

2019 12

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I.	6
II.	7
III.	10
IV.	



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12.

www.sfc.hk



I.

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13.



(ii)

17.

(i)

(ii)

(iii)

18.

(i)

(ii)



III.

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31.

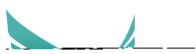
(i)



C.

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2019 3



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52.

10 III

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53.

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54.

10 IJ54.26

13

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57.

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F.

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CNH

59.



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61.

62.

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63.

(a)

(b)

64.

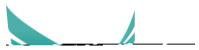
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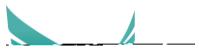
67.

68.

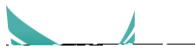
(a)



IV.

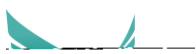


98.



69

15



113.

4.3A

A

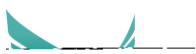
114.

10 III

10 I
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III

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(a)

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(c)

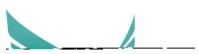
3

4

155N







(c) 

6

(d)

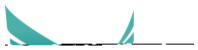
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6.

7.

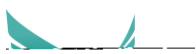
(a)





(ii)

(iii)



24.



(a)



(b)



25.

(a)



(b)

26.





(h)

(i)

(j)



(k)

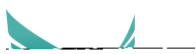


(l)

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37.

38 40

(a)

(b)



19

(c)

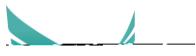
(d)

(e)

(f)

(g)

38.



(a)

(b)

(c)

(i)

(ii)

(d)



(i)

(ii)

(e)

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20

41.

C

42.

43.

44.

8%



45.

42 43



46.

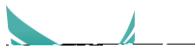
47.



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50

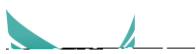




51.

24

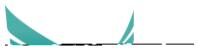
24



A—



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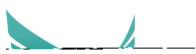


(a)

(b)

(c)

(d)



B

10 I







C

1. Bank of New York Mellon Northern Trust Company State Street Bank and Trust Company
2. Complyport (HK) Limited
3. Global Financial Markets Association
4. ICI Global
5. International Swaps and Derivatives Association, Inc
- 6.
- 7.
8. The Japanese Bankers Association
- 9.