------------------|-----------------------------------------------|--------|---------------------|----------|---------------|-------------|
|  |  | Derivatives | Other types<br>of products | Unsolicited client<br>facilitation - Purchase | 18,000 | 10 February<br>2022 | \$2.9500 | \$53,100.0000 | 123,941,016 |
|--|--|-------------|----------------------------|-----------------------------------------------|--------|---------------------|----------|---------------|-------------|