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1, 8		- HKSI DPE 1 + 3 - HKSI LE 7 + 8 - HKSI PDFM 1 + 2 + 3 + 6 + 7	- HKSI DPE 2 - HKSI LE 1*+ 2 - HKSI PDFM 4+5
		- SIA Diploma of Financial Services (Elective Stream B) - SIA Diploma of Financial Markets ( )	
		- CSI Canadian Securities Course	
		<ul> <li>JSDA Qualification Examination for Class-1 Sales Representatives</li> <li>JSDA Qualification Examination for Margin Transaction Sales Representatives</li> </ul>	
		<ul> <li>SII(UK) Level 3 Certificate in Investments (Securities)</li> <li>SII(UK) Level 3 Certificate in Investments (Securities &amp; Financial Derivatives)</li> <li>SII(UK) Level 3 Certificate in Investments (Investment Management)</li> </ul>	

- NASD Series 24
- NASD Series 23

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6		- HKSI DPE 1 + 3 - HKSI LE 7 + 11 - HKSI PDFM 1 + 2 + 3 + 6 + 7	- HKSI DPE 2 - HKSI LE 1*+ 5 - HKSI PDFM 4+5
		<ul> <li>CSI Canadian Securities Course</li> <li>JSDA Qualification Examination for Class-1 Sales Representatives</li> </ul>	
		SII(UK) Level 3 Certificate in Investments (Securities)     SII(UK) Level 3 Certificate in Investments (Securities & Financial Derivatives)     SII(UK) Level 3 Certificate in Investments (Investment Management)     SII(UK) Certificate in Corporate Finance	
		<ul><li>NASD Series 24</li><li>NASD Series 23 + Series 9 10</li></ul>	
9		- HKSI DPE 1 + 3 - HKSI LE 7 + 12 - HKSI PDFM 1 + 2 + 3 + 6 + 7	- HKSI DPE 2 - HKSI LE 1*+ 6 - HKSI PDFM 4+5

- SIA Diploma of Financial Services (Financial Planning)
   SIA Diploma of Financial
- Services (Elective Stream A B)
- SIA Diploma of Financial Markets ((

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1, 8		- HKSI DPE 1 + 3 - HKSI LE 7 + 8 - HKSI PDFM 1 + 2 + 3 + 6 + 7	- HKSI DPE 2 - HKSI PDFM 4+5 - HKSI LE 1
		<ul> <li>SIA Diploma of Financial Services (Elective Stream B)</li> <li>SIA Diploma of Financial Markets (</li> </ul>	
•		- CSI Canadian Securities Course - JSDA	

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4		- HKSI DPE 1 + 3 - HKSI LE 7 + 8 - HKSI PDFM 1 + 2 + 3 + 6 + 7	- HKSI DPE 2 - HKSI PDFM 4+5 - HKSI LE 1
		<ul> <li>SIA Diploma of Financial Services (Financial Planning)</li> <li>SIA Diploma of Financial Services (Elective Stream A B)</li> <li>SIA Diploma of Financial Markets (         )</li> </ul>	
		- CSI Canadian Securities Course	
		<ul> <li>JSDA Qualification Examination for Class-1 Sales Representatives</li> <li>JSDA Qualification Examination for Margin Transaction Sales Representatives</li> </ul>	
		SII(UK) Level 3 Certificate in Investments (Securities)     SII(UK) Level 3 Certificate in Investments (Securities & Financial Derivatives)     SII(UK) Level 3 Certificate in Investments (Investment Management)     SII(UK) Investment Advice Certificate	

- NASD Series 7

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6		- HKSI DPE 1 + 3	- HKSI DPE 2
		- HKSI LE 7 + 11	- HKSI PDFM 4+5
		- HKSI PDFM 1 + 2 + 3 + 6 + 7	- HKSI LE 1
		- CSI Canadian Securities Course	
		- JSDA Qualification Examination for Class-1	
		Sales Representatives	
		- SII(UK) Level 3 Certificate in Investments (Securities)	
		- SII(UK) Level 3 Certificate in Investments	
		(Securities & Financial Derivatives)	
		- SII(UK) Level 3 Certificate in Investments	
		(Investment Management) - SII(UK) Certificate in Corporate Finance	
		- NASD Series 7	
		- NASD Series 24	
		- NASD Series 23 + Series 9 10	
		-	
9		- HKSI DPE 1 + 3	- HKSI DPE 2
		- HKSI LE 7 + 12	- HKSI PDFM 4+5
		- HKSI PDFM $1 + 2 + 3 + 6 + 7$	- HKSI LE 1
		- SIA Diploma of Financial Services (Financial	
		Planning)	
		- SIA Diploma of Financial Services (Elective	
		Stream A B) - SIA Diploma of Financial Markets (	
		)	
		- JSDA Qualification Examination for Class-1	
		Sales Representatives	
		(	
		- SII(UK) Level 3 Certificate in Investments	
		(Investment Management) - SII(UK) Investment Advice Certificate	
		- NASD Series 7	
		- NASD Series 7 - NASD Series 24	
		- NASD Series 23 + Series 9 10	
		-	
10		- HKSI LE 7 + 10	- HKSI LE 1

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CSI
                The Canadian Securities Institute (
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HKSI
JSDA
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LE
NASD
                The National Association of Securities Dealers, Inc. (
PDFM
                The Securities Institute of Australia (
SIA
                                                       )
SII(UK)
                 Securities & Investment Institute (
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