Exemptions or waivers of annual report requirements in the Securities and Futures (Open-ended Fund Companies) Rules (“OFC Rules”) (granted under rule 151(3) of the OFC Rules) and the Code on Open-ended Fund Companies (the “OFC Code”)

Last update: 4 February 2025

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
Kingbridge Capital Multi-Strategy Fund OFC (the “OFC”) (BRW799) - Kingbridge Capital Opportunity I Fund (BRW800) - Kingbridge Capital Opportunity II Fund (BRW801) - Kingbridge Capital Opportunity III Fund (BRW802) (collectively, the “Sub-funds”)	9 January 2023	9 December 2021 – 31 March 2022	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant ffT (e)]Tporannfinancial report unde≥26 (l)-0.7 ,t4 (9.)2.1 (6 de≥23 (o0.7 (13.4 hde≥23 (e)]TJE		

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
- Guoyuan Flexible Allocation Fund (BSM514) (collectively, the "Sub-funds")			(2) Waived the requirement to show the respective financial		

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
					December 2023 lapsed on 7 June 2023.

LF Multi Strategy OFC (the "OFC") (BRV825)

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Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
Checkmate Open-ended Fund Company (the "OFC") (BSF471) - Checkmate Asia Credit Opportunity Fund (BSF472) - Checkmate Astrapto Special Situations Fund (BSZ899)			shareholder under rule 151 of the OFC Rules.		

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
Hectoron Supply Chain Finance Credit OFC (the "OFC") (BSR899)	31 January 2024	18 July 2022 to 31 December 2023	(1) Exempted the dire-2.3 (C)2 ("è16 1		

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
Wiseview OFC (the "OFC") (BTV377) - Wiseview MCF Sub-Fund (BTV378)	6 March 2024	27 June 2023 to 31 December 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Canfield Multi Asset Fund OFC (the "OFC") (BSM092) - Canfield Strategic Fund (BSM093) - Canfield Fixed Income Fund (BSM094) - Village Telecom & Tech Fund (BSM095) - Essential Smart Energy Fund (BSM096)	7 March 2024	1 January 2023 to 31 December 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Hamon Capital Partners OFC (the "OFC") (BRP691)	7 March 2024	From 1 January 2023 to the date when the OFC no longer meets one or more of the conditions of the exemption	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
Vered Investment Fund OFC (the "OFC") (BRS525) - Vered Equity Stable Return Fund (BRS526) - Vered Global Fixed Income Premium Fund (BRS527) - Vered Multi Strategy Fund (BRS528)	26 March 2024	1 January 2023 to 31 December 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Sino Wealth Global OFC (the "OFC") (BUB933) - Sino Wealth Global Real Estate Fund (BUB934) - Sino Wealth Global Fixed Income Fund (BUB935) - Sino Wealth Global Securities Fund (BUB936) - Sino Wealth Global Fintech Fund (BUB937) - Sino Wealth Global Cash Management Fund (BUB938) - Sino Wealth Global Alternative Investment Fund (BUB939)	28 March 2024	14 September 2023 to 31 December 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
CAM Capital OFC (the "OFC") (BRZ059) - CAM Capital Growth Sub-Fund 1 (BRZ060) - CAM Capital Growth Sub-Fund 2 (BRZ061)	23 April 2024	1 January 2023 to 31 December 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
- Redbridge Capital Global Opportunities 1 (BTC932) - Redbridge Capital Global Opportunities 2 (BTC933) - Redbridge Capital Global Opportunities 3 (BUC376) - Redbridge Capital Global Opportunities 4 (BUC377)			OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
White River Capital Fund I OFC (the "OFC") (BTM327) - White River Capital Securities Fund I (BTM328) - White River Capital Foods Fund I (BTM329) - White River Capital Global Fund I (BTM330) - White River Capital Asia Fund I (BTM 331)	17 May 2024	22 February 2023 to 31 December 2023	(1) Waiver from strict compliance with the requirements to publish and file to the Commission the annual report of the OFC for the relevant financial period under 9.8 and 9.9 of the OFC Code.	The waiver is granted on the following condition: The OFC shall publish and file to the Commission the annual report for the relevant financial period on or before 30 June 2024.	

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
- Macro-hedge Dynamic Fund (BSZ354)					
MFAM OFC (the "OFC") (BTY736) - MFAM Opportunity Fund I (BTY737) - MFAM Opportunity Fund II (BTY738) - MFAM Opportunity Fund III (BTY739)	22 May 2024	11 August 2023 to 31 December 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
Wealthy Mountain Funds OFC (the "OFC") (BTK530) - Wealthy Mountain No.1 Fund (BTK531) - Wealthy Mountain No.2 Fund (BTK532) - Wealthy Mountain No.3 Fund (BTK533)	23 May 2024	13 February 2023 to 31 December 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the repW n72 Tm[E)4.3 (x)-2w 9 -0 0 9 446.4 299.4 Tm[TD[o -01 Tm[TD[oee)8.7 (F)1.6 (C)6 (f)2		

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
Innovax Investment OFC (the "OFC") (BTH368) - Innovax Capital Investment Strategic Fund (BTH369)	10 July 2024	11 January 2023 to 31 December 2023	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	

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Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
Fortune New Horizon Open-ended Fund Company (the "OFC") (BTT064) - Fortune Innovation Sub-fund (BTT065) - Fortune Vista Sub-fund (BTT066) - Fortune Luminance Sub-fund (BTT067)	12 August 2024	31 May 2023 to 31 March			

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
- Ankaa Evergreen Fund (BUP880)			relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.		

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
			shareholder under rule 151 of the OFC Rules.		
SGI Pioneer Opportunity Fund OFC (the "OFC") (BVA485) - SGI Opportunity Fund 1 (BVA486)	24 January 2025	25 June 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and p O		

Name of the OFC or sub-fund (CE number)	Date of grant of the exemptions or waivers	Relevant financial period	Particulars of the exemptions or waivers granted	Conditions	Remarks
QX Asset Management OFC (the "OFC") (BTT150) - QX Global Quantitative Managed Futures Fund (BTT151)	28 January 2025	1 January 2024 to 31 December 2024	(1) Exempted the directors of the OFC from the requirement to prepare an annual report for the relevant financial period and the OFC from the requirement to publish the annual report and provide, on request, a copy of the report free of charge to any shareholder under rule 151 of the OFC Rules.	The exemption is granted on the following conditions: For the relevant financial period, the OFC (1) had not been launched; and (2) had no investor.	
China Enterprise Global Opportunity Fund OFC (the					

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			shareholder under rule 151 of the OFC Rules.		