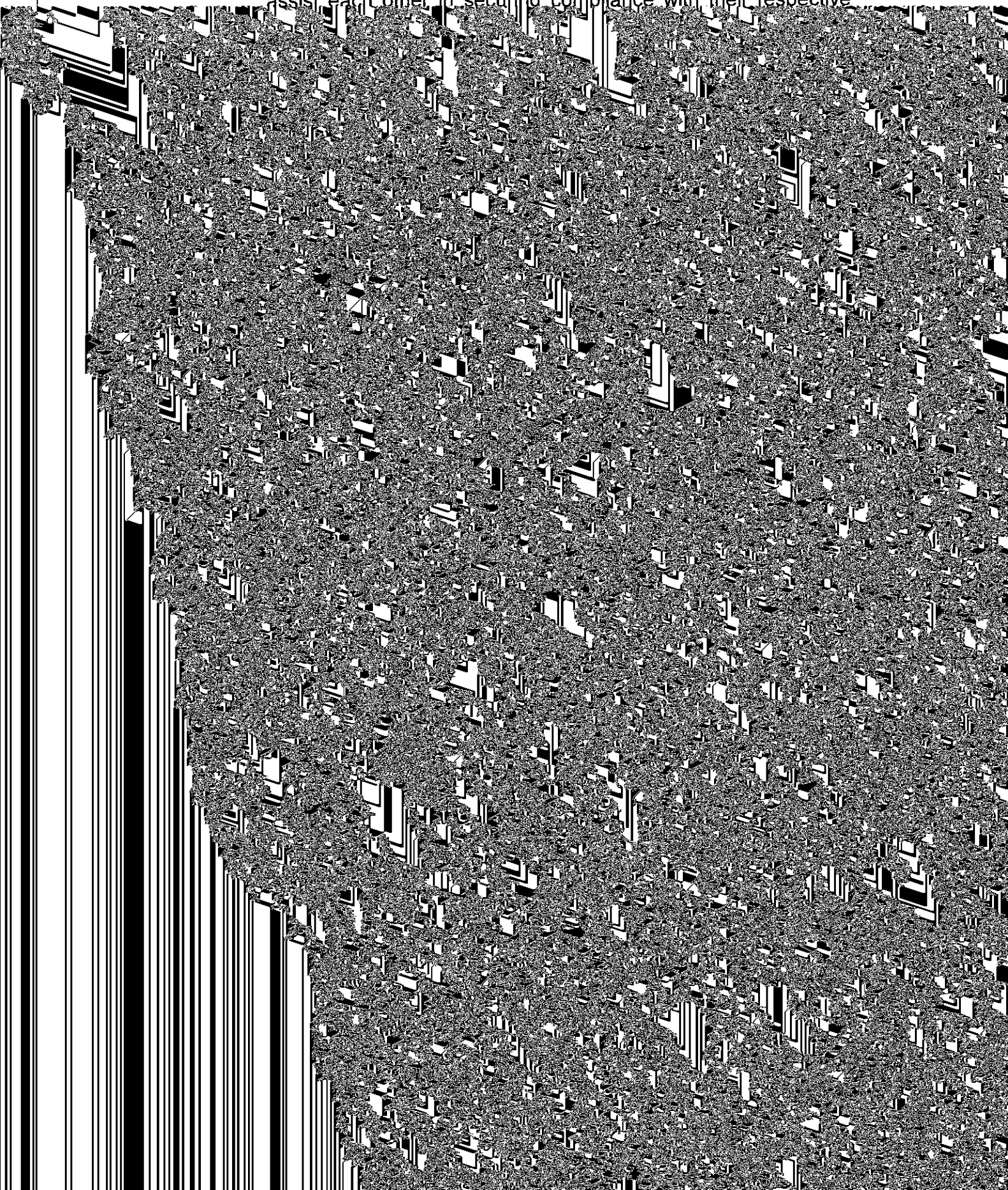


1. INTRODUCTION

(c) The Authorities recognize the need for and desirability of providing mutual assistance and the exchange of information to assist each other in securing compliance with their respective



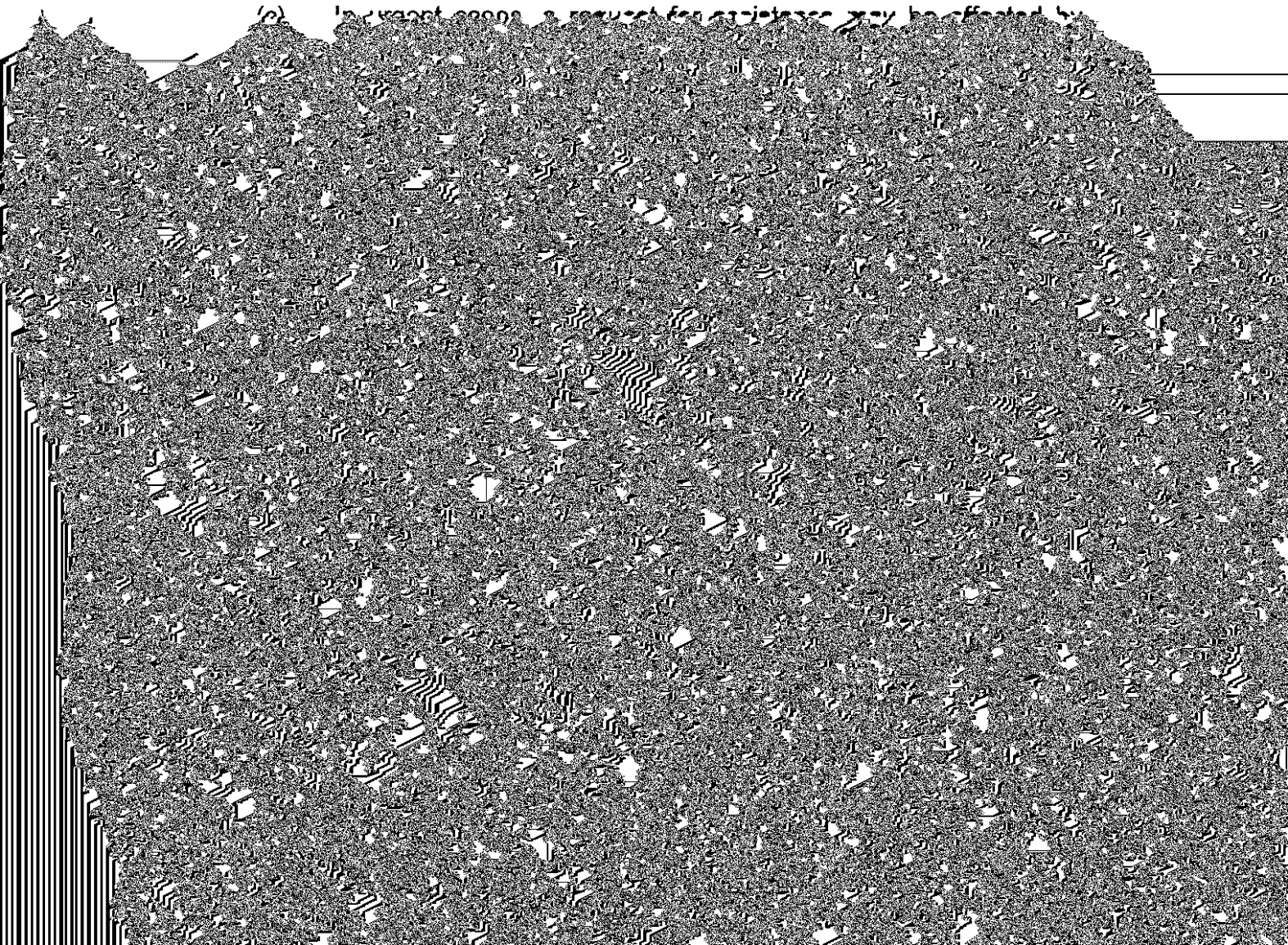
request, including what laws or regulatory requirements are alleged to have been breached;

(iv) the link between the specified laws or regulatory requirements and the regulatory function of the requesting Authority;

(v) the relevance of the requested information to the specified laws or regulatory requirements;

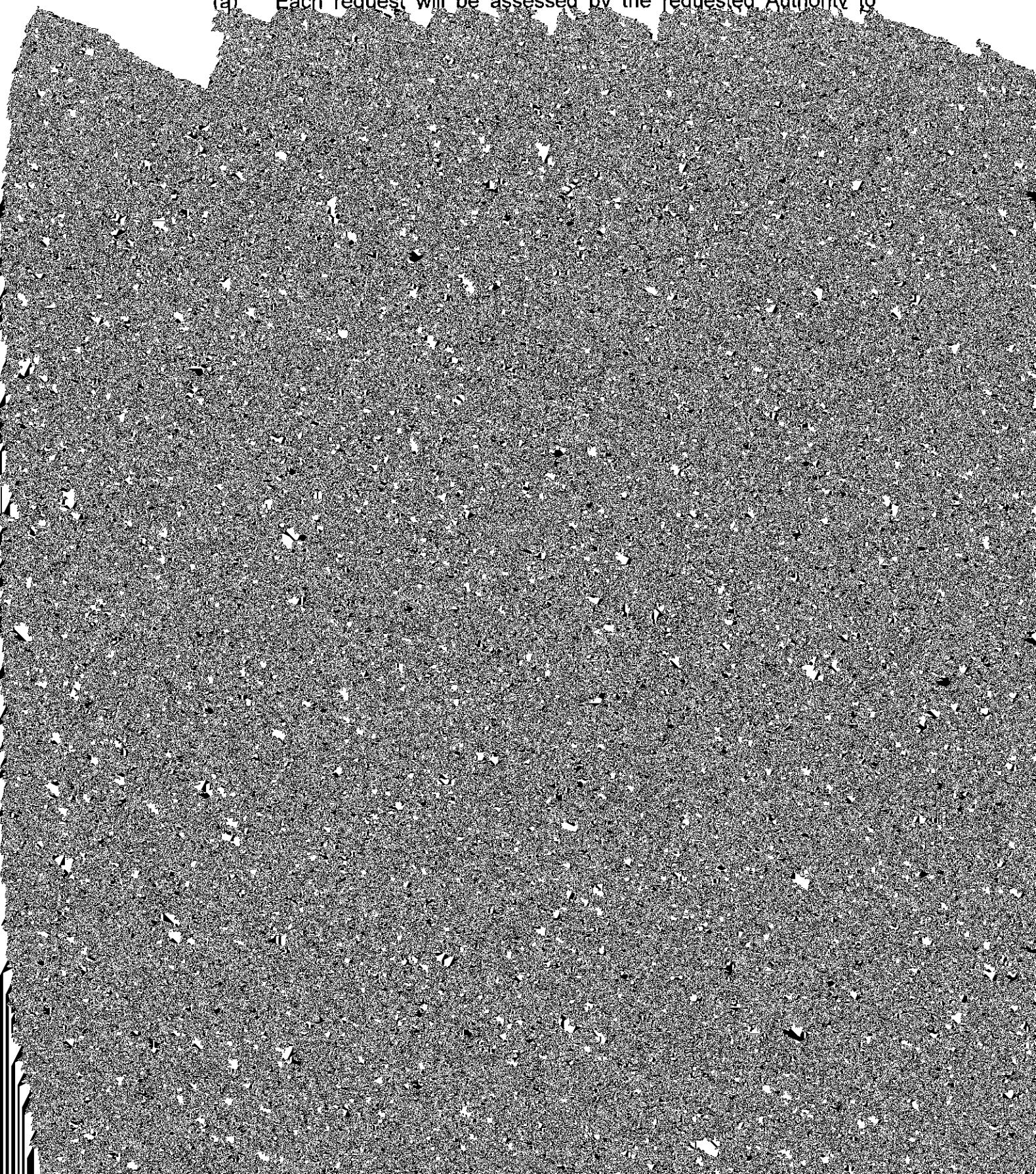
(vi) the desired time period for the reply.

(b) In urgent cases a request for assistance may be effected by



6. EXECUTION OF REQUESTS FOR ASSISTANCE

(a) Each request will be assessed by the requested Authority to



7. UNSOLICITED INFORMATION

Where one Authority has information which will assist the other Authority in the performance of its regulatory functions, including any relevant information that is discovered which gives rise to a suspicion of a breach or anticipated breach of the laws or regulatory

12. ENTRY INTO EFFECT

The Memorandum of Understanding will come into operation from the date of signature by the SSC and the SFC.

Signed this 20th day of April 2009 in Hong Kong and includes 2 copies, one copy for each party.

State Securities Commission of
Viet Nam

Securities and Futures Commission
of Hong Kong

APPENDIX A
LIST OF CONTACT PERSONS

States Securities Commission of Viet Nam

