12 May 2017

Co-operation Agreement

UNITED KINGDOM

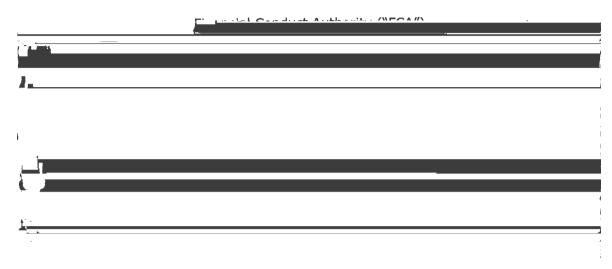
HONG KONG

Financial Conduct Authority Securities and Futures Commission

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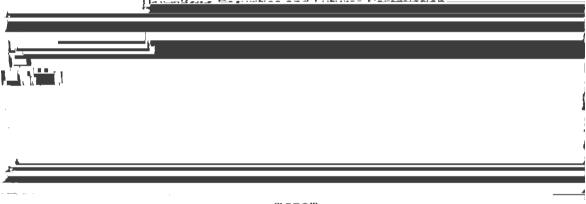
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Co-operation Agreement between



Financial Conduct Authority 25 The North Colonnade Canary Wharf London E14 5HS

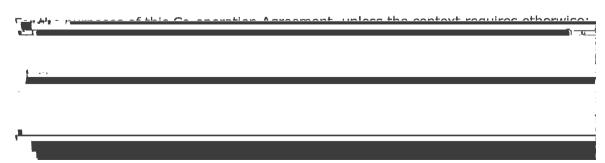
and



("SFC")

Securities and Futures Commission 35/F, Cheung Kong Center 2 Queen's Road Central, Hong Kong

1. Definitions



2. Introduction

2.1 The Authorities share a mutual desire to stav abreast of innovation in

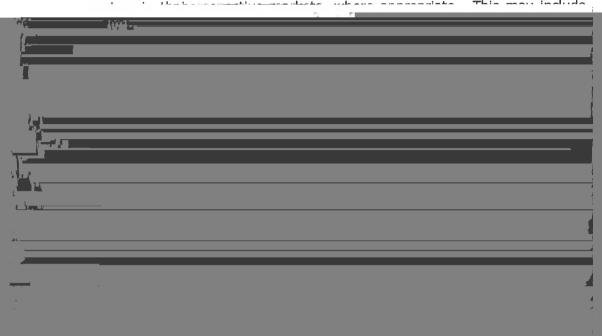
established Innovation Functions in order to do so:

2.1.1 The FCA launched 'Project Innovate' in October 2014, with the objective of encouraging innovation in financial services in the interests of consumers by supporting Innovator Businesses with a

promoting effective competition in the interests of consumers. Project Innovate is led by the FCA's Innovation Hub.

Information sharing

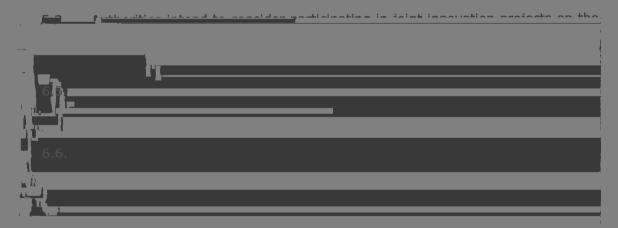
5.2. The Authorities intend to share information about innovations in financial



but is not limited to:

- 5.2.1. Emerging trends and developments;
- 5.2.2. Regulatory issues pertaining to innovation in financial services; and
- 5.2.3. Information on organisations or bodies which lead efforts to promote innovation in financial services.

Potential joint innovation projects



7. Term

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Appendix 1: Authority-wide Contact Details

FCA:

Head of Department, Project Innovate

Financial Conduct Authority 25 The North Colonnade

London, E14 5HS United Kingdom

SFC:

Fintech Contact Point

Securities and Futures Commission

35/F, Cheung Kong Center

2 Queen's Road Central, Hong Kong