## 13 June 2017

# **Co-operation Agreement**

**AUSTRALIA** 

Australian Securities & Investments Commission

HONG KONG

Securities and Futures Commission

ASIC

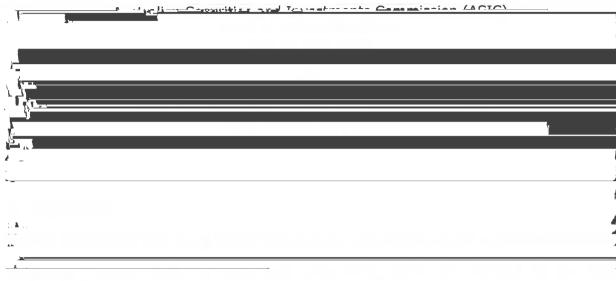
Australian Securities & Investments Commission

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## Co-operation Agreement between

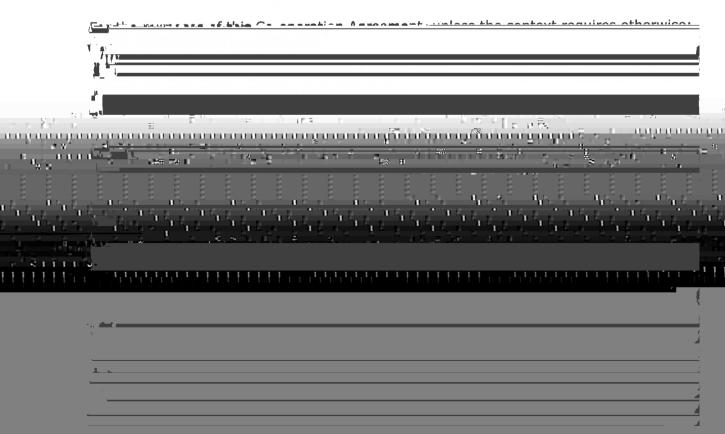


Level 5, 100 Market Street Sydney NSW 2000

and

Hong Kong Securities and Futures Commission (SFC) 35/F, Cheung Kong Center 2 Queen's Road Central, Hong Kong

## 1. Definitions



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2.1.	The Authorities share a mutual desire to stay abreast of innovation financial services in their respective markets. Both Authorities ha established Innovation Functions in order to do so:	in ve
	2.1.1. ASIC established an Innovation Hub in March 2015 to ass innovative Fintech businesses navigate ASIC's regulatory system.	m.
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	liaises with Fintech experts through ASIC's Digital Finance Adviso Committee.	ory
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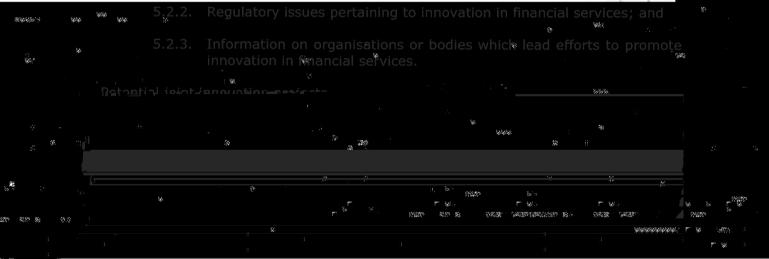
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## Information sharing

5.2. The Authorities intend to share information about innovations in financial springs in their respective markets, where appropriate. This may include the share appropriate of the same included and the same included an

but is not limited to:

5.2.1. Emerging trends and developments;



5.3. Authorities intend to consider participating in joint innovation projects on the application of novel financial technologies.

#### 6. Confidentiality & Permissible Uses

- 6.1. Any information disclosed by one Authority to the other Authority under this Co-operation Agreement, unless otherwise stated, should be treated by the other Authority as confidential information.
- 6.2. An Authority that receives confidential information under this Co-operation Agreement will only disclose that information in accordance with the disclosure permitted under its applicable laws and requirements. The Authorities intend that the sharing or disclosure of confidential information will not constitute a weiver of privilege or confidentiality of cush information.

#### 7. Term

